

# New Physics Prospects in Mixing and CP Violation at Belle II



UNIVERSITY  
*of* HAWAII®  
MĀNOA

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Charm Physics  
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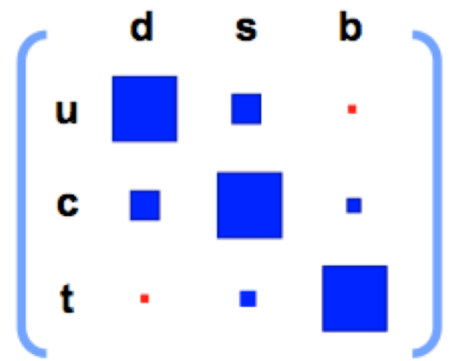
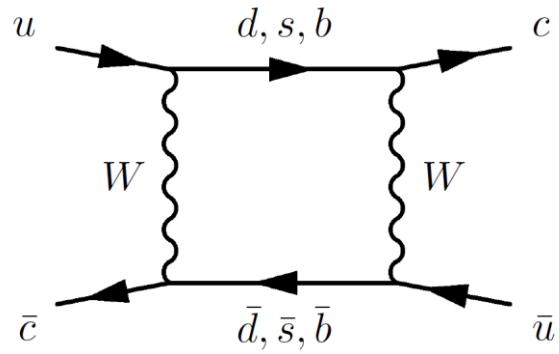
# Mixing in the $D$ System

- Mass & flavor eigenstates differ:
  - $|D_{1,2}\rangle = p|D^0\rangle \pm q|\overline{D^0}\rangle$
  - Note: if  $p \neq q$ , CP is violated.
- Mixing parameterized by mass/width splittings:

$$x \equiv \frac{(m_1 - m_2)}{\Gamma} \quad y \equiv \frac{(\Gamma_1 - \Gamma_2)}{2\Gamma}$$

- In SM,  $D$  mixing is heavily suppressed:
  - CKM suppressed:
    - $V_{cb}$  and  $V_{ub}$  negligible.
  - GIM suppressed:
    - Exactly zero in the limit of flavor SU(3).

$$x \propto \frac{m_s^2 - m_d^2}{m_W^2}$$



➔ Standard model expectation:  $|x|, |y| \sim O(10^{-3} - 10^{-2})$ .

# CP Violation

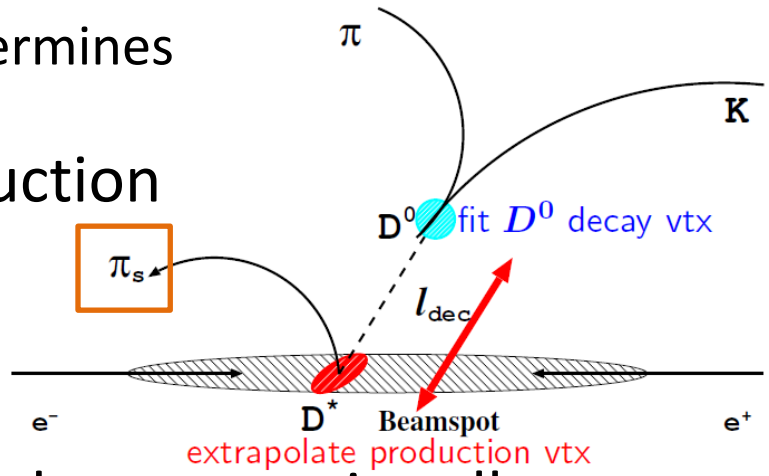
- Three sources:  $A_{CP}(D \rightarrow f) = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow \bar{f})}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow \bar{f})} = a_f^d + a_f^m + a_f^i$ 
  - Mixing ( $a_f^m$ ):  
 $|q/p| \neq 1$
  - Decay ( $a_f^d$ ):  
 $|\mathcal{A}(D \rightarrow f)/\mathcal{A}(\bar{D} \rightarrow \bar{f})| \neq 1$
  - Interference between mixing and direct:  
$$\phi = \arg \left( \frac{q\mathcal{A}(\bar{D} \rightarrow \bar{f})}{p\mathcal{A}(D \rightarrow f)} \right)$$
- CP violation requires complex phase of CKM:
  - In SM, complex phase appears as  $V_{cs} \sim \eta A^2 \lambda^4 \sim O(10^{-3})$   
➔ Observation of “large” CPV could indicate contributions from new physics.

# Measuring $D$ Mixing in $e^+e^- \rightarrow c\bar{c}$

- Continuum production of neutral  $D$  mesons.
- Typically use  $D^{*\pm} \rightarrow \pi_s^\pm D^0$ 
  - Charge of slow pion,  $\pi_s$ , determines the  $D$  flavor.

- Measure length from production vertex to  $D^0$  decay vertex:

$$\beta\gamma = \frac{p_D}{M_D} \quad t = \frac{l_{\text{dec}}}{c\beta\gamma}$$



- Decay time distributions and asymmetries allow access to  $x, y$  (different combinations for different final states).
- Measurements also possible at hadron machines...
  - ...but  $e^+e^-$  provides an excellent environment for reconstruction of final states with neutrals, missing energy.
  - At  $B$  factories, background  $D^*$  from  $B$  decays are kinematically well-separated from signal  $D^*$ .

# Current Measurements of $D$ Mixing

Decay time distribution sensitive to mixing parameters  $x$  and  $y$  and CPV in mixing and depends on the final state:

$$\frac{dN(D^0 \rightarrow f)}{dt} \propto \left| \langle f | D^0 \rangle + \frac{q}{p} \left( \frac{ix+y}{2} \langle f | \bar{D}^0 \rangle \right) \right|^2$$

Final state	Belle	BaBar	LHCb	CDF	Cleo	E791	Focus	BES III
$K^+ \pi^-$	✓	★		★	✓	✓	✓	Coming soon.
$KK, \pi\pi$	★	★	✓		✓	✓	✓	
$K_S^0 \pi\pi$	✓	✓			✓			
$K_S^0 KK$	✓	✓						
$K^+ \pi^- \pi^0$		★						
$K^+ \pi^- \pi^- \pi^+$		✓						
$K^+ \ell^- \nu_\ell$	✓	✓			✓	✓		
quantum corr. in $\psi(3770) \rightarrow D^0 \bar{D}^0$					✓			

✓ – measurement performed; ★ – evidence for mixing

Full list of all  $D^0 - \bar{D}^0$  mixing measurements is available at:

<http://www.slac.stanford.edu/xorg/hfag/charm/index.html>

**\*For new mixing results from Belle, see this morning's slides from M. Staric.**

# Current Measurements of $D$ Mixing

Final state	Belle	BaBar	LHCb	CDF	Cleo	E791	Focus	BES III
$K^+\pi^-$	✓	★		★	✓	✓	✓	Coming soon.
$KK, \pi\pi$	★	★	✓		✓	✓	✓	
$K_S^0\pi\pi$	✓	✓			✓			
$K_S^0KK$	✓	✓						
$K^+\pi^-\pi^0$		★						
$K^+\pi^-\pi^-\pi^+$		✓						
$K^+\ell^-\nu_\ell$	✓	✓			✓	✓		
quantum corr. in $\psi(3770) \rightarrow D^0\bar{D}^0$					✓			

- Each final state has unique sensitivity to mixing, CPV parameters, e.g.:
  - Observables for  $K^+K^-$  and  $\pi^+\pi^-$ :  $y_{CPV}, A_\Gamma$ 

