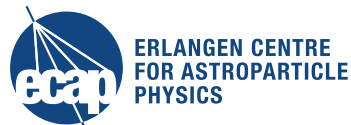


KM3NeT – The next-generation neutrino telescope in the Mediterranean Sea

Uli Katz
VHEPA 2016, Honolulu, Hawaii
8 January 2016





- The KM3NeT project: Concept and design
- ARCA and ORCA
- Results from prototypes and the first string
- Neutrino astronomy: Selected sensitivity studies
- Summary

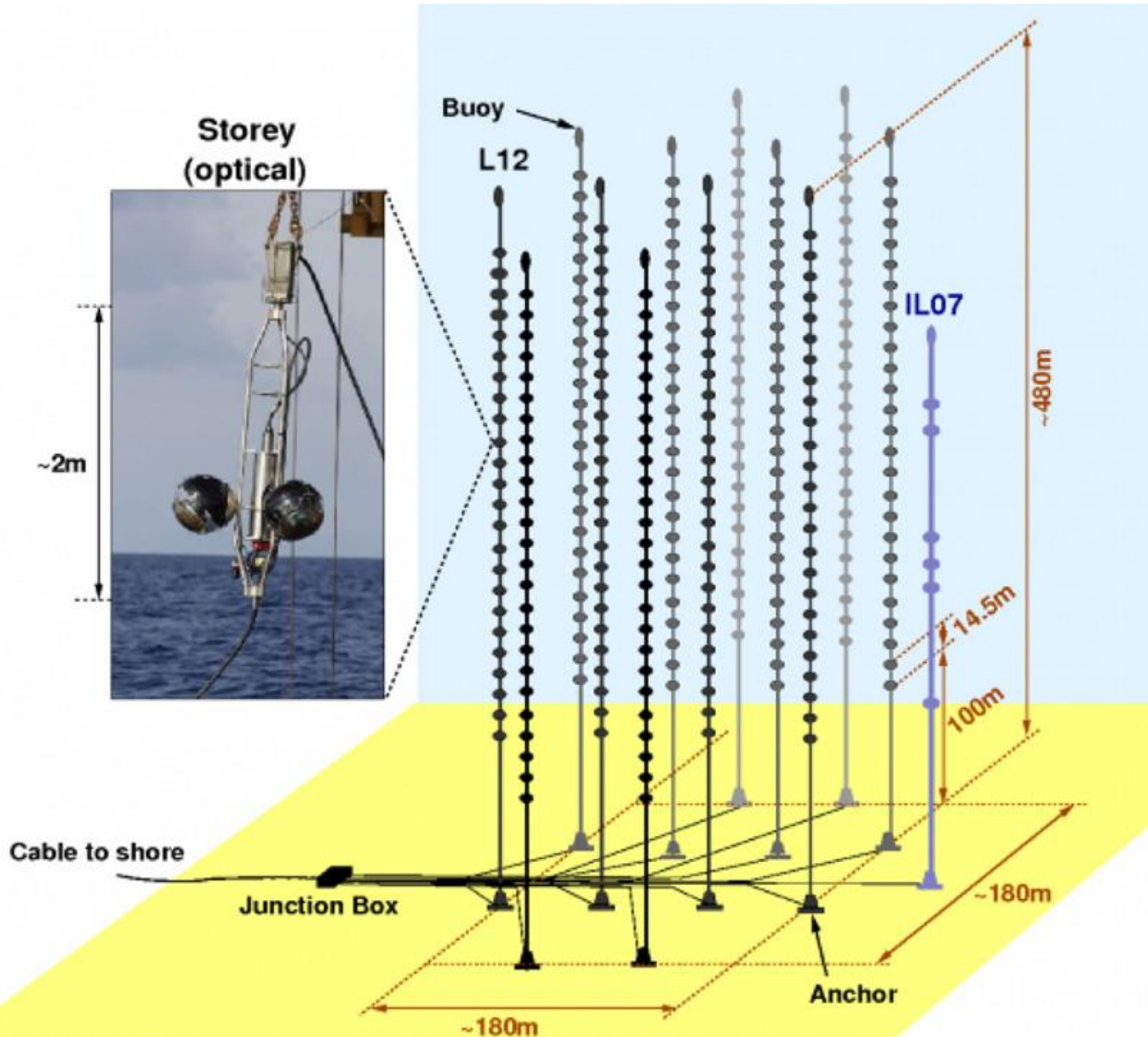
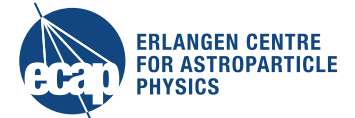
A large, stylized version of the KM3NeT logo, consisting of a blue circle with a white crescent shape inside, and the text "KM3NeT" in white at the bottom.

KM3NeT



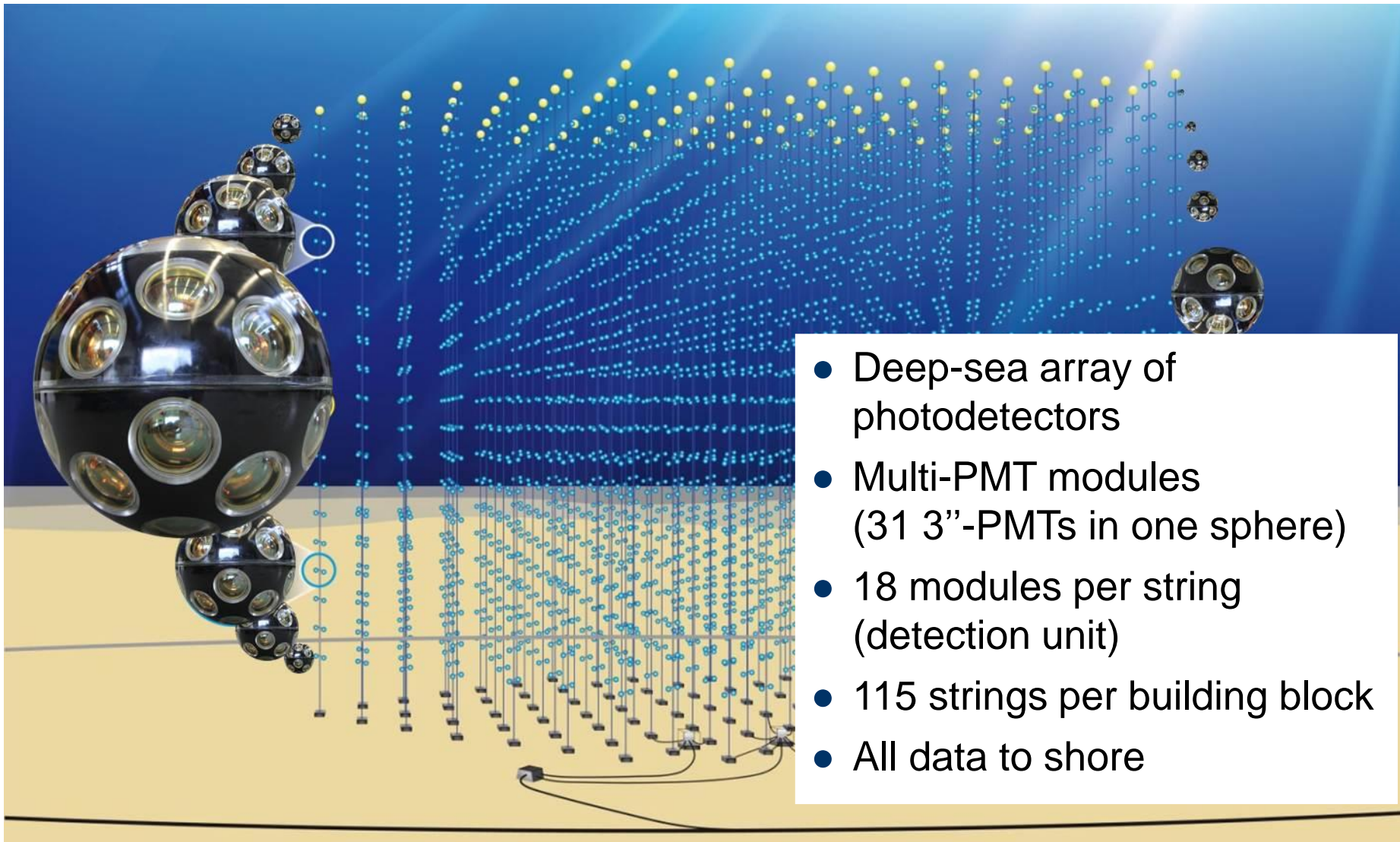
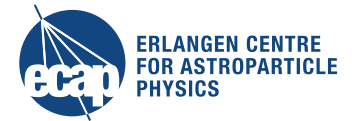
The KM3NeT project: Concept and design

ANTARES: The first deep-sea ν telescope



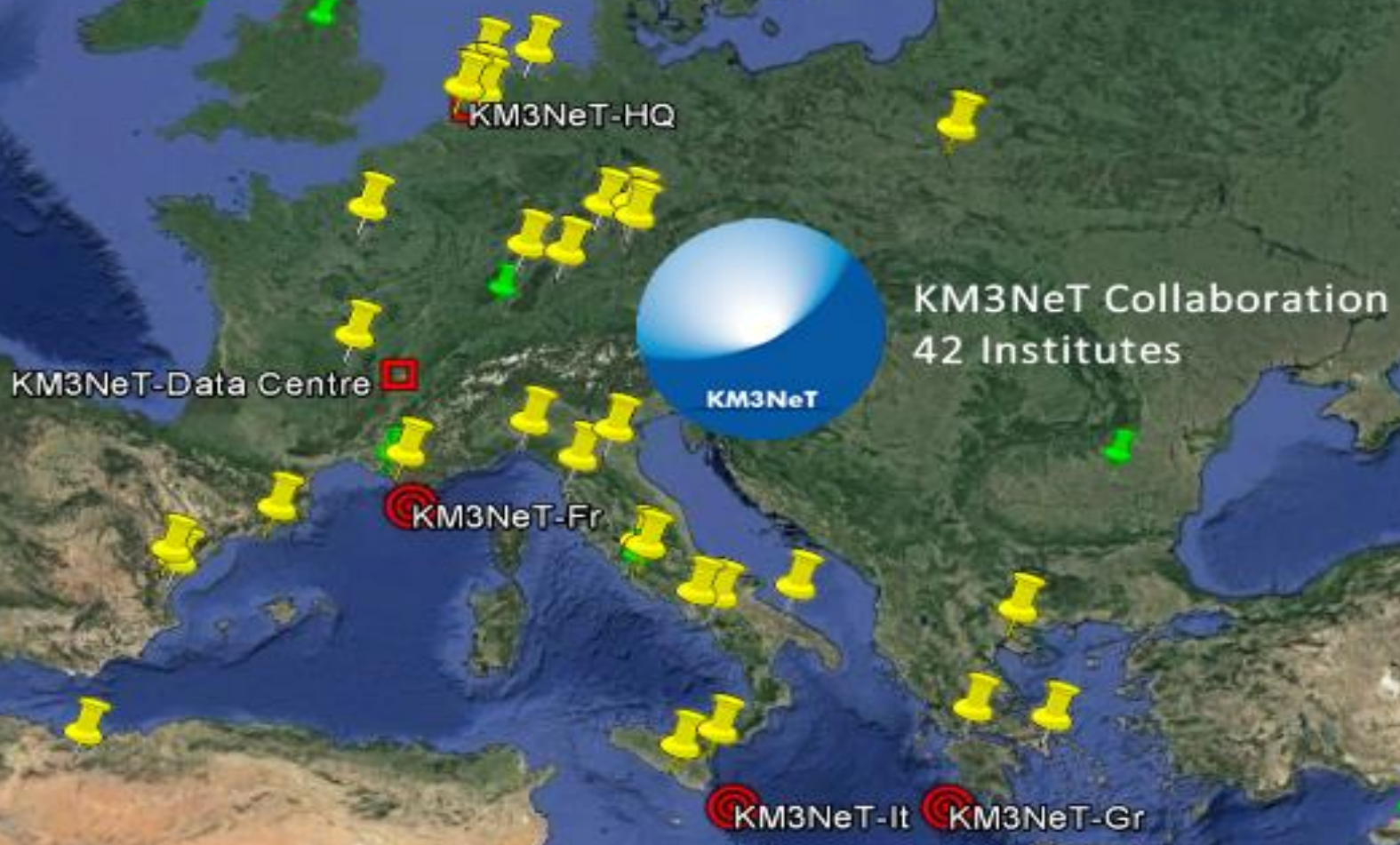
- Installed near Toulon at a depth of 2475m
- 12 strings with 25 storeys each, instrumented volume $\sim 0.01\text{km}^3$
- Data taking in full configuration since 2008
- Proof of principle of deep-sea ν telescope
- Lots of results – but too small for cosmic neutrinos

