

Leptonic and semileptonic D decays at BaBar

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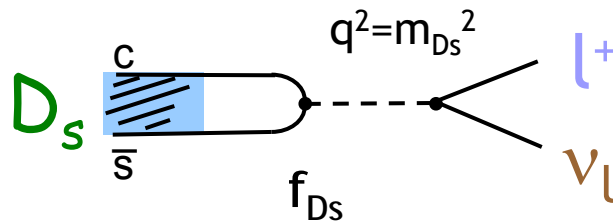
On behalf of the BaBar collaboration

DPF 2006, Hawaii

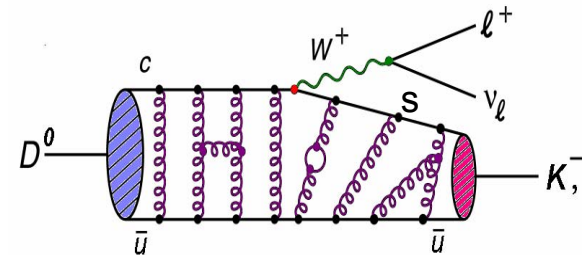
Introduction

➤ **Charm leptonic and semileptonic** decays provide an important way to test lattice QCD predictions. Techniques validated in the charm sector can then be used in the B sector to improve the accuracy on CKM parameters determination.

➤ **QCD parametrization :**



Leptonic : decay constant f_{D_s}



Semileptonic : form factors $F(q^2)$

In this talk :

$$D_s^+ \rightarrow \mu^+ \nu$$

$$D^0 \rightarrow K^- e^+ \nu$$

$$D_s^+ \rightarrow \phi e^+ \nu$$

Charm study at Babar

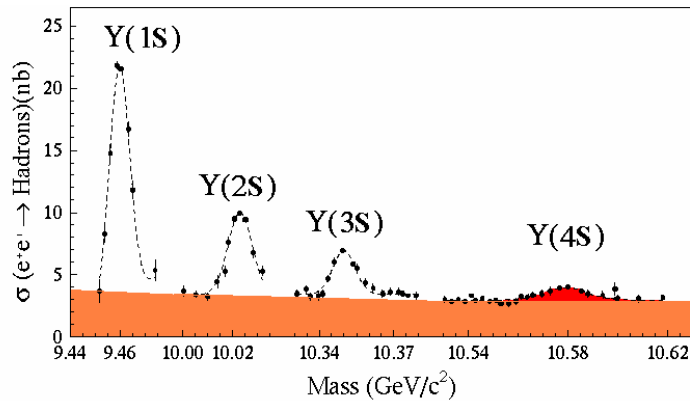
⊕ Large cross section $\sigma_{cc} \sim 1.3 \text{ nb}$

⊕ Large integrated luminosity

400 fb^{-1}

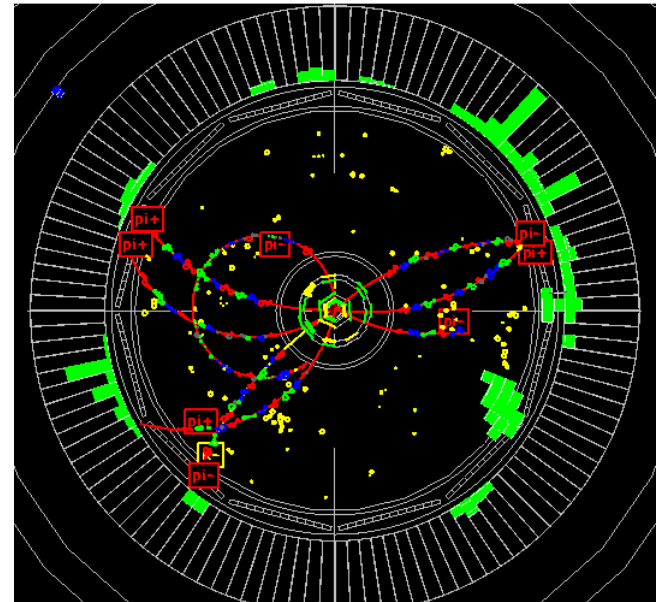
Large data sample available at Babar
(typically 0.5M evts with BR=1%, $\epsilon = 10\%$)

The analysis reported here are using just a fraction of the sample : 230 fb^{-1} and 75 fb^{-1}



⊖ main challenge :
background control

⊕ fragmentation (D, D_s, Λ_c , ...)



