

Status and challenges of the coalescence model

Sebastian Wild (Technical University Munich)

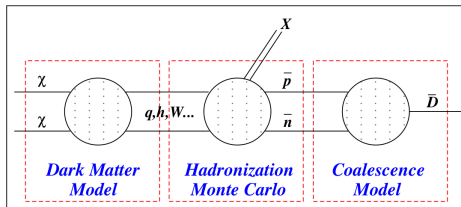


First Cosmic Ray Antideuteron Workshop, June 6, 2014

Based on 1209.5539 (JCAP '13) and 1301.3820 (PRD '13)
in collaboration with Alejandro Ibarra

Production of antideuterons

[Figure from Baer, Profumo (2005)]

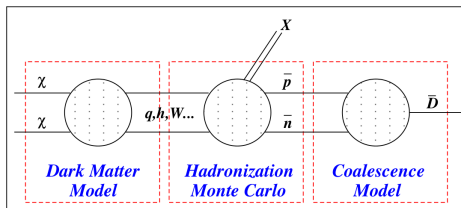


1) Primary interaction:

$$\chi\chi \rightarrow W^+ W^-, \chi\chi \rightarrow b\bar{b}, \dots, \text{ or } pp \rightarrow \text{anything}$$

Production of antideuterons

[Figure from Baer, Profumo (2005)]



1) Primary interaction:

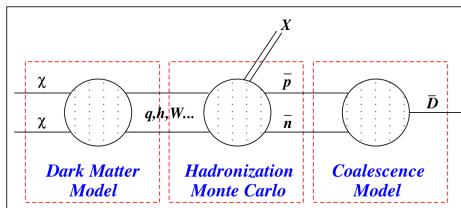
$\chi\chi \rightarrow W^+ W^-$, $\chi\chi \rightarrow b\bar{b}$, \dots , or $pp \rightarrow \text{anything}$

2) Hadronization:

- Use a Monte Carlo event generator (PYTHIA, Herwig, \dots), **or**
- if available, use experimental data on \bar{p} and \bar{n} production (e.g. for pp collisions)

Production of antideuterons

[Figure from Baer, Profumo (2005)]



1) Primary interaction:

$$\chi\chi \rightarrow W^+W^-, \chi\chi \rightarrow b\bar{b}, \dots, \text{ or } pp \rightarrow \text{anything}$$

2) Hadronization:

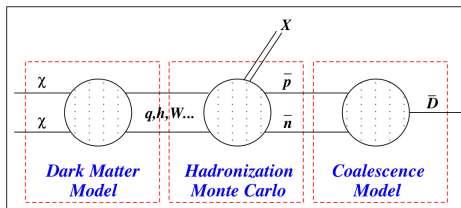
- Use a Monte Carlo event generator (PYTHIA, Herwig, ...), **or**
- if available, use experimental data on \bar{p} and \bar{n} production (e.g. for pp collisions)

3) Physics of the formation of an antideuteron out of a $\bar{p} - \bar{n}$ pair:

- **Coalescence model**
- (or something else?)

The coalescence model

[Figure from Baer, Profumo (2005)]

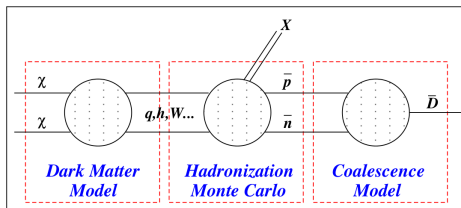


Formation of an antideuteron out of a \bar{p} - \bar{n} pair: **Coalescence model**
 [Butler, Pearson 1963; Csernai, Kapusta 1983; Kadastik, Raidal, Strumia 2009]

$$\bar{d} \text{ forms if } \left| \vec{k}_{\bar{p}} - \vec{k}_{\bar{n}} \right| \leq p_0 = \mathcal{O}(100 \text{ MeV})$$

The coalescence model

[Figure from Baer, Profumo (2005)]



Formation of an antideuteron out of a \bar{p} - \bar{n} pair: **Coalescence model**

[Butler, Pearson 1963; Csernai, Kapusta 1983; Kadastik, Raidal, Strumia 2009]

$$\bar{d} \text{ forms if } \left| \vec{k}_{\bar{p}} - \vec{k}_{\bar{n}} \right| \leq p_0 = \mathcal{O}(100 \text{ MeV})$$

Central questions which I want to address here:

- 1) How can this simple model be validated?
- 2) What is the value of the **coalescence momentum** p_0 ?
- 3) Physically, one expects the coalescence momentum p_0 to be **universal**
 \hookrightarrow Is this supported by experimental data?