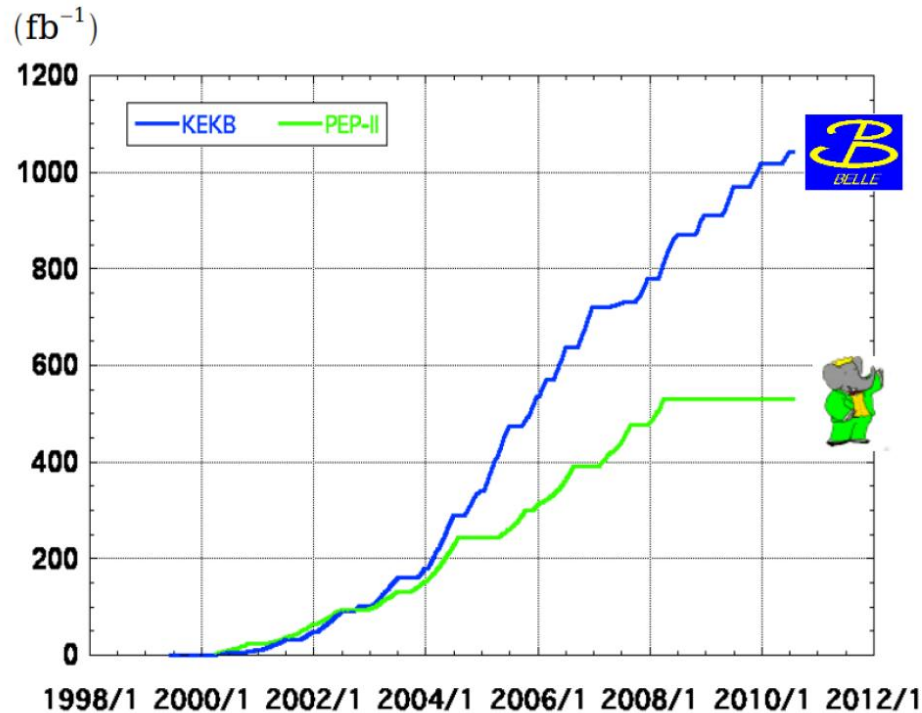
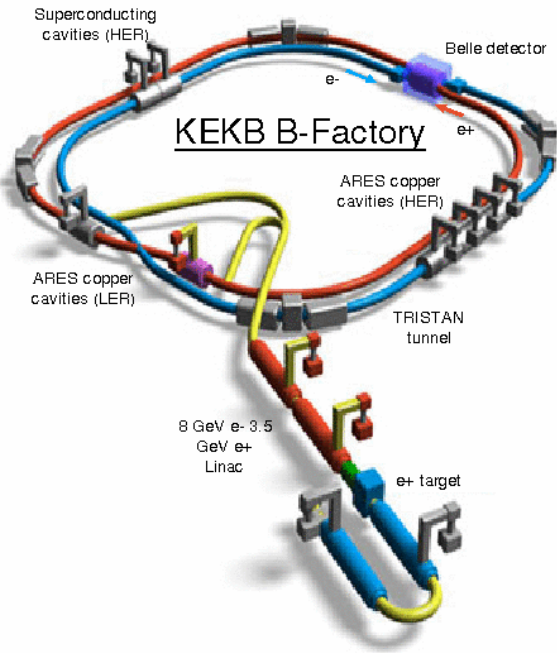
$$y_{CPV} = y \cos \phi - \frac{1}{2} A_M x \sin \phi$$

$$A_\Gamma = \frac{1}{2} A_M y \cos \phi - x \sin \phi$$

$$A_M = \frac{|q/p|^2 - |p/q|^2}{|q/p|^2 + |p/q|^2}$$
  - Observables for  $K_S\pi^+\pi^-$ :  $x, y, |q/p|, \phi$
- ➔ Full measurement program requires measurements in many decay modes.
- $B$  factories have shown excellent sensitivity to a variety of final states.

# Charm Production at KEKB, Belle

- Belle collected  $\sim 1 \text{ ab}^{-1}$  mostly at  $\Upsilon(4S)$ :
  - Large sample of charm events in addition to  $B\bar{B}$ :
    - $\sigma_{B\bar{B}} \approx 1.1 \text{ nb}$ ;  $\sigma_{c\bar{c}} \approx 1.3 \text{ nb}$  ( $\approx 10^9$  charm events)

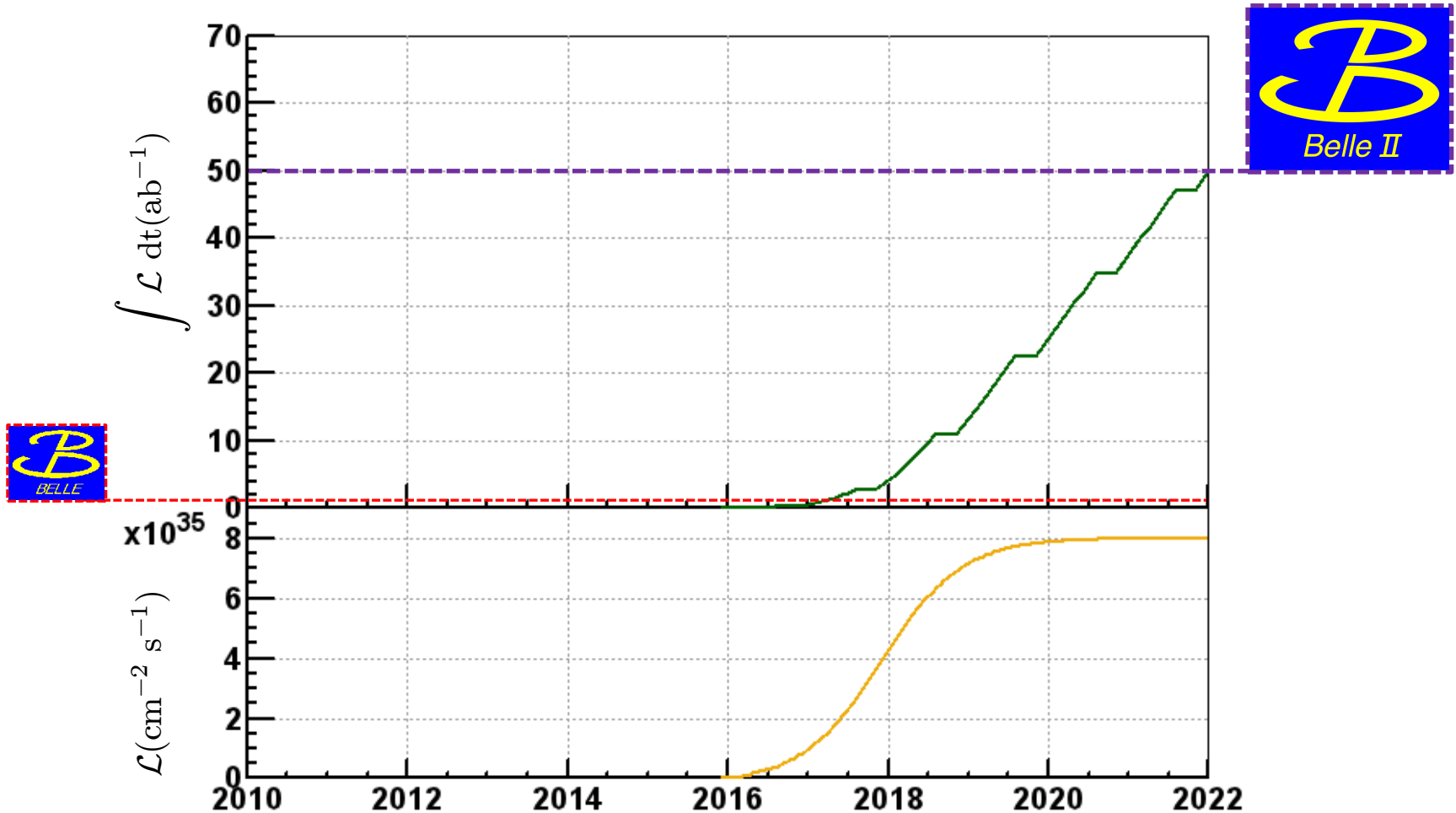


**> 1 ab<sup>-1</sup>**  
**On resonance:**  
 $\Upsilon(5S)$ : 121 fb<sup>-1</sup>  
 $\Upsilon(4S)$ : 711 fb<sup>-1</sup>  
 $\Upsilon(3S)$ : 3 fb<sup>-1</sup>  
 $\Upsilon(2S)$ : 25 fb<sup>-1</sup>  
 $\Upsilon(1S)$ : 6 fb<sup>-1</sup>  
**Off reson./scan:**  
 $\sim 100 \text{ fb}^{-1}$

**$\sim 550 \text{ fb}^{-1}$**   
**On resonance:**  
 $\Upsilon(4S)$ : 433 fb<sup>-1</sup>  
 $\Upsilon(3S)$ : 30 fb<sup>-1</sup>  
 $\Upsilon(2S)$ : 14 fb<sup>-1</sup>  
**Off resonance:**  
 $\sim 54 \text{ fb}^{-1}$