The KM3NeT concept



- Deep-sea array of photodetectors
- Multi-PMT modules (31 3"-PMTs in one sphere)
- 18 modules per string (detection unit)
- 115 strings per building block
- All data to shore

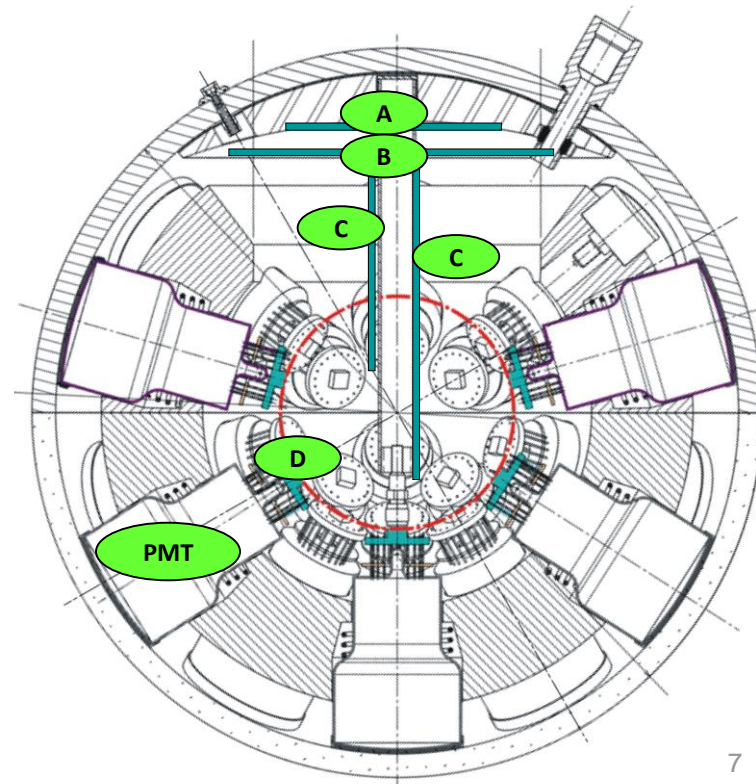
The KM3NeT Collaboration



km3net.org
@km3net

The KM3NeT DOM

- 31 3-inch PMTs in 17-inch glass sphere (cathode area~ 3x10-inch PMTs)
 - 19 in lower, 12 in upper hemisphere
 - Suspended by plastic structure
- 31 PMT bases (total ~140 mW) (D)
- Front-end electronics (B,C)
- Al cooling shield and stem (A)
- Single penetrator
- Advantages:
 - Increased photocathode area
 - 1-vs-2 photo-electron separation
→ better sensitivity to coincidences
 - Directionality
 - Cost / photocathode area
 - Minimal number of penetrations
→ reduced risk



Parameter	Requirement
Photocathode diameter	> 72 mm
Nominal Voltage for Gain 3×10^6	900 – 1300 V
Gain slope = $\log_{10}(\text{gain})/\log_{10}(\text{HV})$	6.5 – 8.0
QE at 404 nm	> 23 %
QE at 470 nm	> 18 %
TTS (FWHM)	< 5 ns
Dark count rate (0.3 p.e. threshold)	< 2 kHz
Pre-pulses	< 1 %
Delayed pulses	< 3.5 %
Early afterpulses	< 2 %
Late afterpulses	< 10 %

PMTs available



+MELZ ...

ETEL D792

Hamamatsu R12199

HZC XP53B20

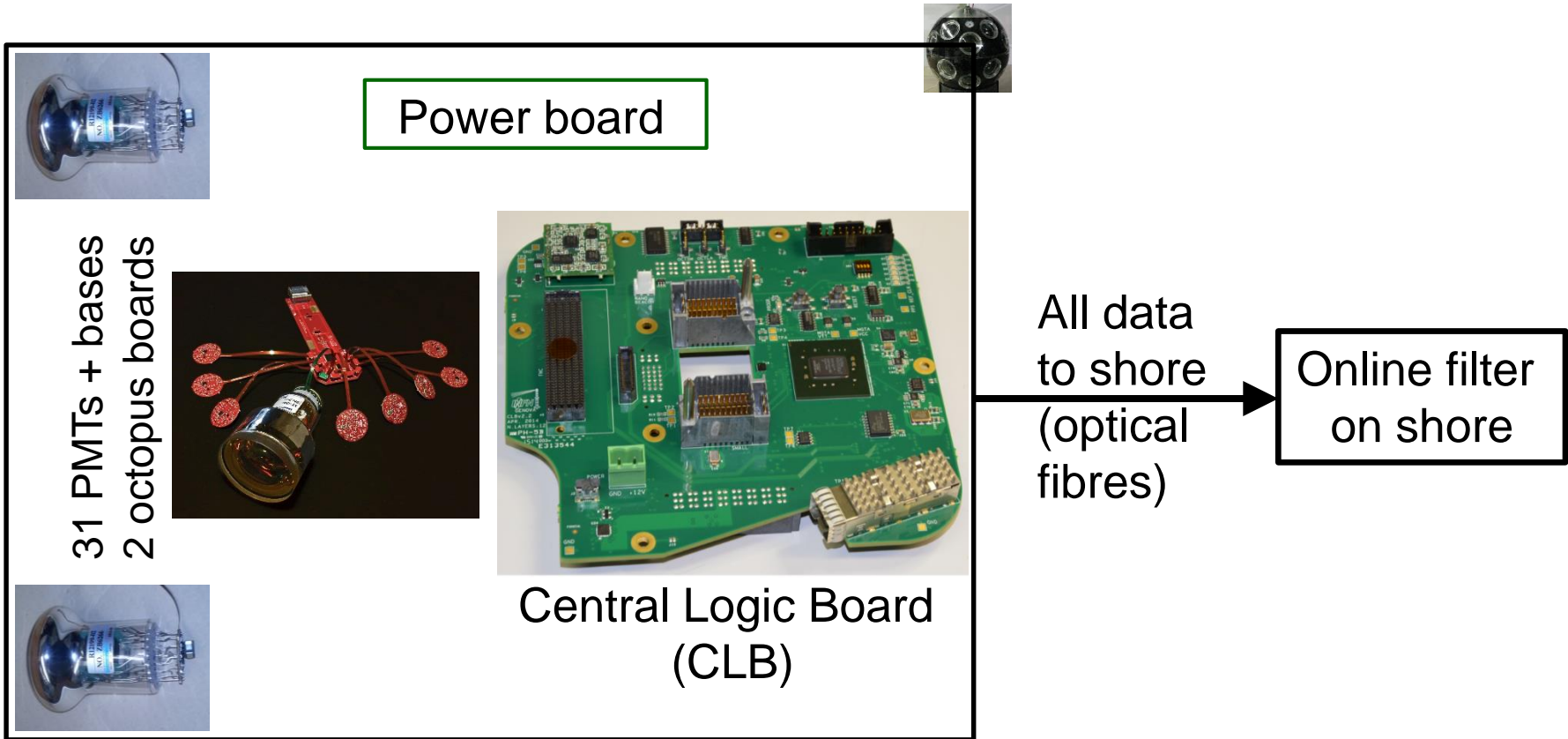


Fulfil specifications; orders placed / expected

First prototype not yet compliant with specifications (gain, dark rate, afterpulses, ...), further development under way

Note: In all cases price/photocathode area < 10-inch tubes (MELZ?)

KM3NeT readout



HV for PMTs
Discrimination
w.r.t. predefined
threshold

Signal collection

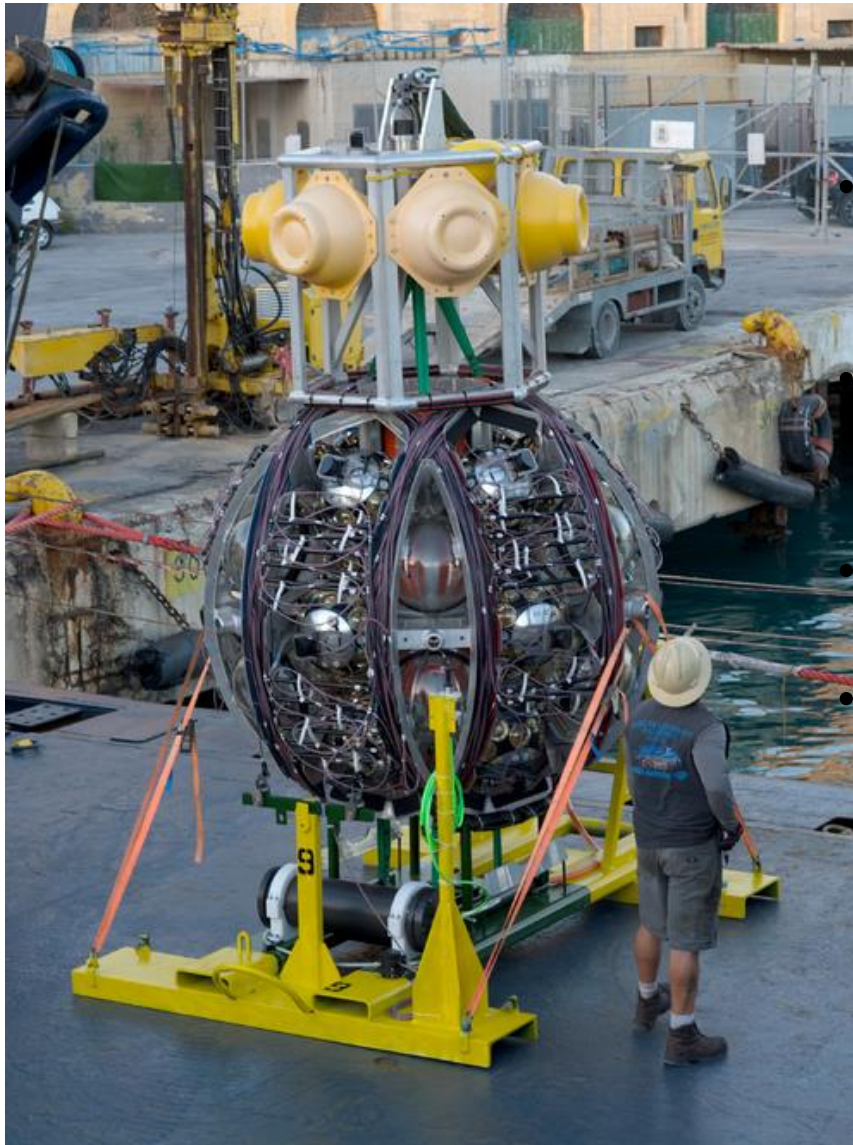
FPGA for TDC (time & time over
threshold), time stamping (1 ns
precision);
Interface for time synchronisation:
Control of calibration devices;
Communication;

KM3NeT detection units (DUs)

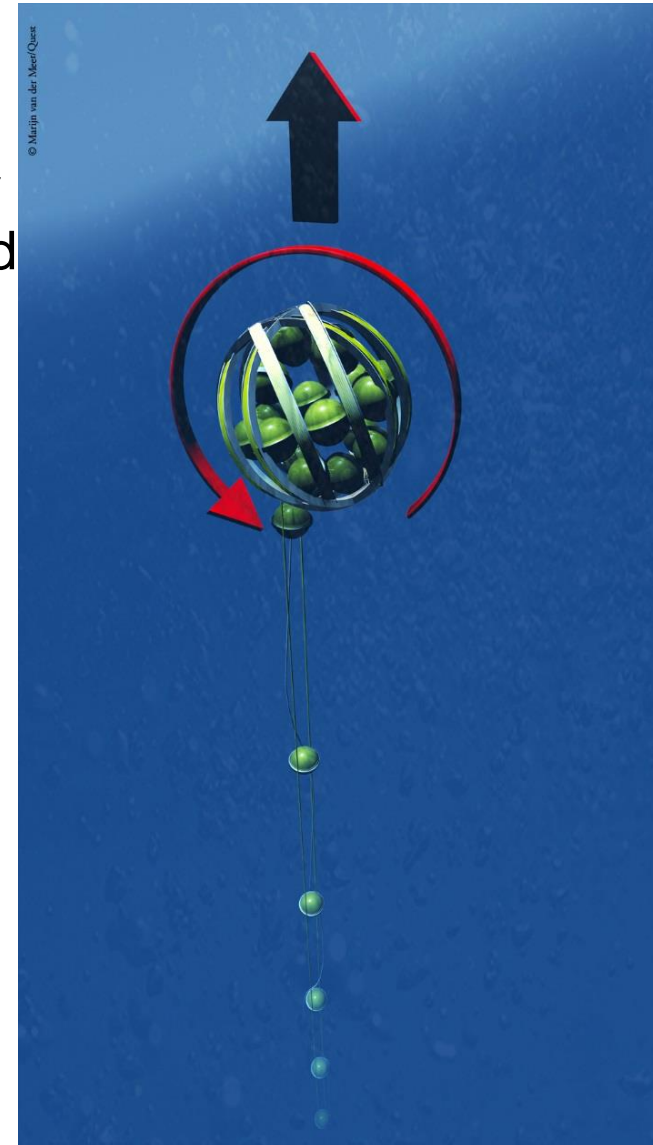
- Mooring line:
 - Buoy (syntactic foam or empty spheres)
 - 2 pre-stretched Dyneema[®] ropes (4 mm diameter)
 - 18 storeys (one DOM each)
- Electro-optical backbone (VEOC):
 - Flexible hose ~ 6mm diameter
 - Oil-filled
 - Optical fibres and copper wires
 - At each storey: Break-out box for connection to 1 fibre + 2 wires (one single pressure transition)
 - Point-to-point connection DOM-shore for each DOM