Factorized coalescence model

$$\text{Coalescence model: } \left| \vec{k}_{\bar{p}} - \vec{k}_{\bar{n}} \right| \leq p_0$$

$$\Downarrow$$

$$\gamma_{\bar{d}} \frac{dN_{\bar{d}}}{d^3k_{\bar{d}}} \left(\vec{k}_{\bar{d}} \right) \simeq \frac{1}{8} \cdot \frac{4}{3} \pi p_0^3 \cdot \gamma_{\bar{p}} \gamma_{\bar{n}} \frac{dN_{\bar{p}} dN_{\bar{n}}}{d^3k_{\bar{p}} d^3k_{\bar{n}}} \left(\frac{\vec{k}_{\bar{d}}}{2}, \frac{\vec{k}_{\bar{d}}}{2} \right)$$

Factorized coalescence model

$$\text{Coalescence model: } \left| \vec{k}_{\bar{p}} - \vec{k}_{\bar{n}} \right| \leq p_0$$

$$\Downarrow$$

$$\gamma_{\bar{d}} \frac{dN_{\bar{d}}}{d^3 k_{\bar{d}}} \left(\vec{k}_{\bar{d}} \right) \simeq \frac{1}{8} \cdot \frac{4}{3} \pi p_0^3 \cdot \gamma_{\bar{p}} \gamma_{\bar{n}} \frac{dN_{\bar{p}} dN_{\bar{n}}}{d^3 k_{\bar{p}} d^3 k_{\bar{n}}} \left(\frac{\vec{k}_{\bar{d}}}{2}, \frac{\vec{k}_{\bar{d}}}{2} \right)$$

Factorized coalescence model: postulation of $\frac{dN_{\bar{p}} dN_{\bar{n}}}{d^3 k_{\bar{p}} d^3 k_{\bar{n}}} = \frac{dN_{\bar{p}}}{d^3 k_{\bar{p}}} \cdot \frac{dN_{\bar{n}}}{d^3 k_{\bar{n}}}$

- Used in many works up to ~ 2009
- In particular, the factorized coalescence model has been **tested** against experimental data
[Chardonnet et. al. '97, Duperray et. al. '05]

Event-by-event coalescence model

State of the art:

Direct, i.e. **event-by-event** implementation of the
coalescence condition $\left| \vec{k}_{\bar{p}} - \vec{k}_{\bar{n}} \right| \leq p_0$

[Kadastik, Raidal, Strumia 2009]

- Straightforward to implement in a Monte Carlo event generator

Event-by-event coalescence model

State of the art:

Direct, i.e. **event-by-event** implementation of the coalescence condition $\left| \vec{k}_{\bar{p}} - \vec{k}_{\bar{n}} \right| \leq p_0$

[Kadastik, Raidal, Strumia 2009]

- Straightforward to implement in a Monte Carlo event generator
- Importance of **spatial separation**: [Ibarra, SW 2013, Fornengo et. al. 2013]
 → exclude antinucleons originating from weak decays ($\bar{\Lambda}$, $\bar{\Sigma}^\pm$, ...),
 as they have a spatial separation $\Delta r \gg \text{fm}$, and hence cannot coalesce

Event-by-event coalescence model

State of the art:

Direct, i.e. **event-by-event** implementation of the coalescence condition $\left| \vec{k}_{\bar{p}} - \vec{k}_{\bar{n}} \right| \leq p_0$

[Kadastik, Raidal, Strumia 2009]

- Straightforward to implement in a Monte Carlo event generator
- Importance of **spatial separation**: [Ibarra, SW 2013, Fornengo et. al. 2013]
 - exclude antinucleons originating from weak decays ($\bar{\Lambda}, \bar{\Sigma}^{\pm}, \dots$), as they have a spatial separation $\Delta r \gg \text{fm}$, and hence cannot coalesce
 - this affects...
 - (a) the value of p_0 itself when tuning it do data
 - (b) the predicted \bar{d} yield from DM annihilations or spallation processes
 - has to be included self-consistently!
 - **[Side remark:** be careful when comparing different values of p_0 : some works include this effect, some not]

Validating the event-by-event coalescence model

Using the event-by-event coalescence model requires some assumptions...:

- correctness of the physical idea of the event-by-event coalescence condition
- correctness of the \bar{p} - \bar{n} correlations as they are predicted by Monte Carlo event generators

Validating the event-by-event coalescence model

Using the event-by-event coalescence model requires some assumptions...:

- correctness of the physical idea of the event-by-event coalescence condition
- correctness of the \bar{p} - \bar{n} correlations as they are predicted by Monte Carlo event generators



These assumptions underlying the event-by-event coalescence model have to be tested against data as much as possible!