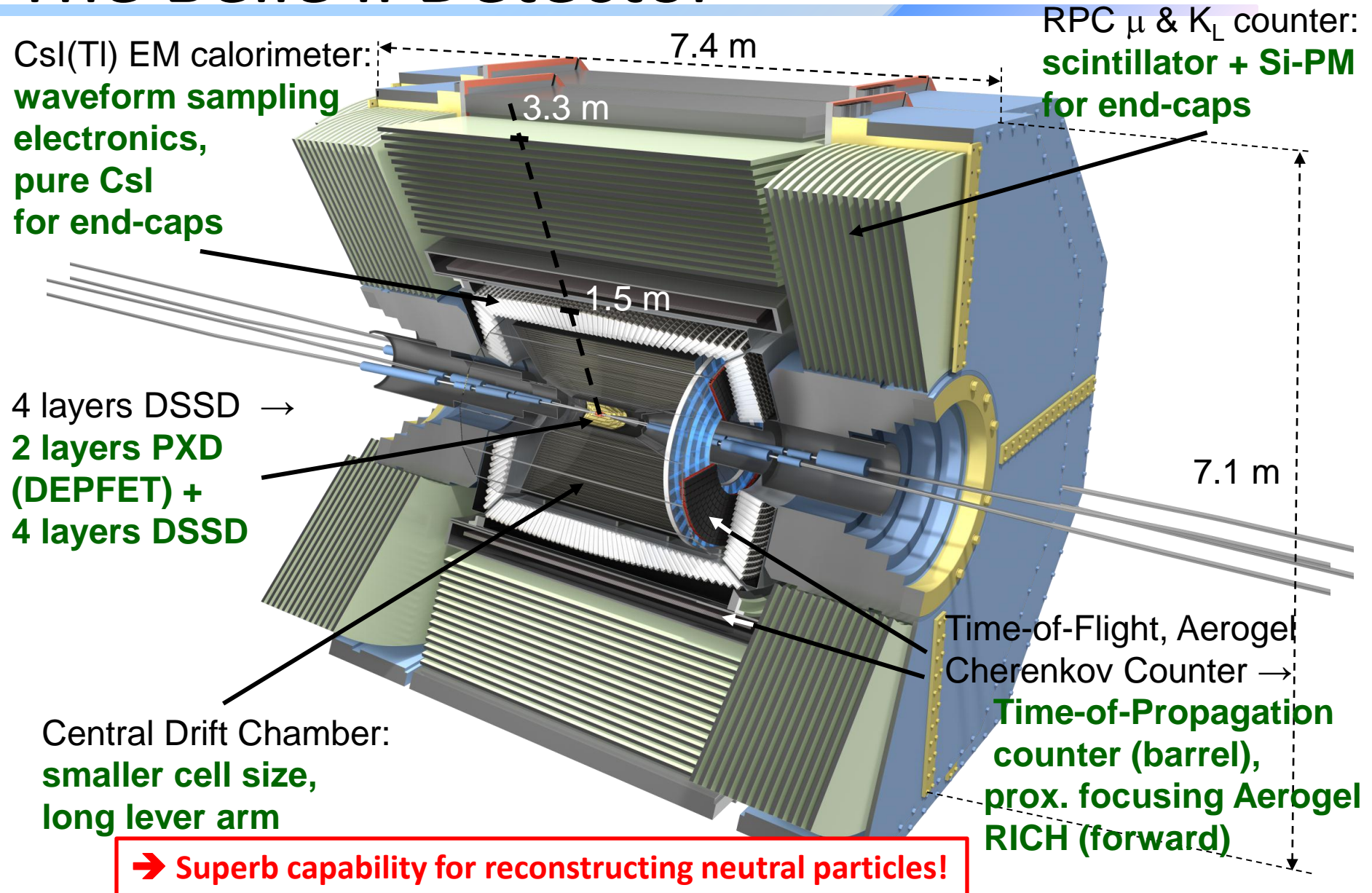
# Charm Production at SuperKEKB, Belle II

- Belle II, 50  $\text{ab}^{-1}$  in 2022:  $> 6 \times 10^{10}$  charm events!





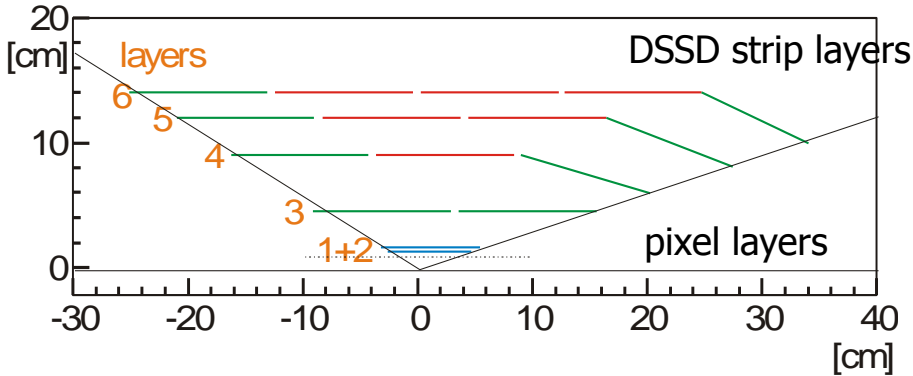
# The Belle II Detector



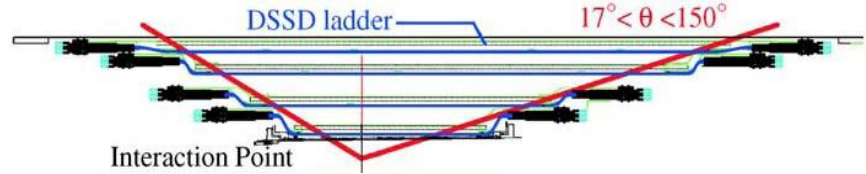
\*For more details on Belle II, see talk tomorrow by A. Schwartz.

# Improved D Measurements at Belle II

## Belle II: Pixels (2 layers) + SVD (4 layers)



## Belle: SVD (4 layers)



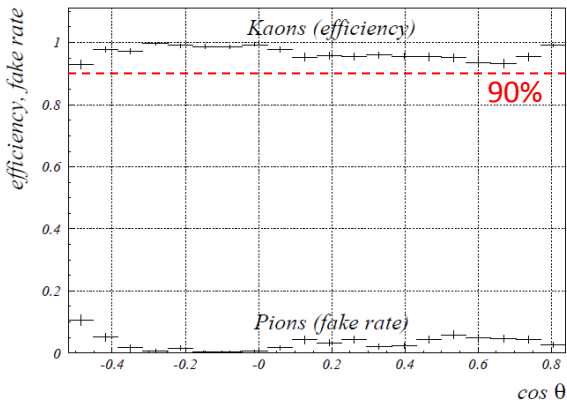
## Vertexing improvements:

- ~25% Improvement in vertex resolution.
- ~30% higher  $K_S \rightarrow \pi^+\pi^-$  efficiency.

## Belle II K/ $\pi$ PID: Time-of-propagation + Focusing Aerogel RICH

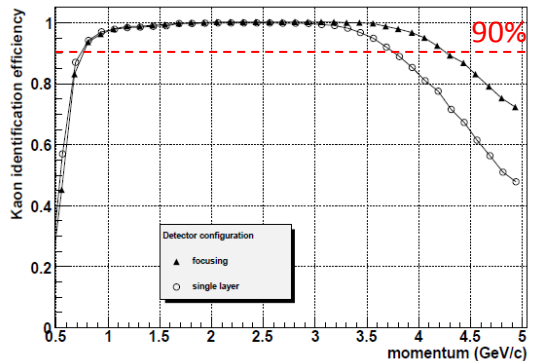
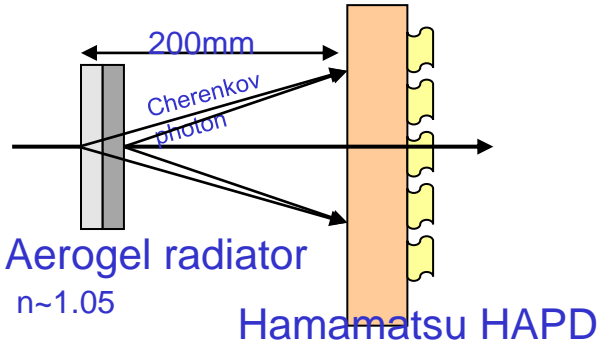