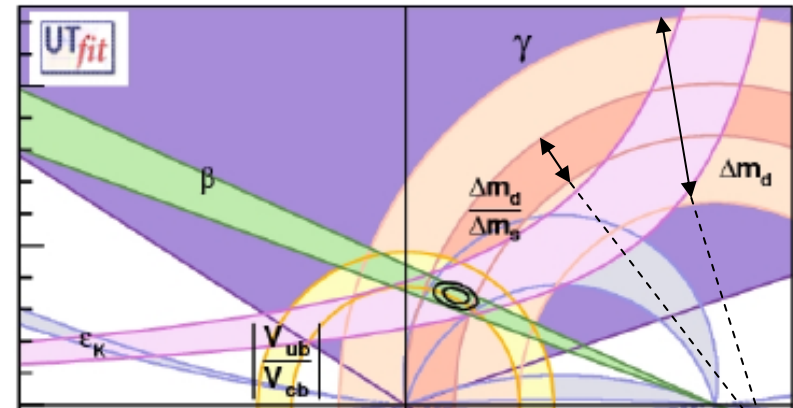
cc

$D_s \rightarrow \mu\nu$

- ★ Precise knowledge of f_{B_d} and f_{B_s} needed to improve constraints from ΔM_d and $\Delta M_d / \Delta M_s$

$$\Delta M_d = \frac{0.5}{ps} \left(\frac{f_{B_d} \sqrt{B_{B_d}}}{200 MeV} \right)^2 \left(\frac{V_{td}}{8.8 \times 10^{-3}} \right)^2$$

In LQCD similar techniques are used to measure b and c decay constant \Rightarrow experimental measurements of f_{D_s} and f_D can be used as a **test of lattice QCD**



- ★ Partial width of $M^+ \rightarrow l^+ \nu$:

$$\Gamma = \frac{G_F^2}{8\pi} |V_{Qq}|^2 f_M^2 M_M m_l^2 \left(1 - \frac{m_l^2}{M_M^2} \right)^2$$

CKM Mixing \rightarrow $|V_{Qq}|^2$

f_M^2

$M_M m_l^2$

Helicity Suppression $\left(1 - \frac{m_l^2}{M_M^2} \right)^2$

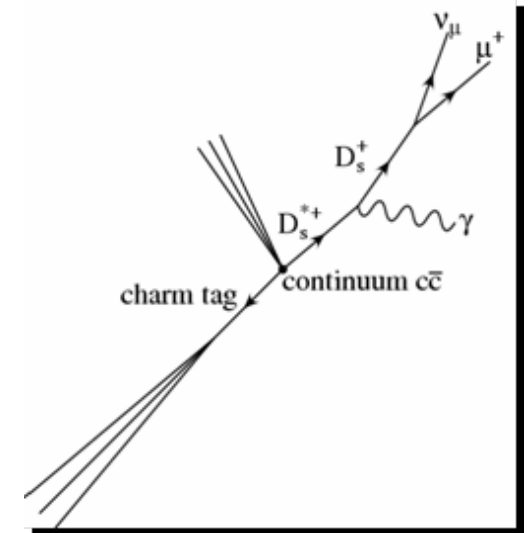
Phase space

theoretical uncertainties

$D_s^+ \rightarrow \mu^+ \nu$ is most accessible experimentally :

$$\Gamma(D_s^+ \rightarrow \tau^+ \nu_\tau) : \Gamma(D_s^+ \rightarrow \mu^+ \nu_\mu) : \Gamma(D_s^+ \rightarrow e^+ \nu_e) = 10 : 1 : 10^{-5}$$

- Goal: Identify $D_s^* \rightarrow D_s \gamma$, $D_s \rightarrow \mu \nu_\mu$ decays in cc events
- Identify cc events: **Charm -Tagging**
 - Reconstruct charm mesons D^0 , D^+ , D_s^+ , and D^{*+} using hadronic decay modes – the ‘tag’
 - High tag momentum above the kinematic limit from B decays
- Search for $D_s^{*+} \rightarrow \gamma D_s^+ \rightarrow \gamma \mu^+ \nu$ in recoil
- Advantages:
 - tag momentum reduces uds, BB, $\tau\tau$ backgrounds
 - tag direction improves fit to missing neutrino and the ΔM resolution
 - knowledge of tag's charm reduces pion \rightarrow muon misidentification by 50%
- Disadvantage
 - Loss in efficiency due to tagging

230.2 fb⁻¹

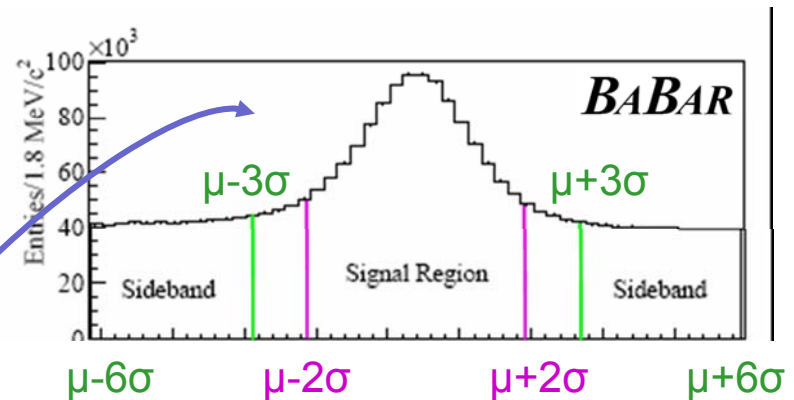
- Fully reconstructed D in 13 hadronic decay modes