- This issue is closely related to the determination of the coalescence momentum p_0

What is the value of p_0 ?

- In absence of a detailed underlying theoretical description, the only way of getting p_0 is **tuning its value to available data**
- Method employed in (almost) all works:
Multiplicity of antideuteron from Z boson decay measured by ALEPH
 - ↪ We use an event-by-event analysis with PYTHIA 8 to determine p_0 according to this measurement
 - ↪ Result: $p_0 = (192 \pm 30) \text{ MeV}$

What is the value of p_0 ?

- In absence of a detailed underlying theoretical description, the only way of getting p_0 is **tuning its value to available data**
- Method employed in (almost) all works:
Multiplicity of antideuteron from Z boson decay measured by ALEPH
 - ↪ We use an event-by-event analysis with PYTHIA 8 to determine p_0 according to this measurement
 - ↪ Result: $p_0 = (192 \pm 30) \text{ MeV}$
- Note that this is a fit of *one* parameter to *one* data point
 - ↪ this does of course **not validate** the correctness and applicability of the event-by-event coalescence model
 - ↪ in particular, it does **not** prove the existence of a universal p_0

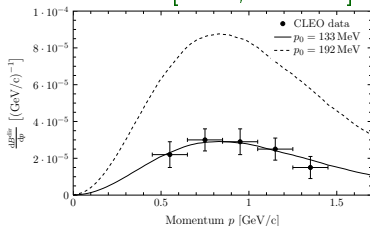


Hence, we tried to fit p_0 to all available data sets on antideuteron production

Using all available data sets for obtaining p_0

$$\Upsilon(1S) \rightarrow \bar{d} + X \quad (\sqrt{s} = m_{\Upsilon(1S)} = 9.46 \text{ GeV})$$

[Ibarra, SW 2013]

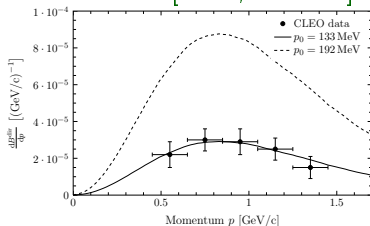


- **Momentum spectrum** of \bar{d} produced in $\Upsilon(1S) \rightarrow ggg, gg\gamma$ decays, measured by CLEO.
- Best fit: $p_0 = 133 \text{ MeV}$ (PYTHIA 8)
 \hookrightarrow extremely good fit ($\chi^2/\text{df} = 0.15$)
- $p_0 = (192 \pm 30) \text{ MeV}$ from Z decay measurement is **in tension** with the data

Using all available data sets for obtaining p_0

$$\Upsilon(1S) \rightarrow \bar{d} + X \quad (\sqrt{s} = m_{\Upsilon(1S)} = 9.46 \text{ GeV})$$

[Ibarra, SW 2013]

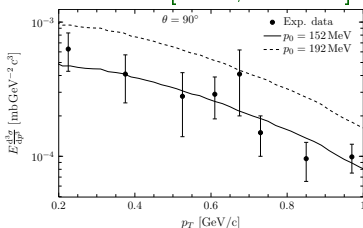


- **Momentum spectrum** of \bar{d} produced in $\Upsilon(1S) \rightarrow ggg, gg\gamma$ decays, measured by CLEO.
 - Best fit: $p_0 = 133 \text{ MeV}$ (PYTHIA 8)
 \hookrightarrow extremely good fit ($\chi^2/\text{df} = 0.15$)
 - $p_0 = (192 \pm 30) \text{ MeV}$ from Z decay measurement is **in tension** with the data
- p_0 only changes the normalization of the \bar{d} spectrum, hence it is a non-trivial result that the *spectrum* is reproduced by the coalescence model!

Using all available data sets for obtaining p_0

$$pp \rightarrow \bar{d} + X \quad (\sqrt{s} = 53 \text{ GeV})$$

[Ibarra, SW 2013]

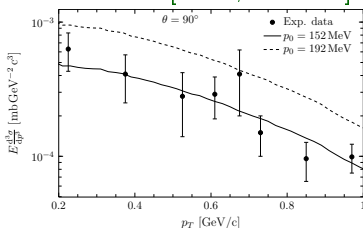


- pp collisions at ISR at $\sqrt{s} = 53$ GeV, \bar{d} spectrum measured at $\theta = 90^\circ$
- Best fit: $p_0 = 152$ MeV (PYTHIA 8)
 \hookrightarrow extremely good fit ($\chi^2/\text{df} = 0.6$)
- $p_0 = (192 \pm 30)$ MeV from Z decay measurement is compatible with the data