## TOP performance at 3 GeV/c

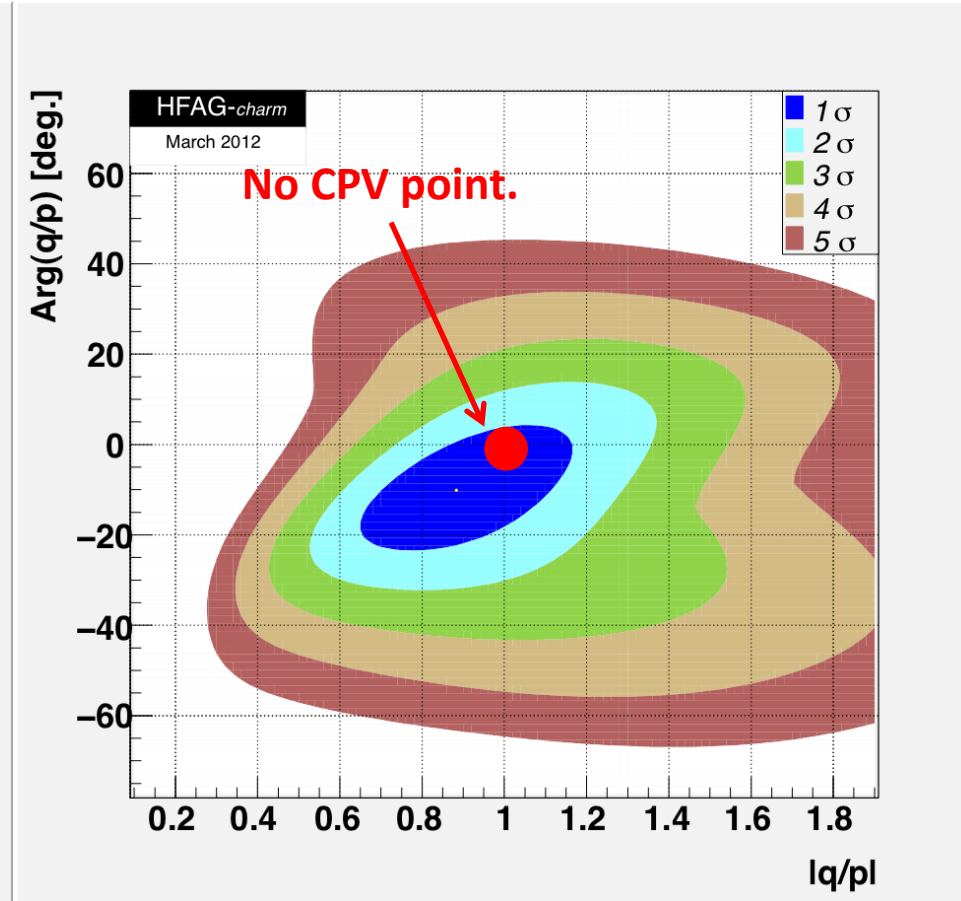
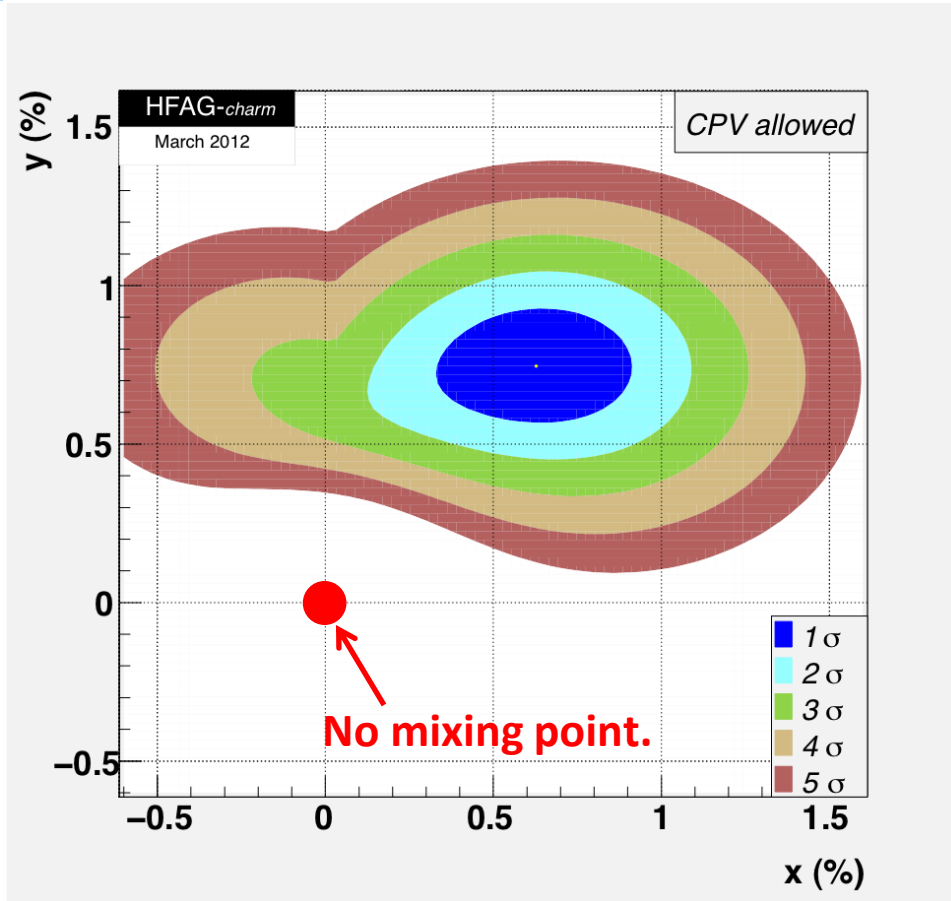


## Particle ID improvements:

- Improved high momentum K/ $\pi$  separation relative to Belle time-of-flight and threshold aerogel.



# D Mixing Parameters – World Average



World average (mixing):

$$x = (0.63 \pm 0.19)\%$$

$$y = (0.75 \pm 0.12)\%$$

World average (CPV):

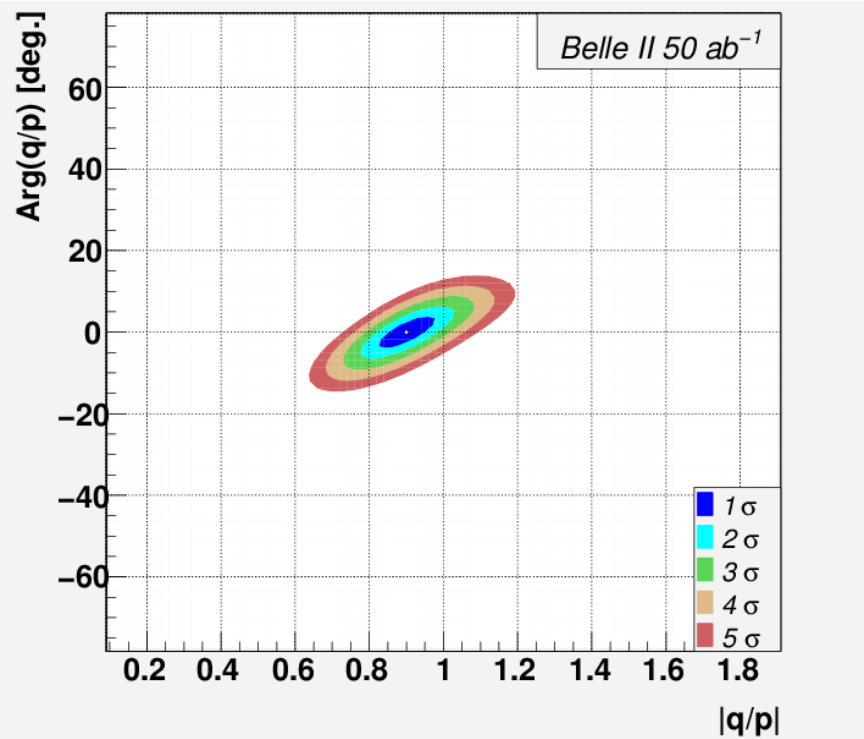
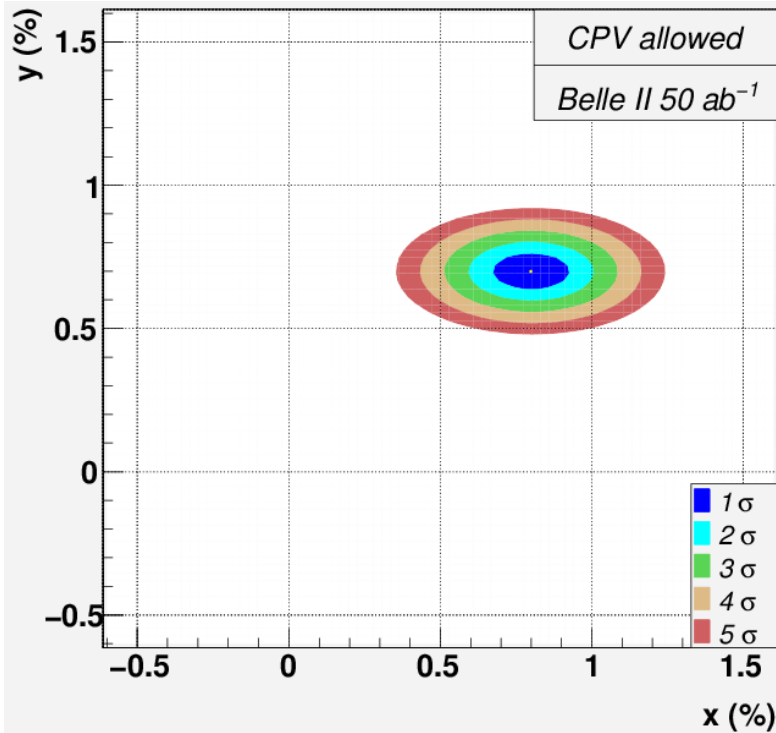
$$|q/p| = (0.88 \pm 0.17)$$

$$\phi = (-10.3 \pm 9.2)^\circ$$

→ No-mixing excluded at  $> 10 \sigma$ ; no CPV consistent within  $1 \sigma$ .