Deployment



- ← Deploy to sea bed
- Acoustic release
- Unfurl →
- Collect frame





ARCA and ORCA

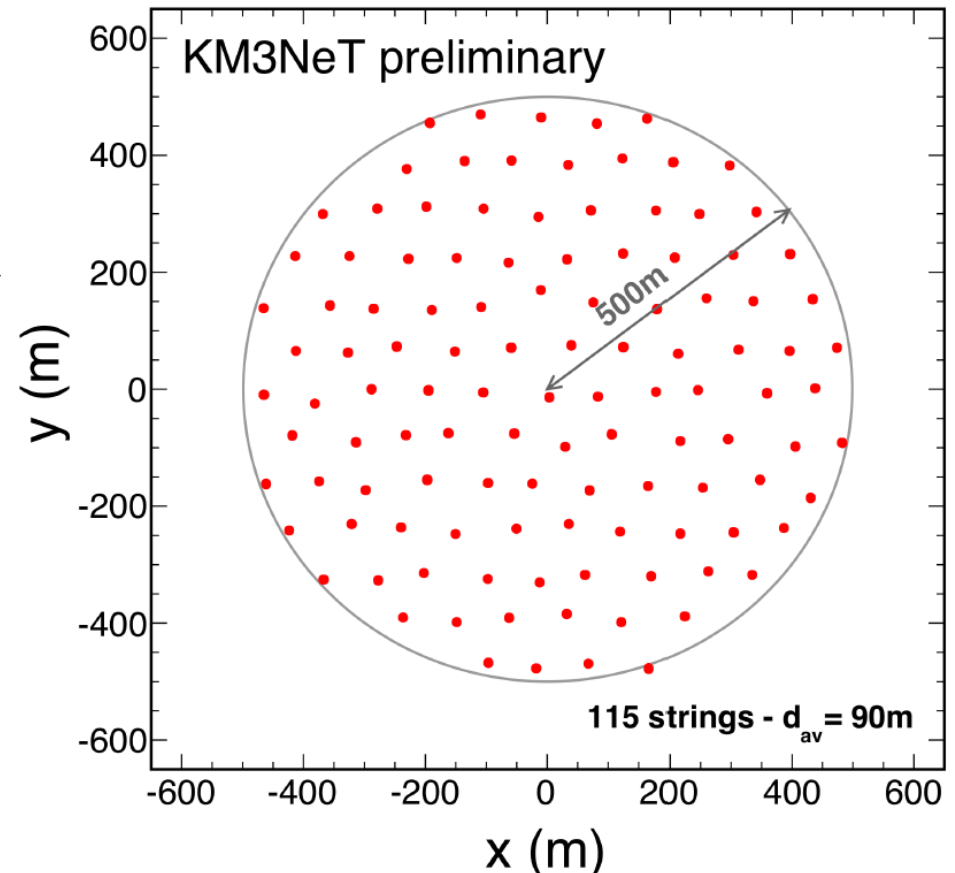
– Astroparticle and Oscillation Research with Cosmics in the Abyss –

The building block concept



- Building block:
 - 115 detection units
 - Segmentation enforced by technical reasons
- Large block (neutrino astronomy)
 - Sensitivity per string for muons independent of block size above ~ 75 strings
 - One block \sim half IceCube
- Small block (neutrino oscillations)
 - Precision measurement of atmospheric neutrinos
 - One block ~ 6 Mtons
- Allows for staged, block-wise, multi-site installation

DU distance adjusted to scientific objective:
90-120 m for neutrino astronomy /
20 m for oscillation research





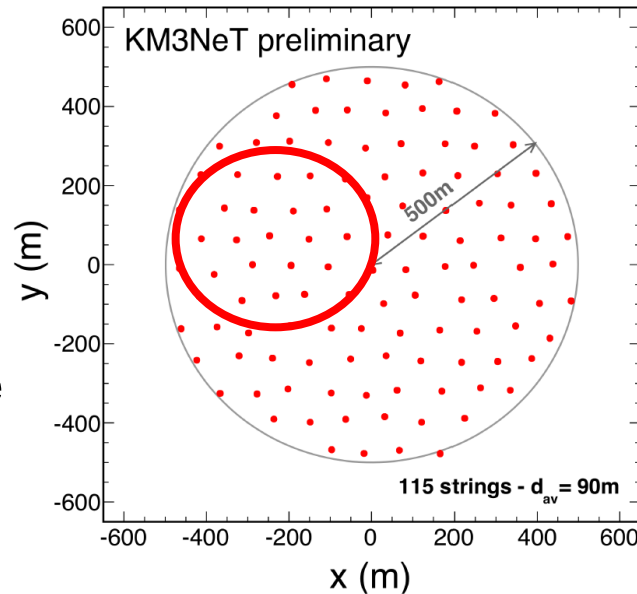
Phase	Blocks/ strings	Primary deliverables / site(s)	Funding Construction
1	0.2/31	Proof of feasibility and first science results; KM3NeT-Fr + KM3NeT-It sites	Fully funded 2015-17
2.0	2/230	Measurement of neutrino signal reported by IceCube; All-flavor neutrino astronomy; KM3NeT-It site	Applications pending 2017-2020
	1/115	Neutrino mass hierarchy; KM3NeT-Fr site	
3	6+1/805	Neutrino astronomy including Galactic sources; Multiple sites	t.b.d. ?

KM3NeT Phase-1 = 31 DUs



ARCA =

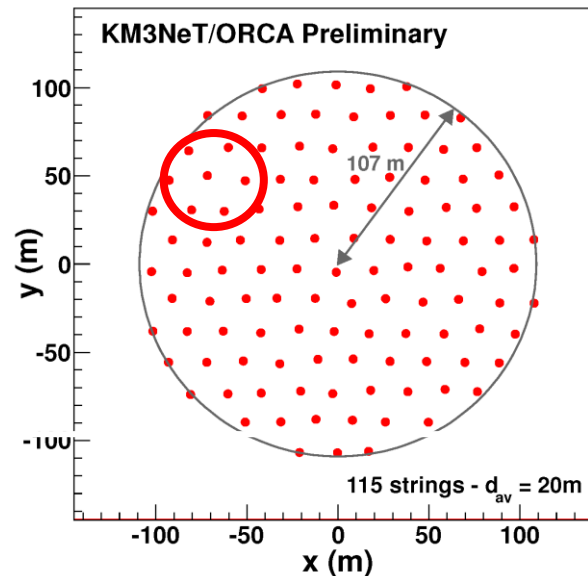
Astroparticle
Research with
Cosmics in the
Abyss



Vertical OM distance = 36 m
3 times ANTARES

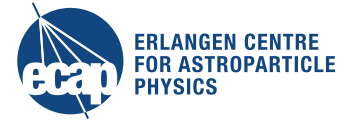
ORCA =

Oscillation
Research with
Cosmics in the
Abyss

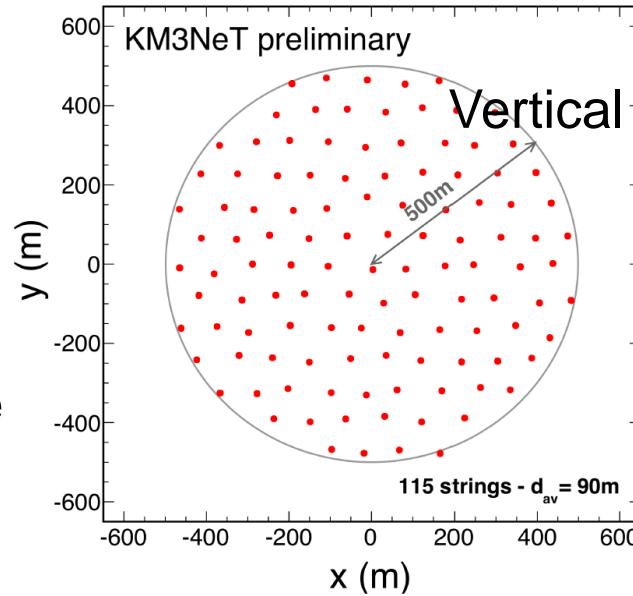


Vertical OM distance = 9 m

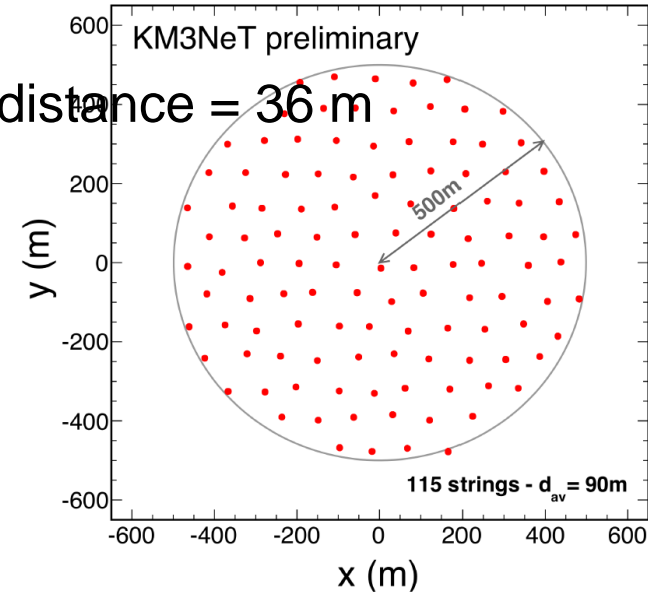
KM3NeT 2.0 = ARCA and ORCA



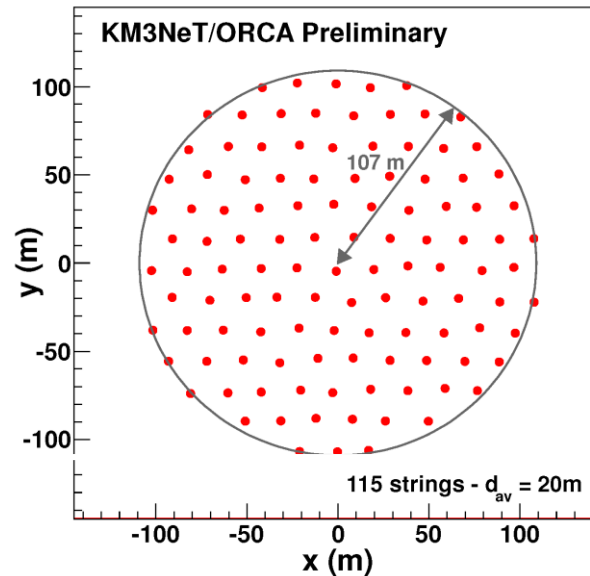
ARCA =
Astroparticle
Research with
Cosmics in the
Abyss