Using all available data sets for obtaining p_0

$$pp \rightarrow \bar{d} + X \quad (\sqrt{s} = 53 \text{ GeV})$$

[Ibarra, SW 2013]



- pp collisions at ISR at $\sqrt{s} = 53 \text{ GeV}$, \bar{d} spectrum measured at $\theta = 90^\circ$
- Best fit: $p_0 = 152 \text{ MeV}$ (PYTHIA 8)
 \hookrightarrow extremely good fit ($\chi^2/\text{df} = 0.6$)
- $p_0 = (192 \pm 30) \text{ MeV}$ from Z decay measurement is compatible with the data

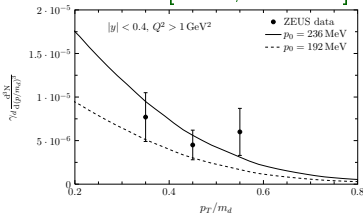
- This is precisely the process mainly responsible for the \bar{d} spallation background, but with $\sqrt{s} \gg \sqrt{s}_{\text{spallation}} \simeq 8 - 10 \text{ GeV}$
 \hookrightarrow we use $p_0 = 152 \text{ MeV}$ for our calculation of the \bar{d} background

[Ibarra, SW 2014]

Using all available data sets for obtaining p_0

$$e^-p \rightarrow \bar{d} + X \quad (\sqrt{s} = 318 \text{ GeV})$$

[Ibarra, SW 2013]

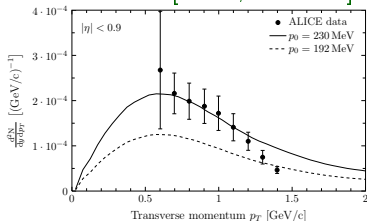


- Antideuteron production in deep inelastic e^-p scattering at $\sqrt{s} = 318 \text{ GeV}$ measured by ZEUS.
- Best fit: $p_0 = 236 \text{ MeV}$ (PYTHIA 6)
 \hookrightarrow good fit ($\chi^2/\text{df} = 1.0$), but not very conclusive data set due to only three data points

Using all available data sets for obtaining p_0

$$pp \rightarrow d + X \quad (\sqrt{s} = 7 \text{ TeV})$$

[Ibarra, SW 2013]

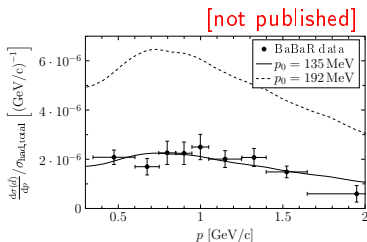


- Momentum spectrum of **deuterons** produced in pp collisions at $\sqrt{s} = 7$ TeV, measured by ALICE (preliminary data).
- Best fit: $p_0 = 230 \text{ MeV}$ (PYTHIA 8)
 \hookrightarrow rather poor fit ($\chi^2/\text{df} = 2.8$)
- $p_0 = (192 \pm 30) \text{ MeV}$ from Z decay measurement is (also) in tension with the data

Using all available data sets for obtaining p_0

$$e^+e^- \rightarrow q\bar{q} \rightarrow \bar{d} + X \quad (\sqrt{s} = 10.58 \text{ GeV})$$

New results, not published! See also the talk by Brian Hamilton

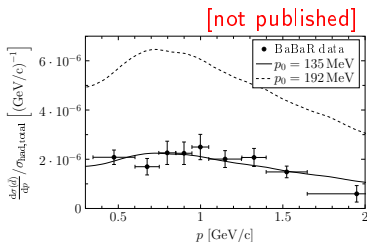


- Momentum spectrum of \bar{d} produced in $e^+e^- \rightarrow q\bar{q}$ at $\sqrt{s} = 10.58 \text{ GeV}$, measured by BaBar.
- Best fit: $p_0 = 135 \text{ MeV}$ (PYTHIA 8)
 \hookrightarrow very good fit ($\chi^2/\text{df} = 0.89$)
- $p_0 = (192 \pm 30) \text{ MeV}$ from Z decay measurement is **in tension** with the data

Using all available data sets for obtaining p_0

$$e^+e^- \rightarrow q\bar{q} \rightarrow \bar{d} + X \quad (\sqrt{s} = 10.58 \text{ GeV})$$

New results, not published! See also the talk by Brian Hamilton



- Momentum spectrum of \bar{d} produced in $e^+e^- \rightarrow q\bar{q}$ at $\sqrt{s} = 10.58 \text{ GeV}$, measured by BaBar.
- Best fit: $p_0 = 135 \text{ MeV}$ (PYTHIA 8)
 \hookrightarrow very good fit ($\chi^2/\text{df} = 0.89$)
- $p_0 = (192 \pm 30) \text{ MeV}$ from Z decay measurement is **in tension** with the data

This data set is **particularly interesting**, as it directly resembles a $\simeq 10 \text{ GeV}$ dark matter particle annihilating into a “mixed” $q\bar{q}$ state
 \hookrightarrow relevant channel for AMS-02 and GAPS!