# Expected Belle II Precision

- Expected precision using  $50 \text{ ab}^{-1}$ :
  - HFAG-like fit using Belle II est. precision for  $K^+K^-$ ,  $\pi^+\pi^-$ ,  $K^+\pi^-$ ,  $K_S\pi^+\pi^-$ :



## Current WA

$$\delta x = 0.19\%$$

$$\delta y = 0.12\%$$

## Belle II ( $50 \text{ ab}^{-1}$ )

$$\delta x = 0.09\%$$

$$\delta y = 0.04\%$$

## Current WA

$$\delta |q/p| = 0.17$$

$$\delta \phi = 9^\circ$$

## Belle II ( $50 \text{ ab}^{-1}$ )

$$\delta |q/p| = 0.05$$

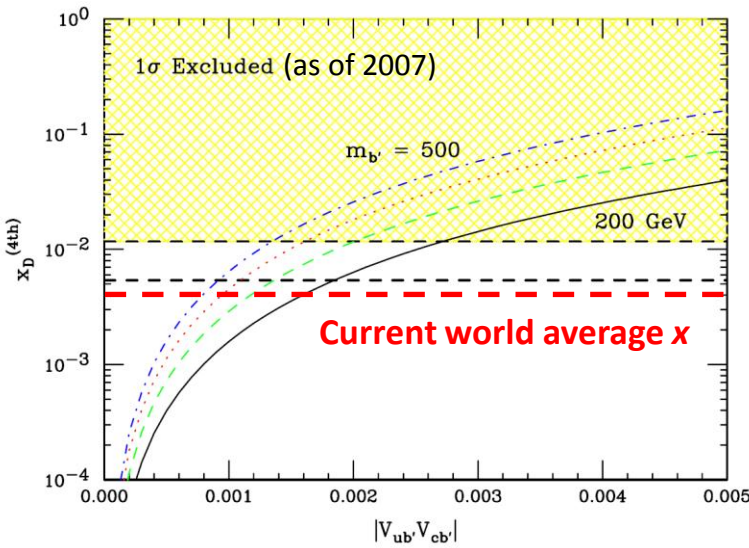
$$\delta \phi = 3^\circ$$

\*Many systematics are expected to improve with higher statistics control samples.

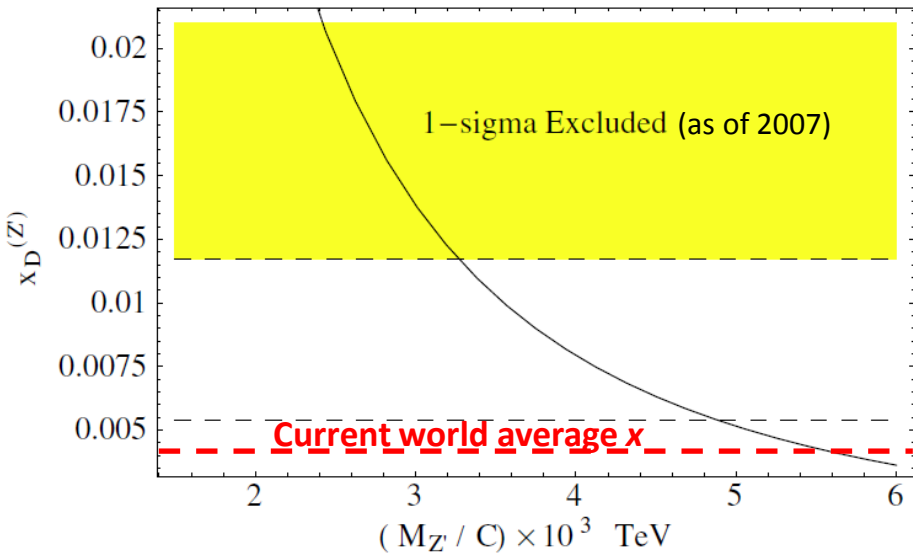
# New Physics Implications

- $D$  mixing provides constraints on many models:
  - e.g., fourth generation, extra gauge bosons, left right symmetric models, and others...
    - Golowich, Hewett, Pakvasa, Petrov [PRD 76, 095009 (2007)]

Fourth family model:



Extra gauge boson model:



# Time Integrated CP Violation

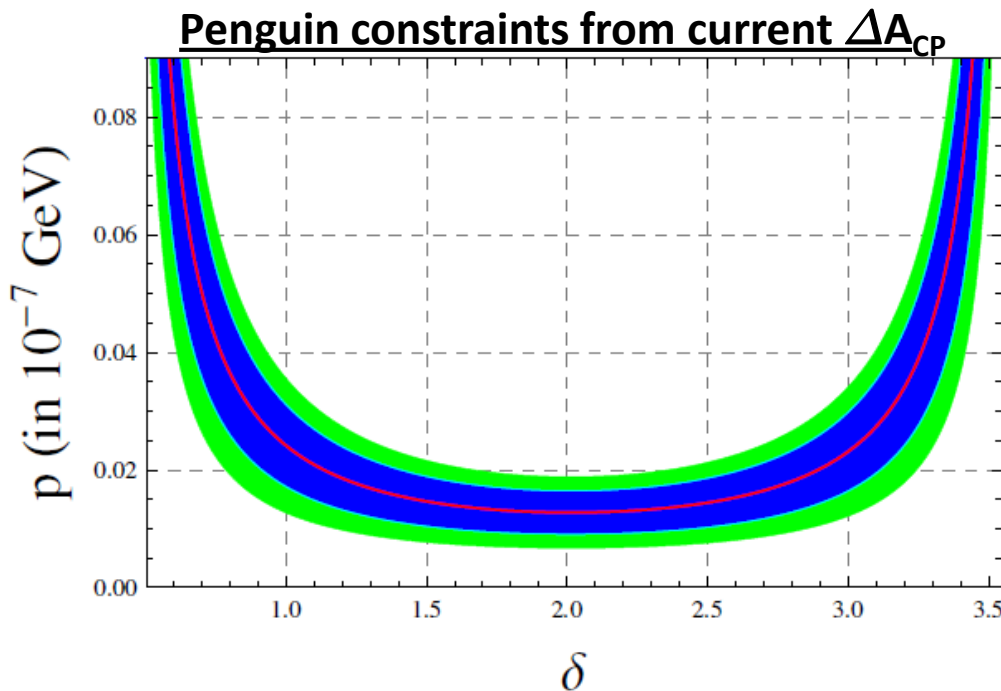
- Look for asymmetry between  $\Gamma(D \rightarrow f)$  and  $\Gamma(\bar{D} \rightarrow \bar{f})$ 
  - Absolute  $A_{CP}$  measurements require removal of other asymmetries:
    - Reconstruction asymmetry:
      - Detector effects can cause asymmetry in efficiency of reconstruction for  $K^\pm, \pi^\pm$ .
    - Production asymmetry:
      - Belle/BaBar:  $\gamma/Z$  interference causes forward-backward asymmetry.
      - CDF: No production asymmetry.
      - LHC: pp initial state is **not** CP symmetric.
  - Reconstruction and production asymmetries can be removed by use of control samples.
    - Study slow  $\pi^\pm$  reconstruction asymmetries with tagged and untagged samples of  $D^0 \rightarrow K^- \pi^+$ .
    - Use Cabibbo favored decays (e.g.,  $D_s^+ \rightarrow \phi \pi^+$ ) with negligible assumed intrinsic  $A_{CP}$  to subtract out other contributions.
    - At Belle, systematic uncertainties on these corrections are limited by statistics on control samples  $\rightarrow$  projected to improve with luminosity.