+



ORCA =
Oscillation
Research with
Cosmics in the
Abyss

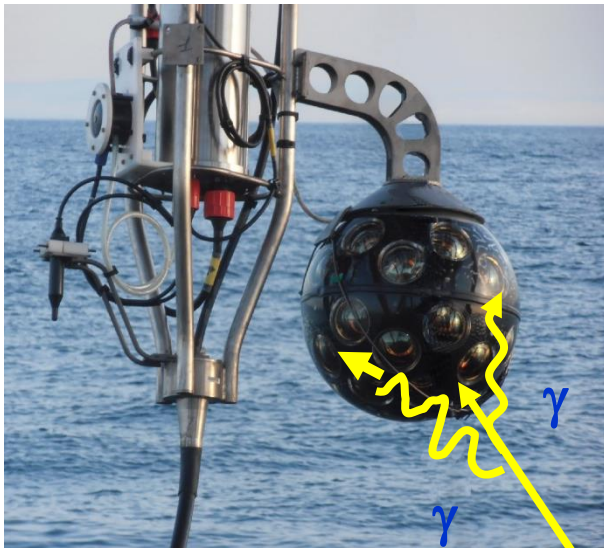


Vertical OM distance = 9 m

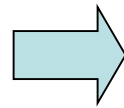


Results from prototypes and first string

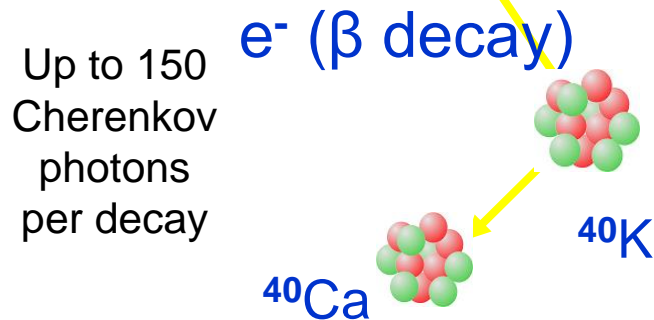
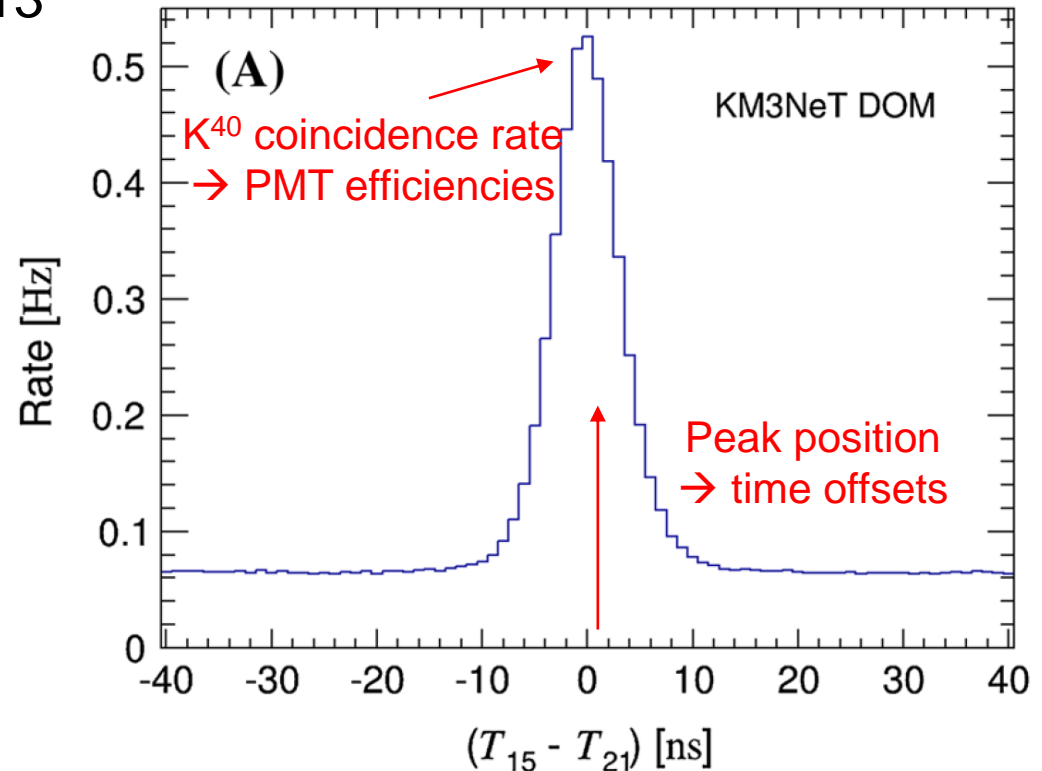
DOM prototype (PPM-DOM)



Deployed at ANTARES in April 2013



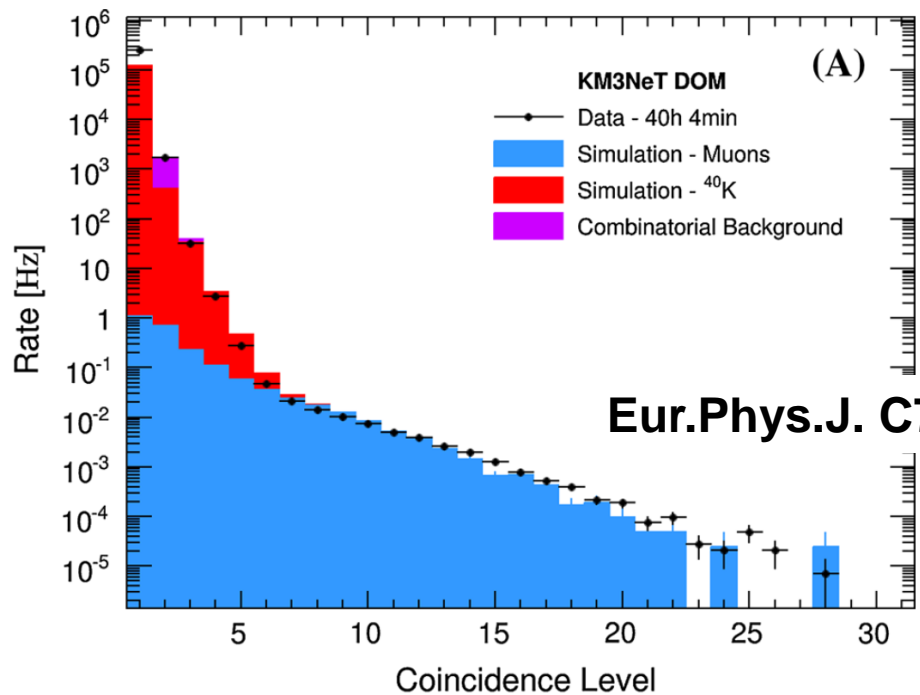
Coincidence rate on 2 adjacent PMTs (33° angular separation)



Concentration of ^{40}K is stable
(coincidence rate ~ 5 Hz on adjacent PMTs)

Eur.Phys.J. C74 (2014) 3056

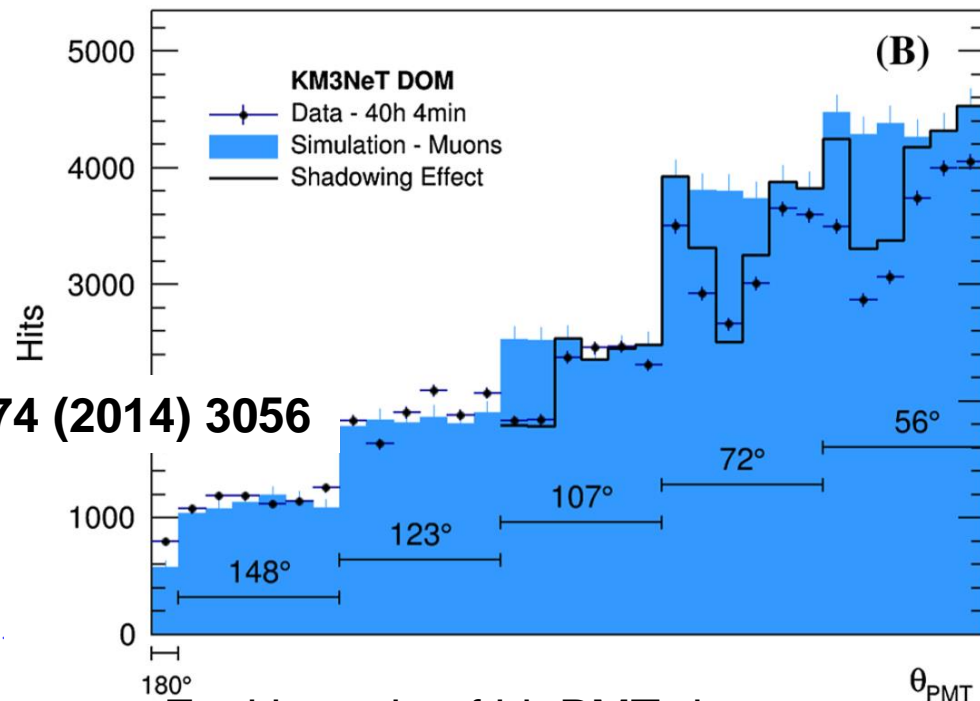
PPM-DOM: Atmospheric Muons



Eur.Phys.J. C74 (2014) 3056

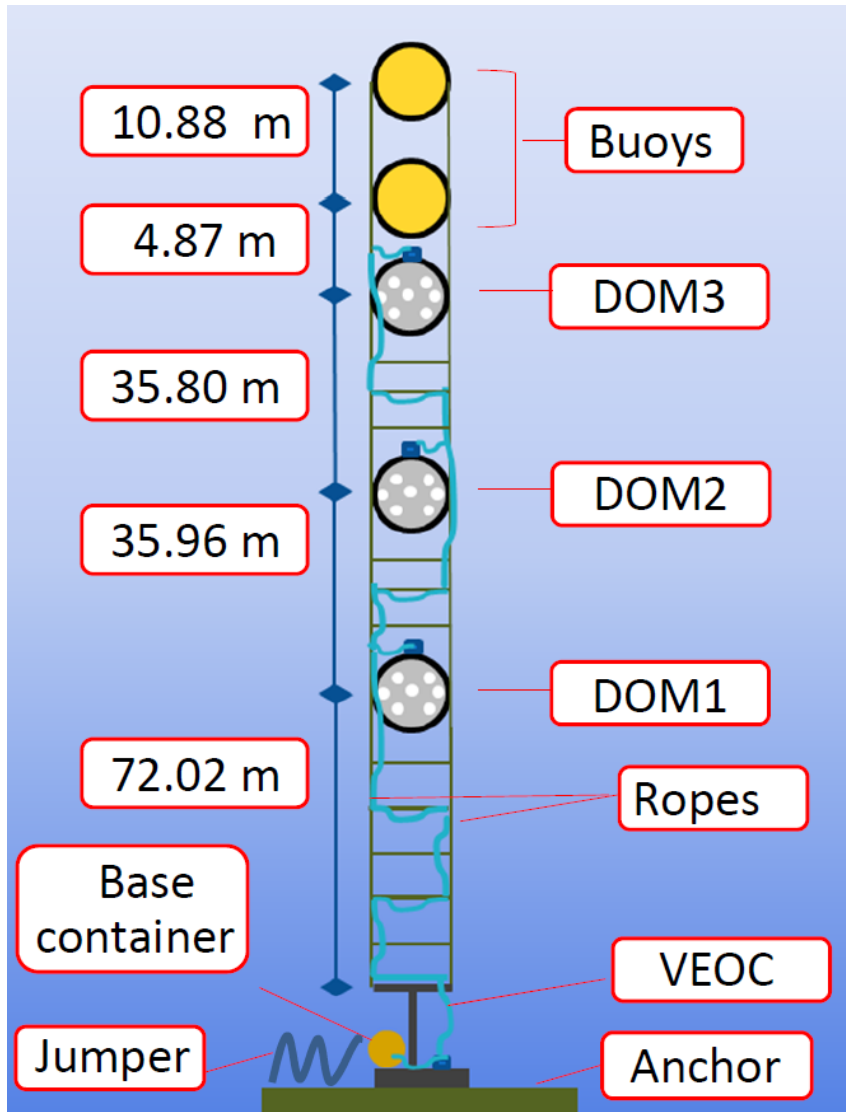
Number of coincident hits in a DOM

>5 coincidences within 20ns \Rightarrow
reduced K40 contribution,
dominated by atmospheric muons

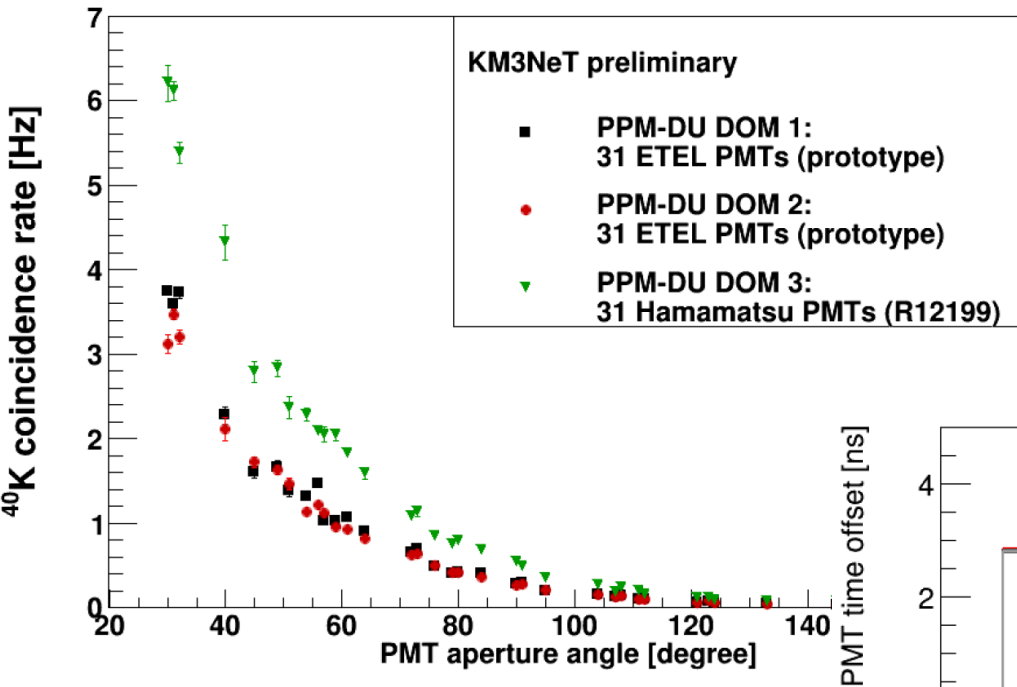


More upper PMTs in multi-hit events \Rightarrow
directional information
from single storey