Fitting p_0 to data: interpretation

Interpretation of these results: (within PYTHIA)

There is **no choice** for p_0 which would provide a good fit to *all* data sets!

Fitting p_0 to data: interpretation

Interpretation of these results: (within PYTHIA)

There is **no choice** for p_0 which would provide a good fit to *all* data sets!

- The “standard value” $p_0 = (192 \pm 30)$ MeV is in tension with several data sets
- We checked that the \bar{p} spectrum itself is always reproduced sufficiently well by PYTHIA
 \hookrightarrow this excludes “trivial” Monte Carlo errors

Fitting p_0 to data: interpretation

Interpretation of these results: (within PYTHIA)

There is **no choice** for p_0 which would provide a good fit to *all* data sets!

- The “standard value” $p_0 = (192 \pm 30)$ MeV is in tension with several data sets
- We checked that the \bar{p} spectrum itself is always reproduced sufficiently well by PYTHIA
 - ↪ this excludes “trivial” Monte Carlo errors
- Interestingly, the data sets *individually* can be **fitted quite well** by the coalescence model
 - ↪ p_0 only changes the normalization of the \bar{d} spectrum, hence this is a non-trivial result!
 - ↪ Confirmation of the $\bar{p} - \bar{n}$ correlations in PYTHIA?

Fitting p_0 to data: interpretation

Interpretation of these results: (within PYTHIA)

There is **no choice** for p_0 which would provide a good fit to *all* data sets!

- The “standard value” $p_0 = (192 \pm 30)$ MeV is in tension with several data sets
- We checked that the \bar{p} spectrum itself is always reproduced sufficiently well by PYTHIA
 \hookrightarrow this excludes “trivial” Monte Carlo errors
- Interestingly, the data sets *individually* can be **fitted quite well** by the coalescence model
 $\hookrightarrow p_0$ only changes the normalization of the \bar{d} spectrum, hence this is a non-trivial result!
 \hookrightarrow Confirmation of the $\bar{p} - \bar{n}$ correlations in PYTHIA?
- Note that data from pA and AA collisions can not be used within the event-by-event approach

Fitting p_0 to data: interpretation

Tempting interpretation:

Event-by-event coalescence is valid,
but p_0 depends on underlying process and \sqrt{s}

- This dependence is **not understood** and needs further investigations
 \hookrightarrow Induced uncertainty on $N_{\bar{d}} \propto p_0^3$: (at least?) a factor of ~ 5.5

Fitting p_0 to data: interpretation

Tempting interpretation:

Event-by-event coalescence is valid,
but p_0 depends on underlying process and \sqrt{s}

- This dependence is **not understood** and needs further investigations
 \hookrightarrow Induced uncertainty on $N_{\bar{d}} \propto p_0^3$: (at least?) a factor of ~ 5.5

Alternative explanations:

- Problem within PYTHIA?
 $\hookrightarrow \bar{p}-\bar{n}$ correlations are not part of the tuning in PYTHIA
 \hookrightarrow see talk by Lars Dal for a similar analysis using Herwig
- Coalescence model itself too simplistic?
 \hookrightarrow classical description not applicable?

Summary & Conclusions

- State-of-the-art method for calculating \bar{d} formation:
event-by-event coalescence model
 - ↪ this in principle captures all (anti-)correlations of \bar{p} and \bar{n} production
- However, this relies on a Monte Carlo event generator for simulating the production of $\bar{p} - \bar{n}$ pairs
 - ↪ e.g. PYTHIA is in principle not tuned for this task

Hence, one **has to check** the combination of the Monte Carlo generator plus the event-by-event coalescence model using all available data!

- We did this using PYTHIA, and found that **no choice of p_0** can simultaneously fit all data sets
 - ↪ however, the individual spectra can be reproduced quite successfully
- **How could this situation be improved?**
 - a) More experimental data is needed, in particular at low \sqrt{s}
 - b) Tuning of Monte Carlo generators?
 - c) Better understanding of \bar{d} formation from the nuclear physics side