# Time Integrated CP Violation

- Look for asymmetry between  $\Gamma(D \rightarrow f)$  and  $\Gamma(\bar{D} \rightarrow \bar{f})$ 
    - Absolute  $A_{CP}$  measurements require removal of other asymmetries:
      - Reconstruction asymmetry:
        - Detector effects can cause asymmetry in efficiency of reconstruction for  $K^\pm, \pi^\pm$ .
      - Production asymmetry:
        - Belle/BaBar:  $\gamma/Z$  interference causes forward-backward asymmetry.
        - CDF: No production asymmetry.
        - LHC: pp initial state is **not** CP symmetric.
    - Another approach: make  $\Delta A_{CP}$  measurements where many asymmetries cancel out. Recently done for  **$K^+K^-$**  and  **$\pi^+\pi^-$** :
      - LHCb [PRL 108,111602 (2012)]:  
$$\Delta A_{CP} = [-0.82 \pm 0.21(\text{stat.}) \pm 0.11(\text{syst.})] \% \quad (3.5 \sigma)$$
      - CDF [Public Note 10784 (2012)]:  
$$\Delta A_{CP} = [-0.62 \pm 0.21(\text{stat}) \pm 0.10(\text{syst})] \% \quad (2.7 \sigma)$$
      - Combined result  $\rightarrow \sim 3.8 \sigma$  evidence for CP violation.
- ...Is this a sign of new physics...?**

# CP Violation Interpretation... New Physics?

- Not necessarily...
  - Singly Cabibbo suppressed modes can have contributions from SM penguin amplitudes.
    - Bhattacharya, Gronau, Rosner [arXiv:1201.2351]



- A relatively small SM penguin amplitude,  $p$ , could explain observed  $\Delta A_{CP}$  for a wide range of strong phases,  $\delta$ .
- LHCb and CDF measurements define a contour in  $p, \delta$  space.

**Mode-specific  $A_{CP}$  measurements provide important constraints...**



# Time Integrated CPV at Belle

- Reconstruction systematics well understood at Belle; have allowed a rich program of mode-specific  $A_{CP}$  measurements:

Mode	$\mathcal{L}$ [ $\text{fb}^{-1}$ ]	$A_{CP}$ [%]	Reference
$D^0 \rightarrow K_S^0 \pi^0$	791	$-0.28 \pm 0.19 \pm 0.10$	PRL 106, 211801 (2011)
$D^0 \rightarrow K_S^0 \eta$	791	$+0.54 \pm 0.51 \pm 0.16$	PRL 106, 211801 (2011)
$D^0 \rightarrow K_S^0 \eta'$	791	$+0.98 \pm 0.67 \pm 0.14$	PRL 106, 211801 (2011)
$D^0 \rightarrow \pi^+ \pi^-$	540	$+0.43 \pm 0.52 \pm 0.12$	PLB 670, 190 (2008)
$D^0 \rightarrow K^+ K^-$	540	$-0.43 \pm 0.30 \pm 0.11$	PLB 670, 190 (2008)
$D^0 \rightarrow \pi^+ \pi^- \pi^0$	532	$+0.43 \pm 1.30$	PLB 662, 102 (2008)
$D^0 \rightarrow K^+ \pi^- \pi^0$	281	$-0.6 \pm 5.3$	PRL 95, 231801 (2005)
$D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-$	281	$-1.8 \pm 4.4$	PRL 95, 231801 (2005)
$D^+ \rightarrow \phi \pi^+$	955	$+0.51 \pm 0.28 \pm 0.05$	PRL 108, 071801 (2012)
$D^+ \rightarrow \eta \pi^+$	791	$+1.74 \pm 1.13 \pm 0.19$	PRL 107, 221801 (2011)
$D^+ \rightarrow \eta' \pi^+$	791	$-0.12 \pm 1.12 \pm 0.17$	PRL 107, 221801 (2011)
$D^+ \rightarrow K_S^0 \pi^+$	673	$-0.71 \pm 0.19 \pm 0.20$	PRL 104, 181602 (2010)
$D^+ \rightarrow K_S^0 K^+$	673	$-0.16 \pm 0.58 \pm 0.25$	PRL 104, 181602 (2010)
$D_s^+ \rightarrow K_S^0 \pi^+$	673	$+5.45 \pm 2.50 \pm 0.33$	PRL 104, 181602 (2010)
$D_s^+ \rightarrow K_S^0 K^+$	673	$+0.12 \pm 0.36 \pm 0.22$	PRL 104, 181602 (2010)

\*For latest updates on CPV searches at Belle, see E. White's slides from yesterday.

# Time Integrated CPV at Belle II

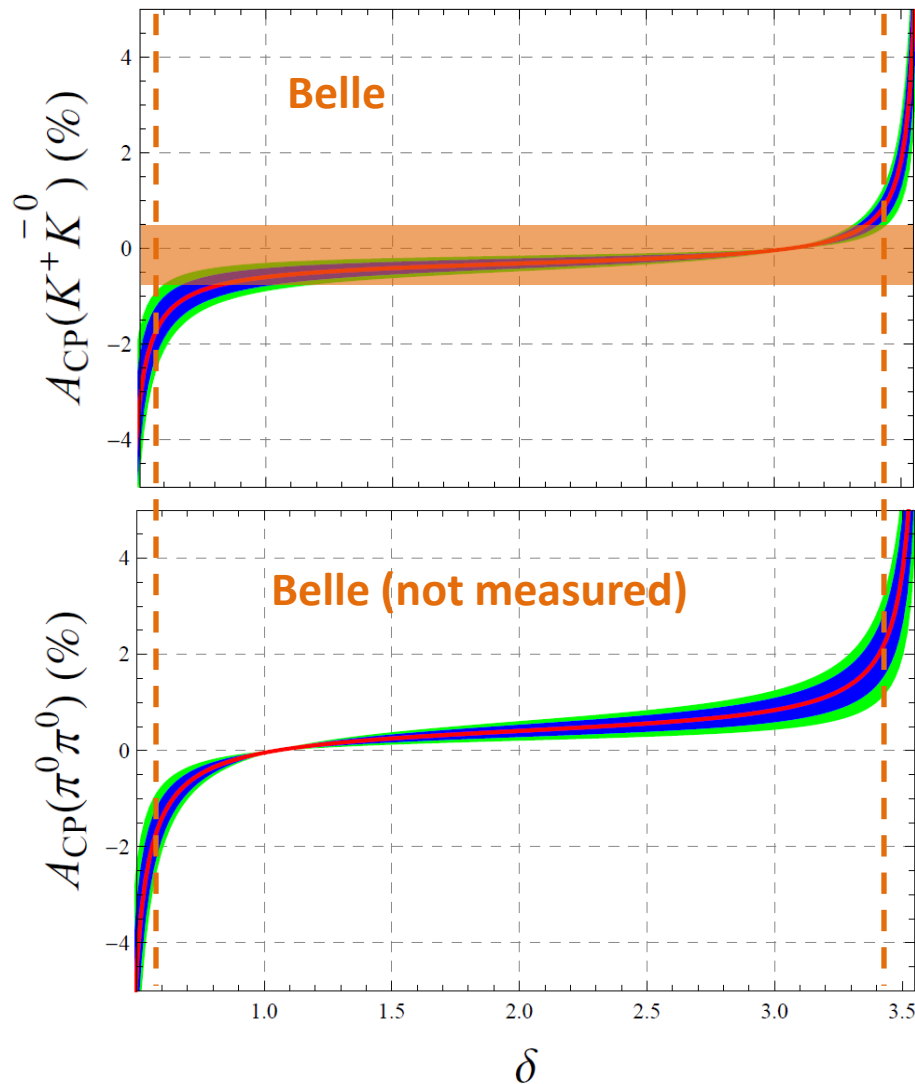
- Belle II can reach  $< 0.1\%$  uncertainty on  $A_{CP}$  for a variety of modes...

Mode	$\mathcal{L}$ [ $\text{fb}^{-1}$ ]	$A_{CP}$ [%]	Belle II with 50 $\text{ab}^{-1}$ [%]
$D^0 \rightarrow K_S^0 \pi^0$	791	$-0.28 \pm 0.19 \pm 0.10$	$\pm 0.05$
$D^0 \rightarrow K_S^0 \eta$	791	$+0.54 \pm 0.51 \pm 0.16$	$\pm 0.10$
$D^0 \rightarrow K_S^0 \eta'$	791	$+0.98 \pm 0.67 \pm 0.14$	$\pm 0.10$
$D^0 \rightarrow \pi^+ \pi^-$	540	$+0.43 \pm 0.52 \pm 0.12$	$\pm 0.07$
$D^0 \rightarrow K^+ K^-$	540	$-0.43 \pm 0.30 \pm 0.11$	$\pm 0.05$
$D^0 \rightarrow \pi^+ \pi^- \pi^0$	532	$+0.43 \pm 1.30$	
$D^0 \rightarrow K^+ \pi^- \pi^0$	281	$-0.6 \pm 5.3$	
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$D_s^+ \rightarrow K_S^0 K^+$	673	$+0.12 \pm 0.36 \pm 0.22$	$\pm 0.10$

**Modes well suited to measurement at Super B factories.**

\*Systematics related to control sample statistics are assumed to scale with luminosity.