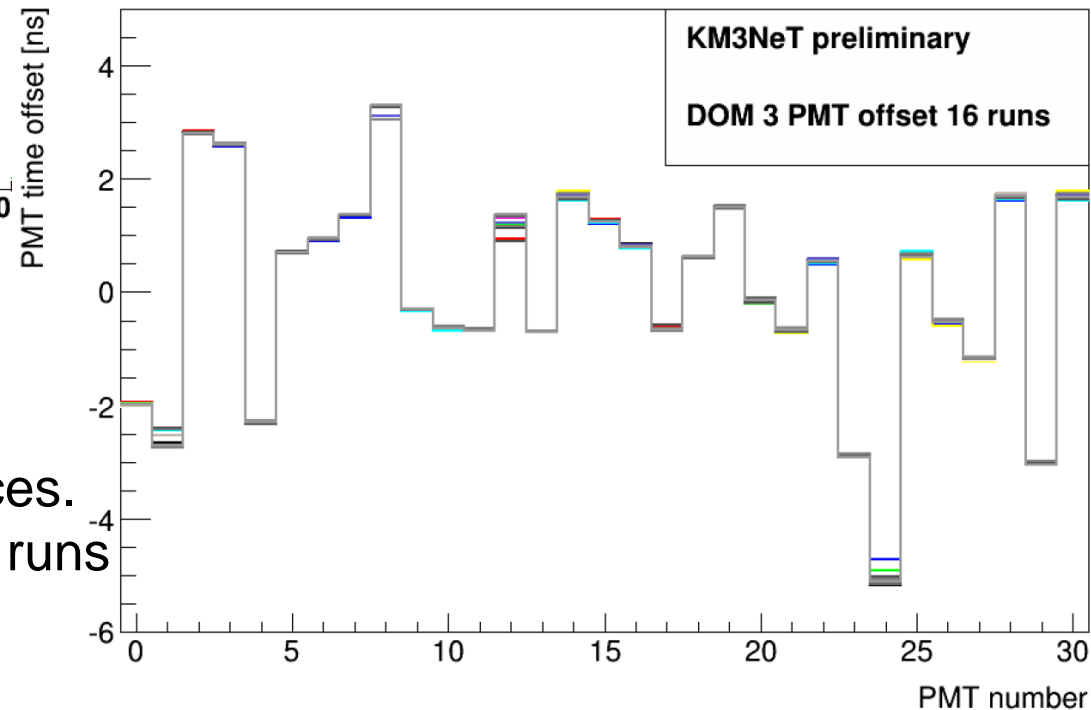
DU prototype (PPM-DU): 3 DOMs



PPM-DU: Intra-DOM timing



K40 coincidence rate as a function of the angle between PMTs.
Note: Different PMT types!

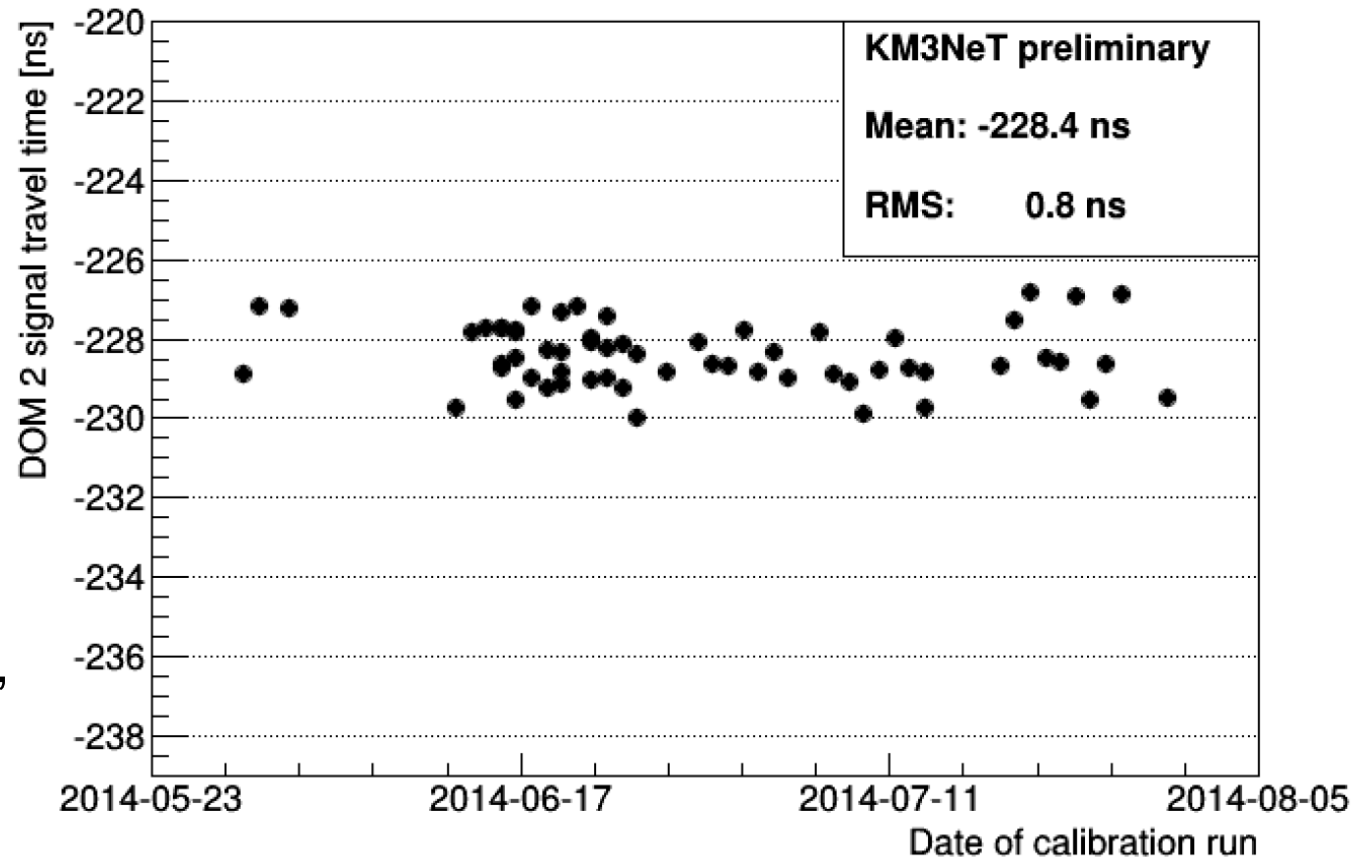


Relative timing calibration of 31 PMTs in DOM using coincidences.
Note: Extremely stable over many runs

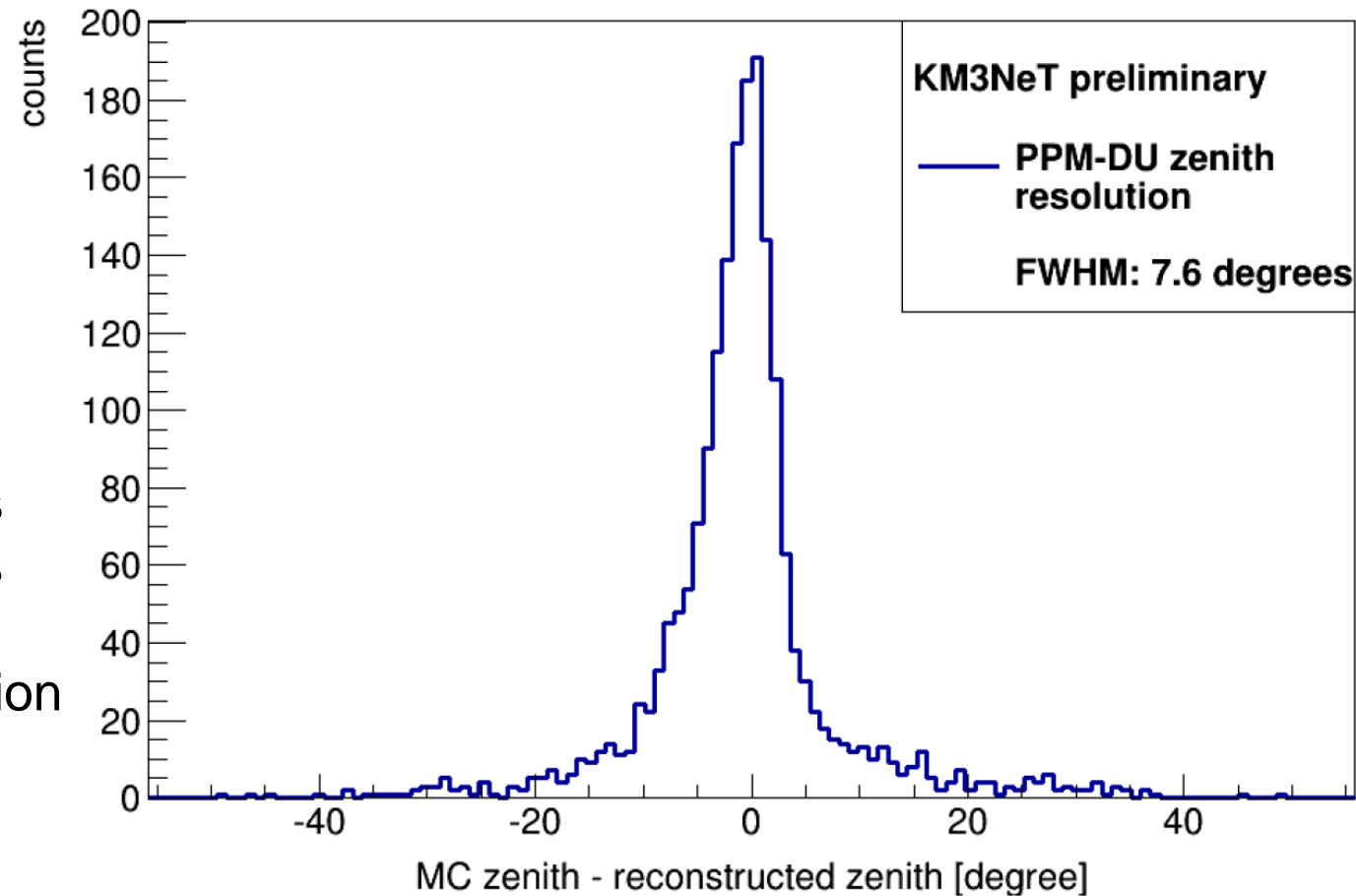
PPM-DU: Inter-DOM timing



- Calibration with pulsed LED
- Time difference – light travel time = Signal travel time
- Result very stable over several months, RMS of jitter < 1 ns



- Reconstruct muon trajectory from hits on 3 DOMs
- Ambiguities can be reduced by cuts on time differences
- 7° FWHM resolution achieved



First string deployed on 3 Dec 2015



- Smooth operation
- All 18 DOMs alive and functional
- First muons reconstructed within hours after switch-on
- Data taking in progress
- **Breaking news:** Very first neutrino candidate on 5 Jan 2016



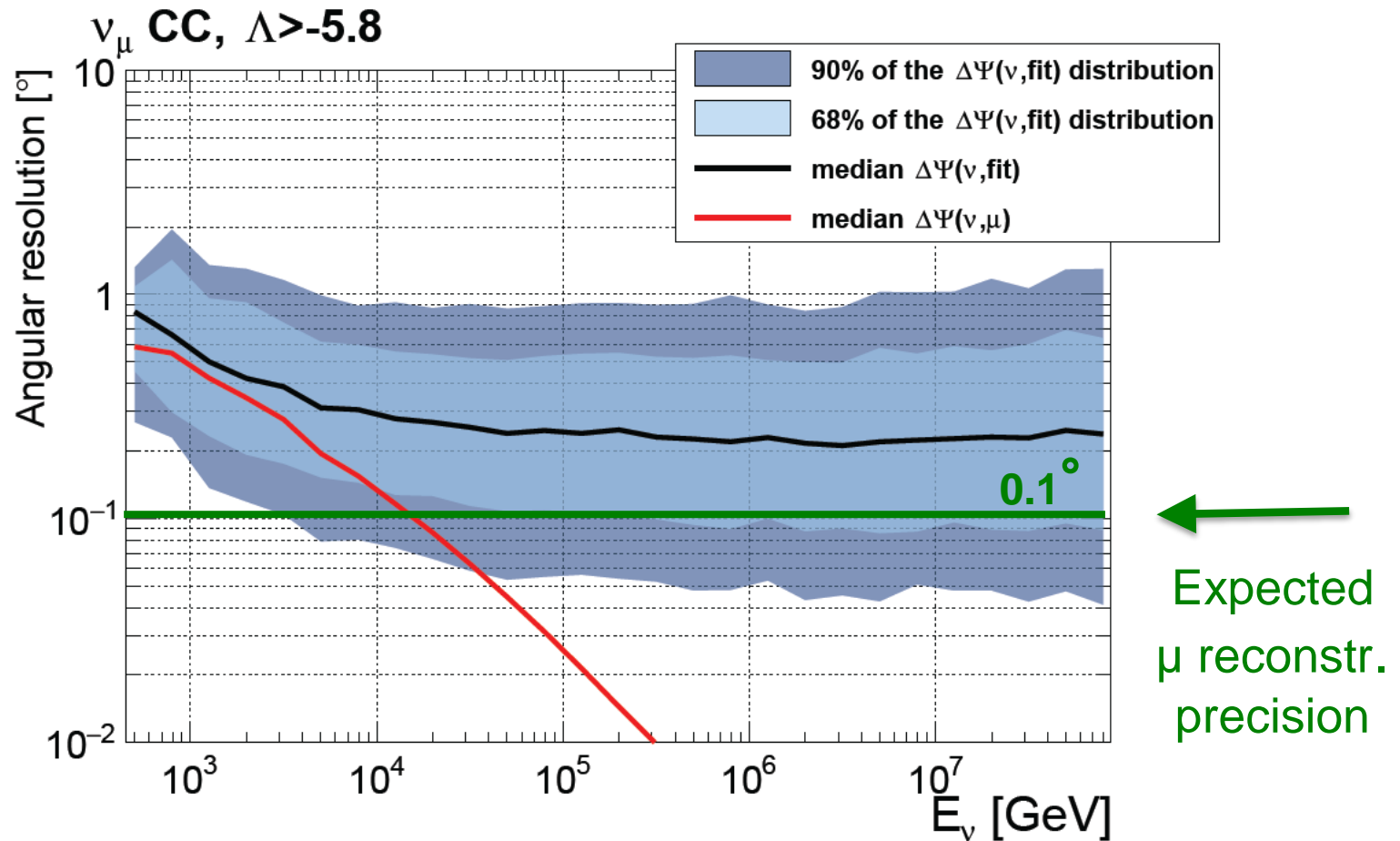


Neutrino astronomy: Selected sensitivity studies

Muon angular reconstruction



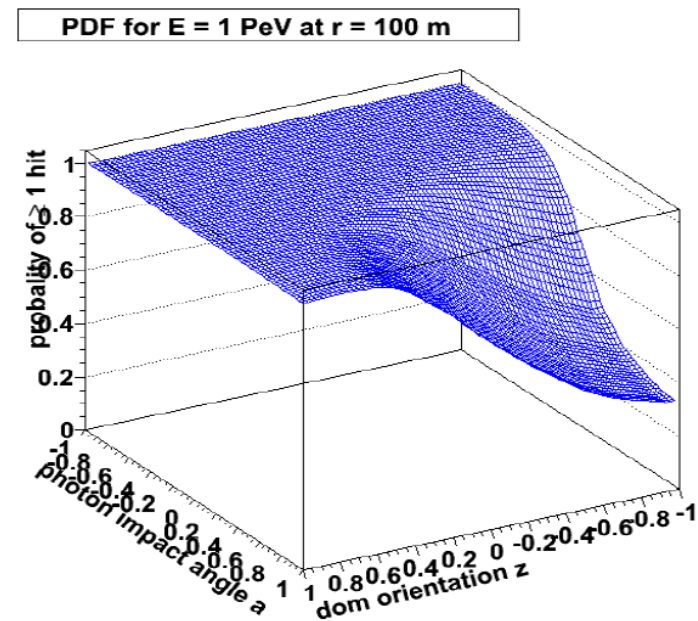
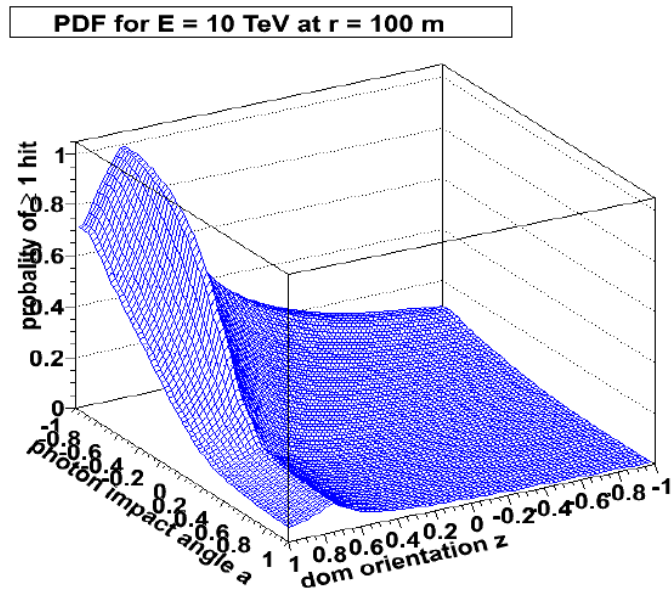
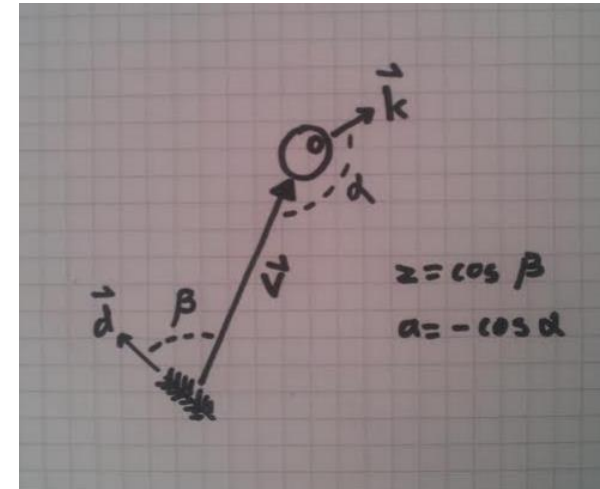
- Reconstruction using new PMT response simulation:
Median of angle $\Delta\Omega$ between reconstructed μ and true ν direction



Shower reconstruction: Method



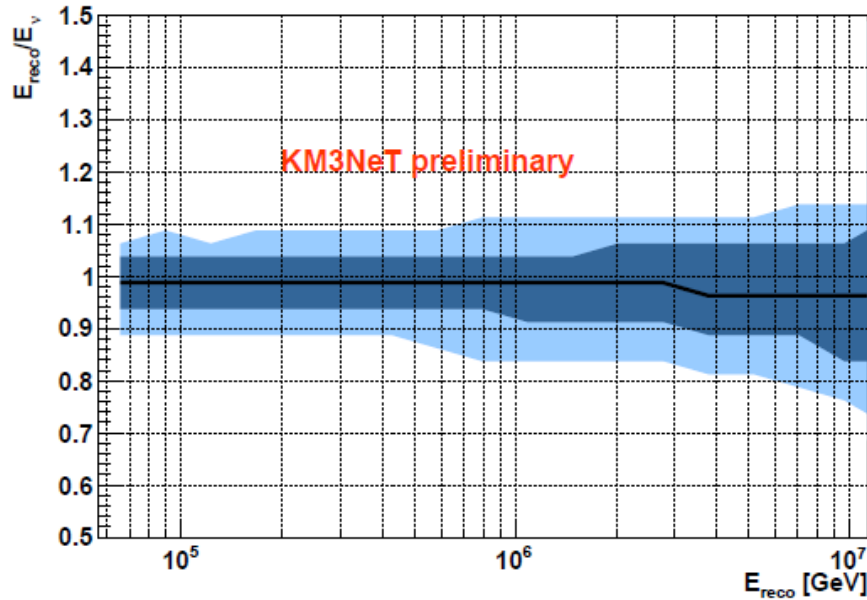
- Vertex fit: Suitable hit selection, fit uses timing; result used for containment requirement
- Direction and energy: Uses PDF depending on
 - Distance vertex – DOM
 - Angle between shower direction and DOM
 - Orientation of PMTs
- Hit information used: yes/no



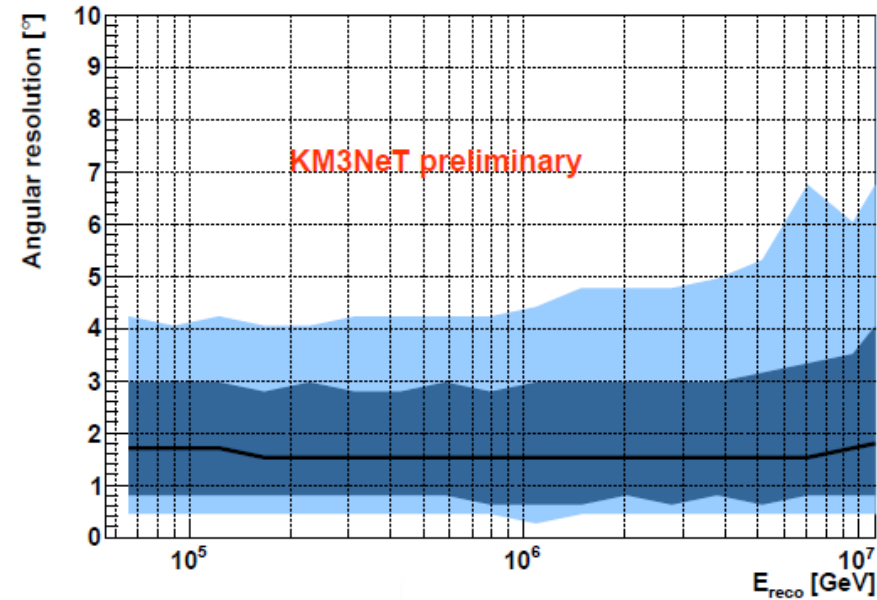
Shower reconstruction: θ , E



E_{reco}/E_ν vs E_{reco}



Ang. resolution vs E_{reco}



- For contained ν_e CC events
- Event sample after selection cuts
- $\sim 10\%$ in E, better than 2° in direction
- Systematic effects very small

- All analyses proceed through 4 consecutive steps:
 1. Preselection (e.g. quality cuts or cuts on reconstructed zenith or N_{hit})
 2. Further background rejection by Boosted Decision Tree
 3. ‘Cut-and-count’ significance analysis
 4. Maximum-likelihood analysis using likelihood ratio

$$\text{LR} = \sum_{k=1}^n \log \frac{\frac{n_{\text{sig}}}{n} \cdot P_{\text{sig}}(X_k) + \left(1 - \frac{n_{\text{sig}}}{n}\right) \cdot P_{\text{back}}(X_k)}{P_{\text{back}}(X_k)}$$

Significance determined by generating pseudo-experiments

Note: All detector information is in the probability density functions $P(X)$, where the event variables X depend on the type of analysis.

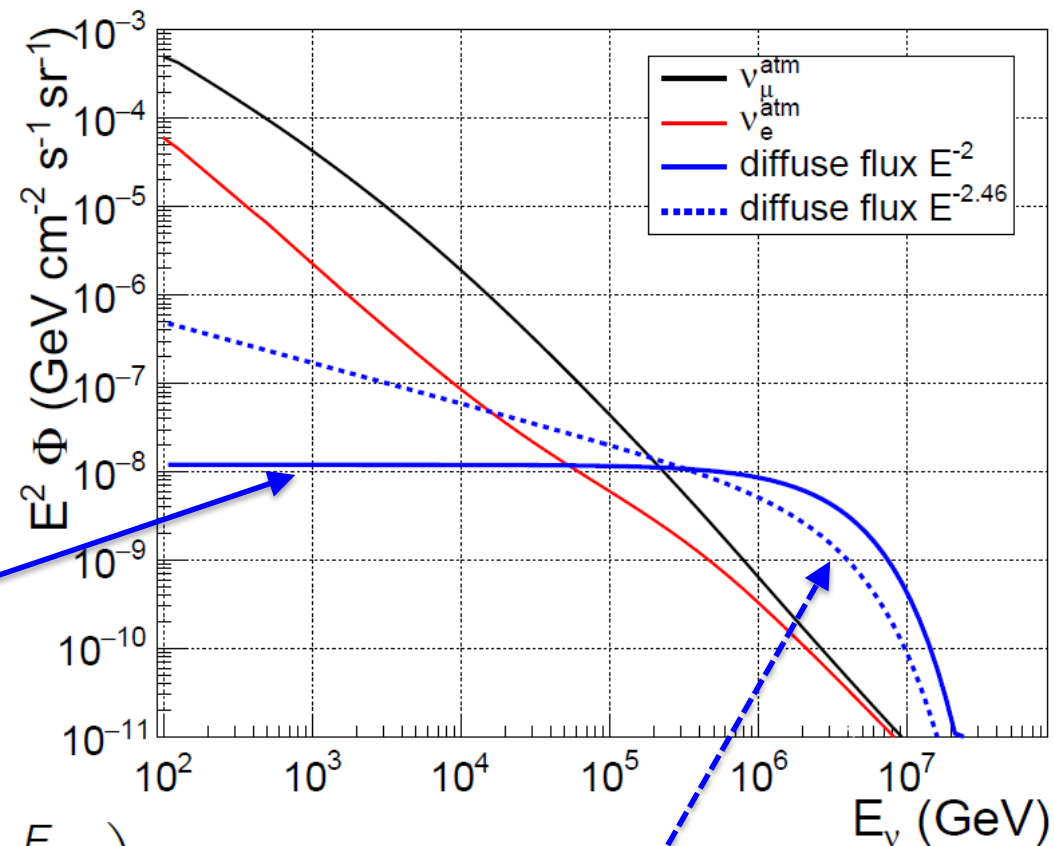
- Main results:
 - Event samples with high signal content from step (3)
 - Optimised sensitivities from step (4)