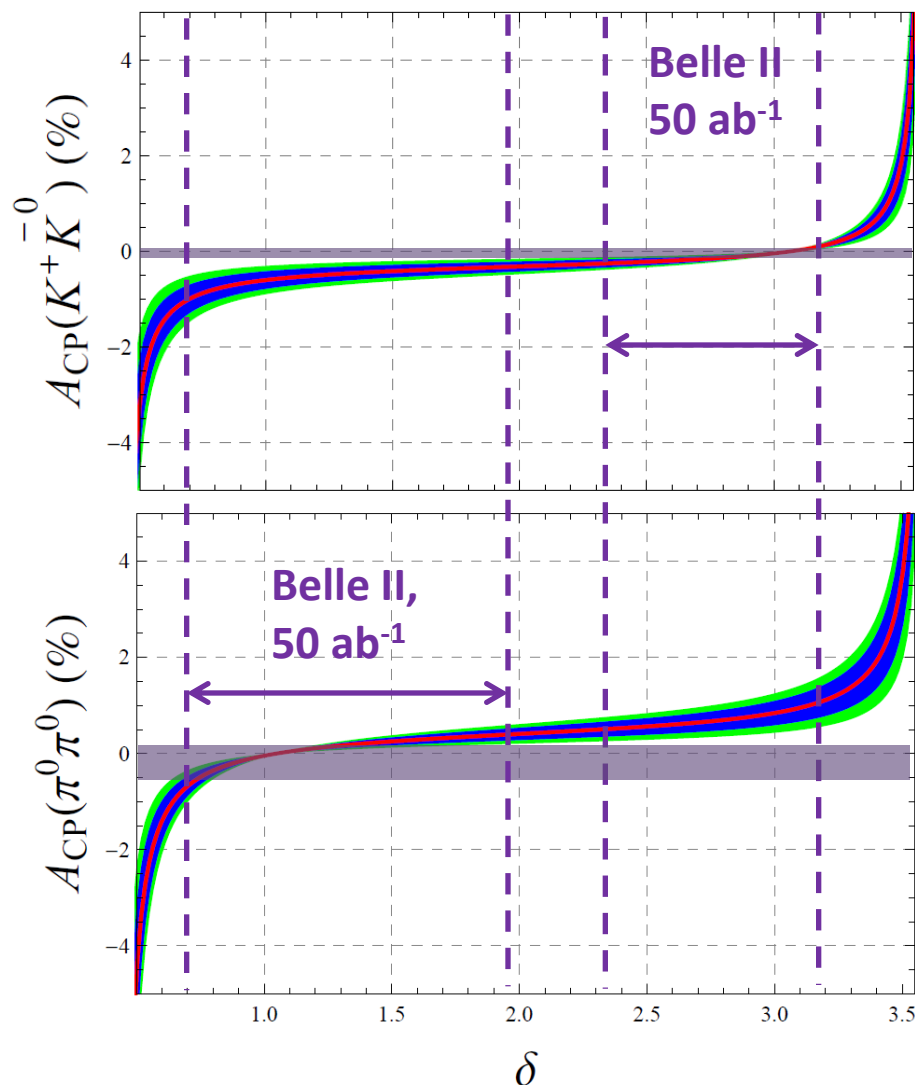
# Direct CPV at Belle/Belle II



- SM penguin mechanism:
  - Predicts relations between  $A_{CP}$  for different modes, related by strong phase  $\delta$ .
    - Some of the strongest constraints are from modes with  $K^0, \pi^0$ .
  - Existing mode-specific  $A_{CP}$  measurements only constrain  $\delta$  loosely...

\*[Bhattacharya, Gronau, Rosner arXiv:1201.2351]

# Direct CPV at Belle/Belle II



- SM penguin mechanism:
  - Predicts relations between  $A_{CP}$  for different modes, related by strong phase  $\delta$ .
    - Some of the strongest constraints are from modes with  $K^0, \pi^0$ .
    - [Bhattacharya, Gronau, Rosner] [arXiv:1201.2351]
- Other new physics searches:
  - $A_{CP}(D^+ \rightarrow \pi^+ \pi^0) \neq 0$  would need  $\Delta I = 3/2$  new physics.
    - [Grossman, Kagan, Zupan] [arXiv:1204.3557]

➔ **Belle II well suited for new physics searches in  $D$  CPV.**

# Conclusions

- Charm mixing and CP violation provides a unique window to search for new physics.



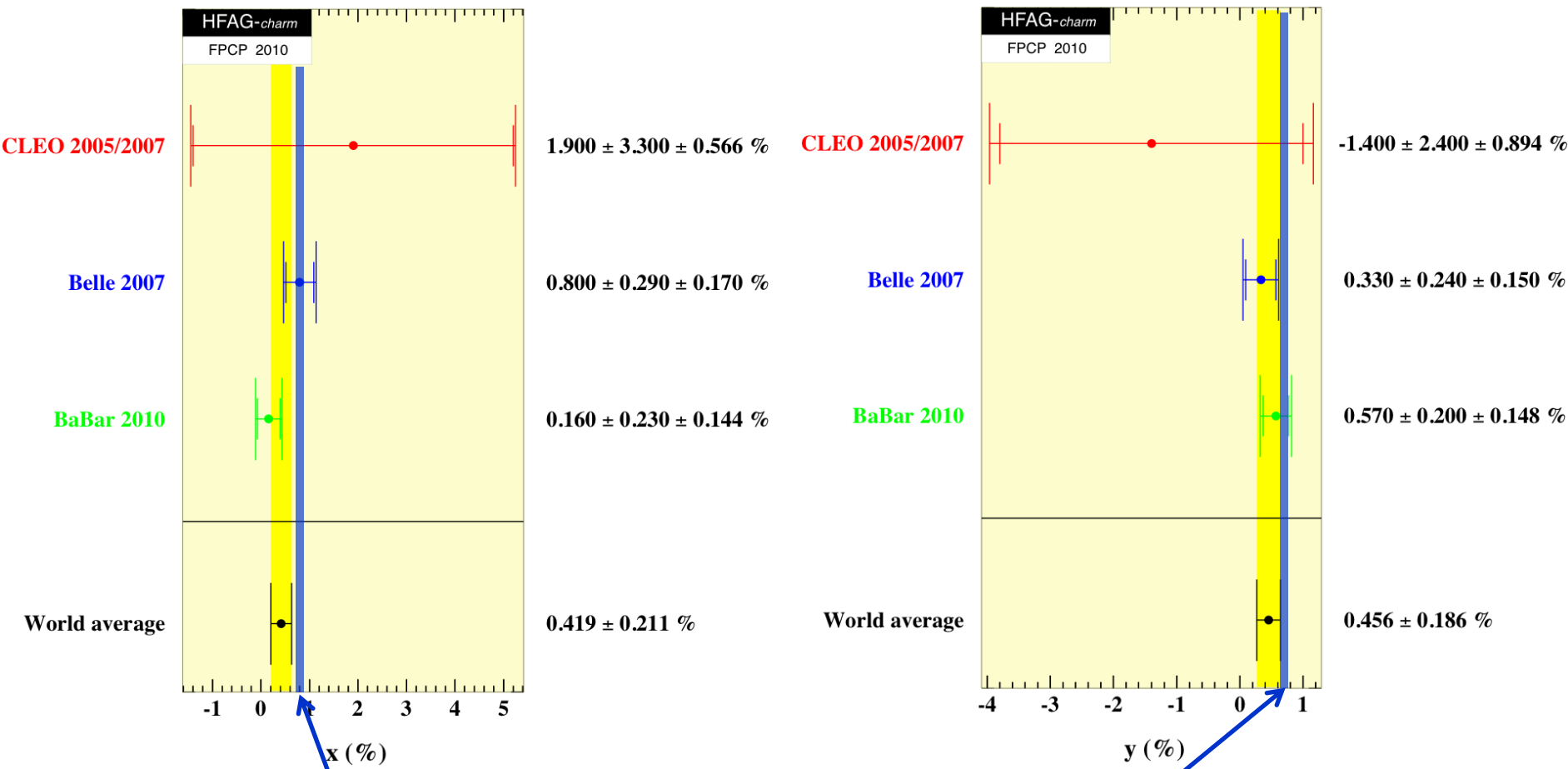
- Interpretation of the situation in mixing and CPV requires a program of measurements in many decay modes.
- Belle has already made many of these measurements.



- Belle II will further extend physics reach:
  - Some hints already exist that suggest new physics...
  - ...Belle II precision measurements will complement those of LHCb to provide a better understanding of if and how new physics is manifested in the charm sector.