Diffuse extragalactic neutrino flux



Assumptions:

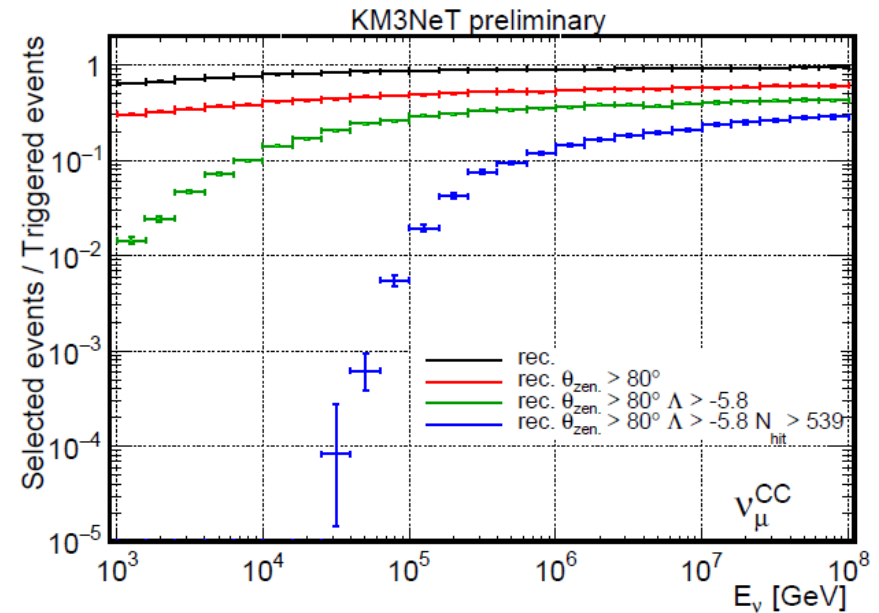
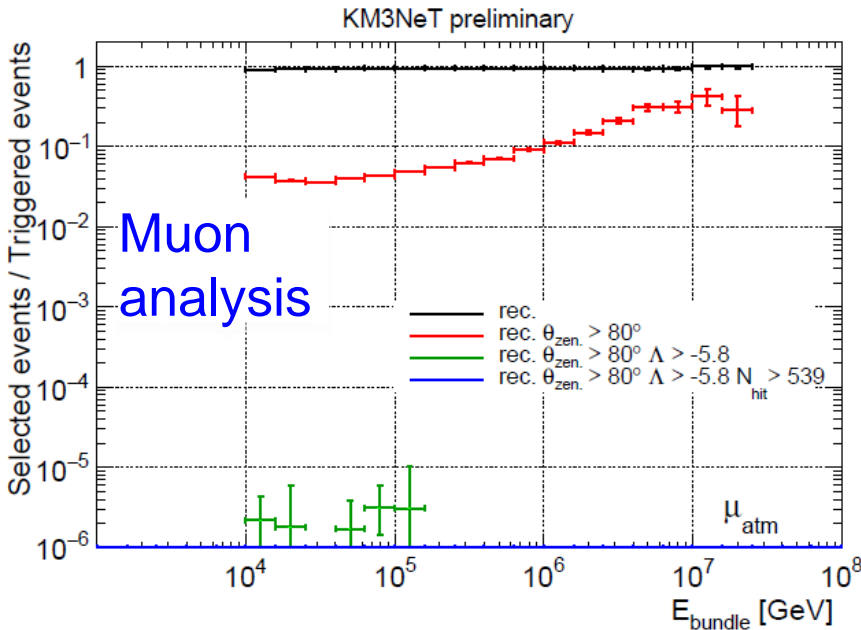
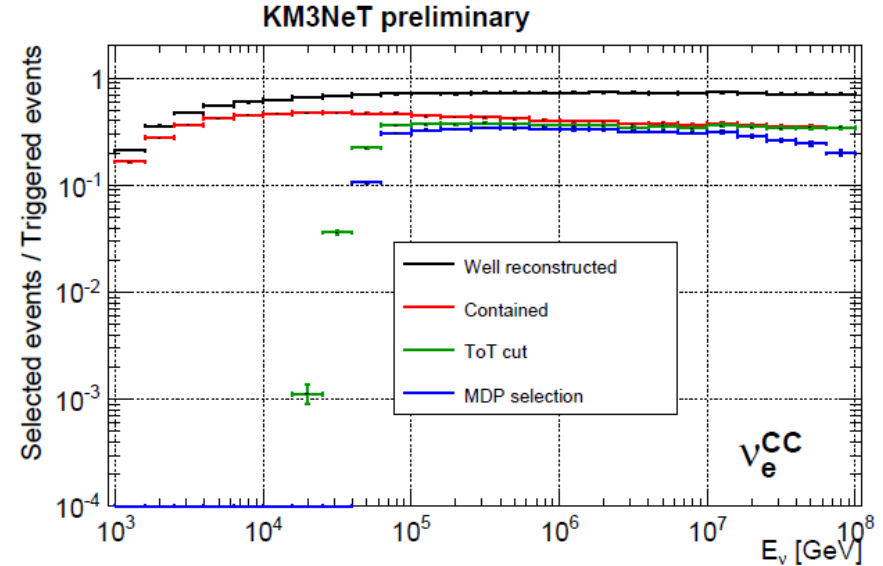
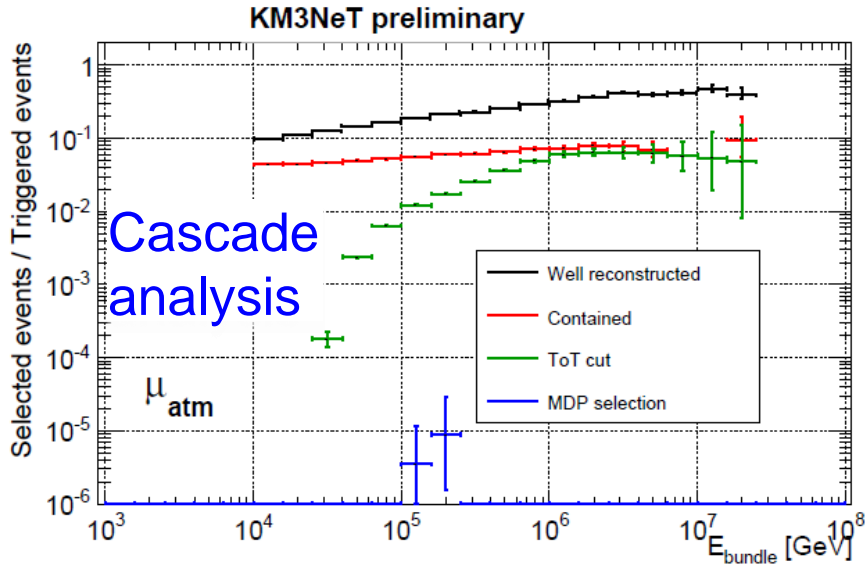
1. Flavour-symmetric
2. Isotropic
3. Energy spectrum consistent with IceCube findings



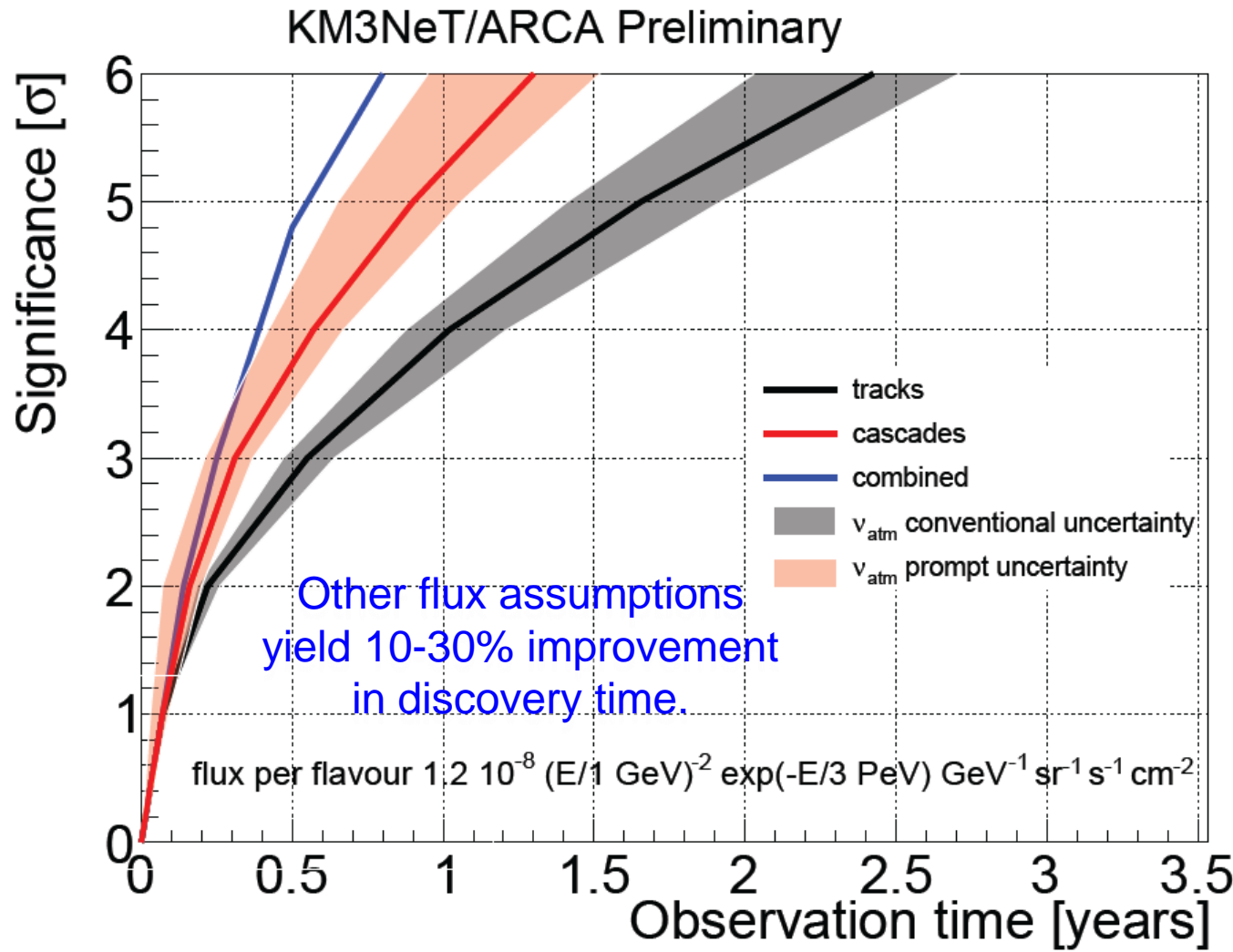
$$\Phi(E_\nu) = 1.2 \times 10^{-8} \cdot \left(\frac{E_\nu}{\text{GeV}}\right)^{-2} \cdot \exp\left(-\frac{E_\nu}{3 \text{ PeV}}\right) \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

$$\Phi(E_\nu) = 4.11 \times 10^{-6} \cdot \left(\frac{E_\nu}{\text{GeV}}\right)^{-2.46} \cdot \exp\left(-\frac{E_\nu}{3 \text{ PeV}}\right) \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

Signal/background separation



Diffuse flux results (max. likelihood)



Event numbers
(cut&count):
16/9 cascades
7.5/5 track-like
(signal/background
per ARCA year)

Note:
For each energy,
direction and flavour,
KM3NeT is
complementary to
IceCube

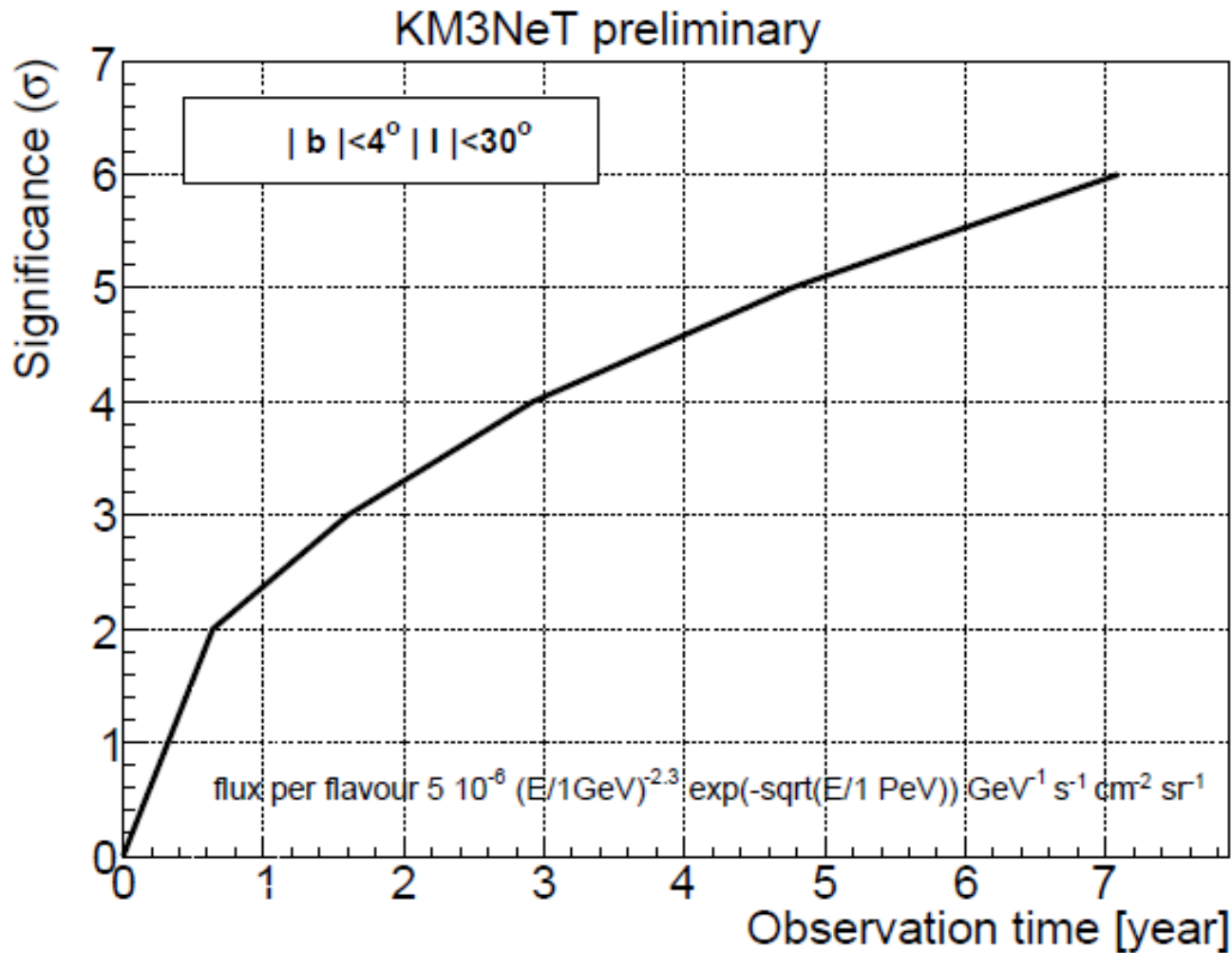
- New model by D. Gaggero et al.
(spatially dependent diffusion coefficient in galactic centre/plane)
- Neutrino flux prediction for central part of Galaxy
(longitude $|l| < 30^\circ$ and latitude $|b| > 4^\circ$):

$$\frac{d\phi}{dE_\nu} = 5 \times 10^{-6} \left(\frac{E_\nu}{1 \text{ GeV}} \right)^{-2.3} \cdot \exp \left(-\sqrt{\frac{E_\nu}{1 \text{ PeV}}} \right) \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

per flavour (small region but much higher flux than extragalactic)

- Region very well visible for KM3NeT for up-going events
→ First analysis in muon channel

Galactic plane: First results

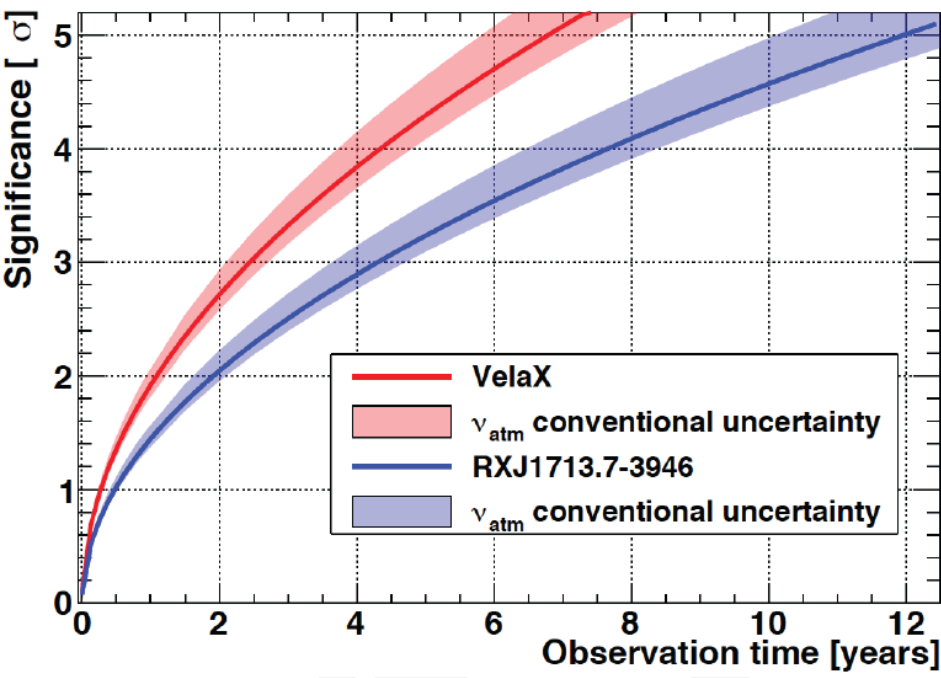


- Discovery in 4-5 years
- Inclusion of cascade channel will improve this result
- Confirmation of this flux: major breakthrough in astrophysics

Point-source results

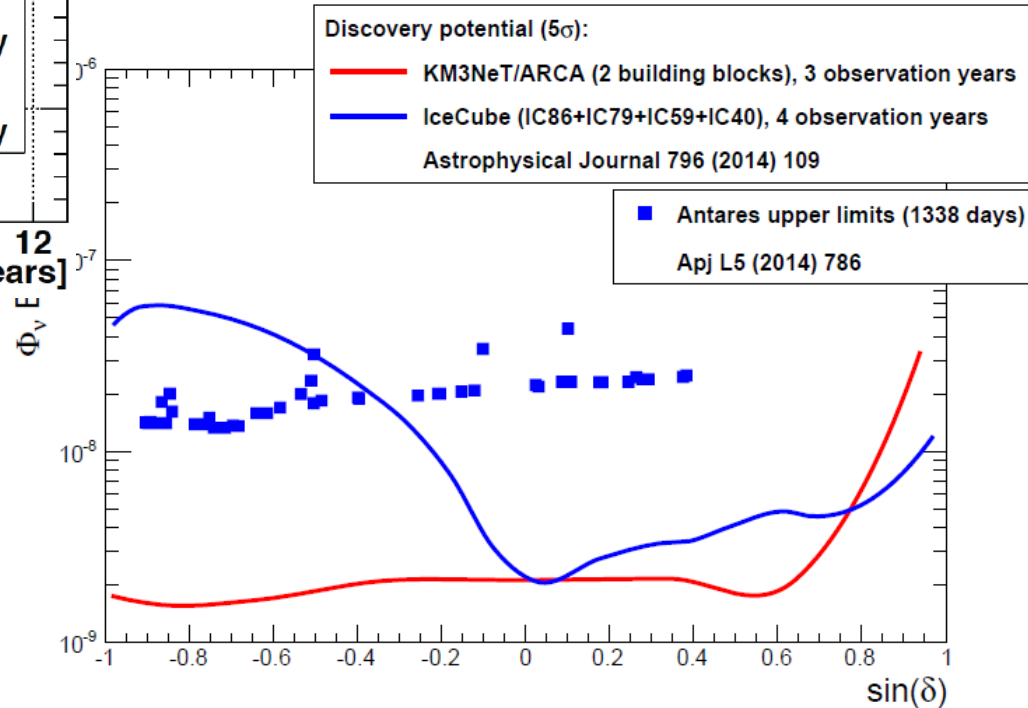


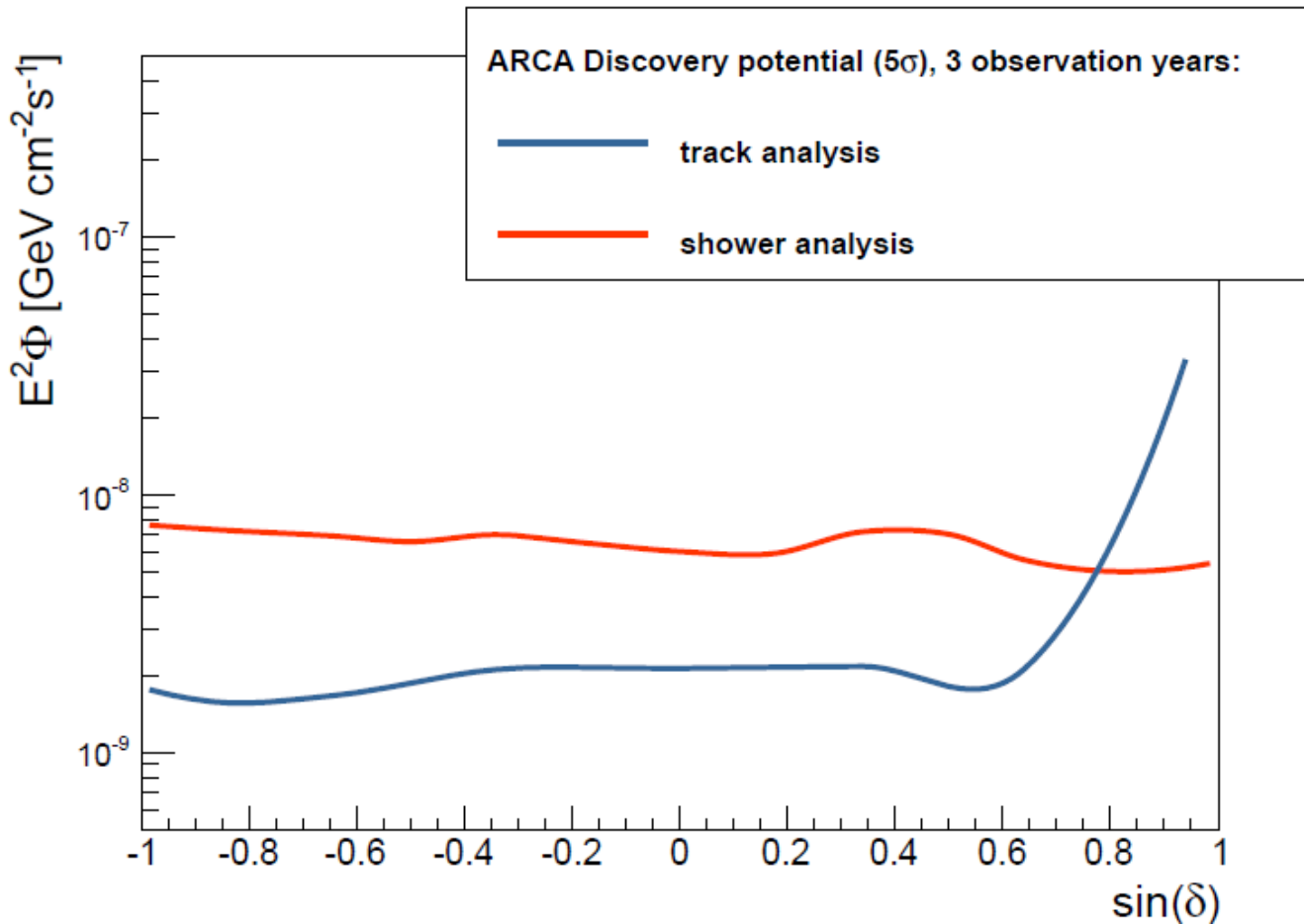
KM3NeT preliminary - detector with 2 building blocks



- Galactic sources in reach

- Significant discovery potential for extragalactic sources





- Results are “rather preliminary”
- Important: Provides cascade event sample for source candidates
- Closes visibility gap



... concluding



- The KM3NeT neutrino telescope has entered its first construction phase
- Results from prototypes and first string very encouraging
- ARCA & ORCA to follow in 2016-20, sensitivity complementary to IceCube
- Priority science goals:
 - All-flavour neutrino astronomy
 - Measurement of the neutrino mass hierarchy

Stay tuned!