# BACKUP

# Expected Belle II Precision (No CPV)



**Expected Belle II precision @ 50 ab<sup>-1</sup>**  
 – Using:  $K^+K^-, \pi^+\pi^-, K^+\pi^-, K_S\pi^+\pi^-$

\*Systematics are expected to improve with higher statistics control samples.

# Detector asymmetries at Belle

Developed methods for measuring recon. asym. of  $\pi_{\text{slow}}^{\pm}$ ,  $\pi^{\pm}$  and  $K^{\pm}$

- $\pi_{\text{slow}}^+$  from  $D^{*+} \rightarrow D^0 \pi_{\text{slow}}^+$  decays  
     $\hookrightarrow$  Using tagged and untagged samples of  $D^0 \rightarrow K^- \pi^+$  decays  
     $A_{\varepsilon}^{\pi_{\text{slow}}} (p_T^{\pi_{\text{slow}}}, \cos\theta^{\pi_{\text{slow}}}) \approx (+0.14 \pm 0.07)\% \quad [791 \text{ fb}^{-1}]$
- $\pi^{\pm}$   
     $\hookrightarrow$  Using  $D^0 \rightarrow K^- \pi^+ \pi^0$  and  $D^+ \rightarrow K^- \pi^+ \pi^+$  decays  
     $A_{\varepsilon}^{\pi} (p_T^{\pi}, \cos\theta^{\pi}) \approx (+0.078 \pm 0.040)\% \quad [977 \text{ fb}^{-1}]$
- $K^{\pm}$   
     $\hookrightarrow$  Using  $D_s^+ \rightarrow \phi \pi^+$  and  $D^0 \rightarrow K^- \pi^+$  decays  
     $A_{\varepsilon}^K (p_T^K, \cos\theta_K) \approx (-0.4 \pm 0.2)\% \quad [673 \text{ fb}^{-1}]$

Need to make assumptions:

- 1 No CP violation in Cabbibo favored decays
- 2 Forward-backward asymmetry the same for all charm meson species

Uncertainties on corrections are dominated by the statistics!





# $\bar{D}^0$ - $D^0$ mixing and CPV:



✓		✓	✓	✓	✓	✓	<ul style="list-style-type: none"> <li>• <b>Wrong-sign semileptonic</b> <math>D^0(t) \rightarrow K^+ l^- \nu</math> measures <math>x^2+y^2</math>, no DCS contamination</li> </ul>
✓	✓	✓	✓		✓	✓	<ul style="list-style-type: none"> <li>• <b>Wrong-sign hadronic</b> <math>D^0(t) \rightarrow K^+ \pi^-</math> measures <math>x' = x \cos\delta + y \sin\delta</math>, <math>y' = y \cos\delta - x \sin\delta</math></li> </ul>
✓		✓	✓	✓	✓	✓	<ul style="list-style-type: none"> <li>• <b>Decays to CP eigenstates:</b> <math>D^0(t) \rightarrow K^+ K^-, \pi^+ \pi^-</math> measures <math>y_{CP}, A_{K^0}, A_{\pi}</math></li> </ul>
		✓	✓			✓	<ul style="list-style-type: none"> <li>• <b>Dalitz plot analysis of</b> <math>D^0(t) \rightarrow K^0 \pi^+ \pi^-</math> measures <math>x, y</math></li> </ul>
		✓				✓	<ul style="list-style-type: none"> <li>• <b>Dalitz plot analysis of</b> <math>D^0 \rightarrow K^+ \pi^- \pi^0</math> measures <math>x'', y''</math></li> </ul>
		✓	✓			✓	<ul style="list-style-type: none"> <li>• <b>Dalitz plot analysis of</b> <math>D^0 \rightarrow K^0 K^+ K^-</math> measures <math>y_{CP}</math> (CLEO, Belle)</li> </ul>
				✓			<ul style="list-style-type: none"> <li>• <b>Quantum correl. in</b> <math>e^+ e^- \rightarrow \psi(3770) \rightarrow D^0 \bar{D}^0 (n\pi^0)</math> measures <math>x^2+y^2, y, R_D, \sqrt{R_D} \cos\delta</math></li> </ul>

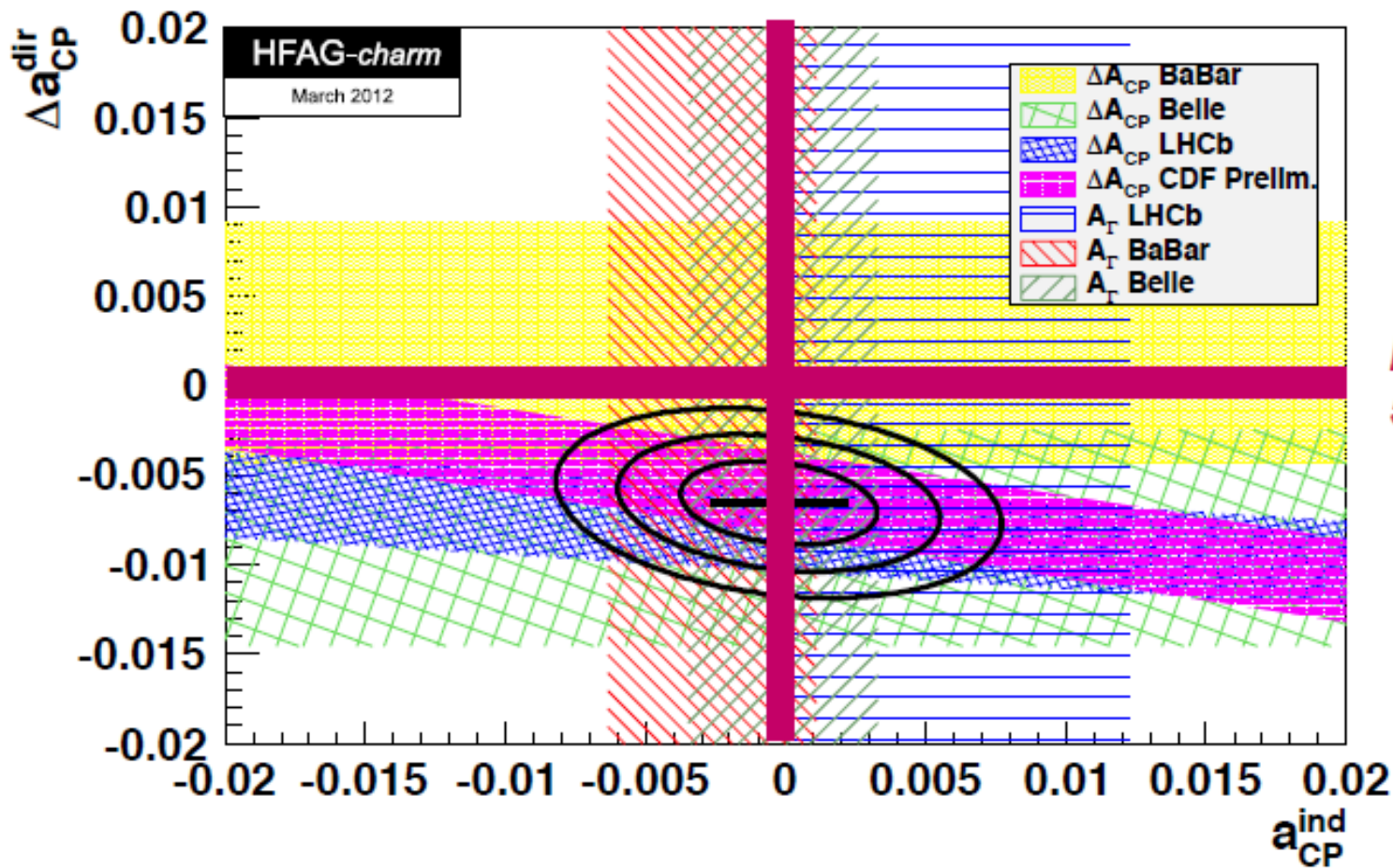


## *Belle II approval + funding status:*

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- ◆ The KEKB upgrade was *fully approved* by the Japanese government in December 2010. The project is in the JFY2011 budget as approved by the Japanese Diet at the end of March 2011
- ◆ \$32M were allocated for detector R&D in FY2009; \$6M were allocated for the damping ring in FY2010; and *\$110M have been allocated for the machine in FY2011* (Very Advanced Research Support Program)
- ◆ Super-KEKB and Belle-II are *priorities* of KEK
- ◆ Several non-Japanese funding agencies have already budgeted sizable funds for the upgrade (Germany, Russia, Korea). The US DOE has declared Belle II to be *their priority project for  $e^+e^-$  physics*.

Belle II 50 fb<sup>-1</sup>



Belle II  
50 fb<sup>-1</sup>