- $D^0 \rightarrow K^- \pi^+, K^- \pi^+ \pi^0, K^- \pi^+ \pi^+ \pi^-$
- $D^+ \rightarrow K^- \pi^+ \pi^+ (\pi^0), K_S^0 \pi^+ (\pi^0), K_S^0 \pi^+ \pi^+ \pi^-, K^+ K^- \pi^+, K_S^0 K^+$
- $D_s^+ \rightarrow K_S^0 K^+, \phi \rho^+$
- $D^{*+} \rightarrow D^0 \pi^+, D^0 \rightarrow K_S^0 \pi^+ \pi^- (\pi^0), K_S^0 K^+ K^-, K_S^0 \pi^0$

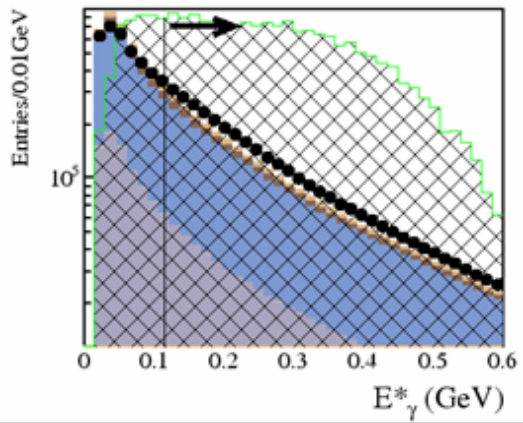
Modes allow identification of the charm quark flavour

- Tag momentum above 2.35 GeV/c to remove D from B decays
- Fit tag mass peak: estimate μ, σ
- Define tag signal region $\mu \pm 2\sigma$, and sidebands between 3 and 6 σ

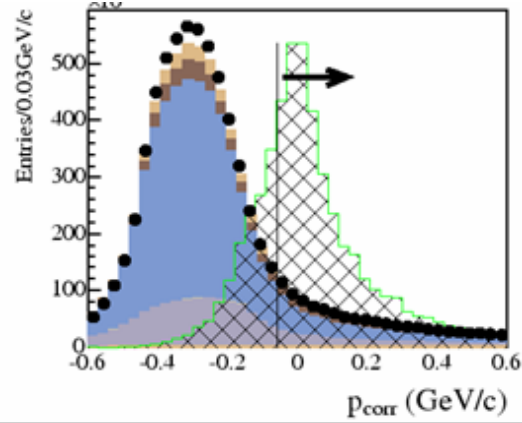
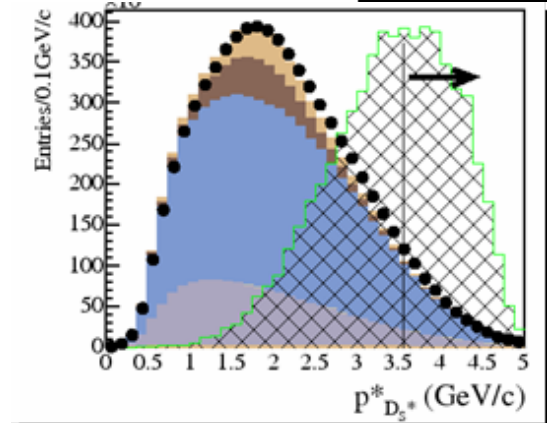
$5 \cdot 10^5$ events with a muon in the recoil after bkg subtraction



- Signal is a peak in $\Delta M = M_{D_s^*} - M_{D_s}$
- Tagging removes bb , uds , and $\tau\tau$ background, left with signal and cc background
- Identify kinematic quantities which distinguish signal



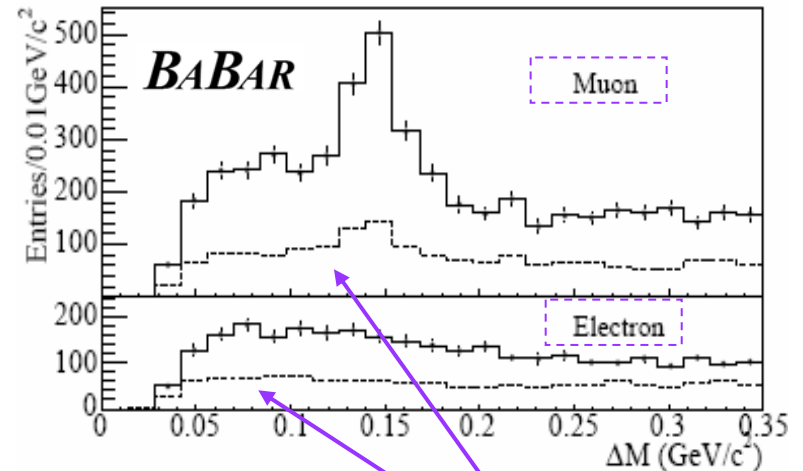
Photon energy

 $p_{\text{corr}} = |p_{\text{miss}}| - |p_{\nu}|$  D_s^{*+} momentum

More cuts with E_{miss} , angle (μ, D_s^+) , θ_{ν}

- Cut optimization maximising the significance

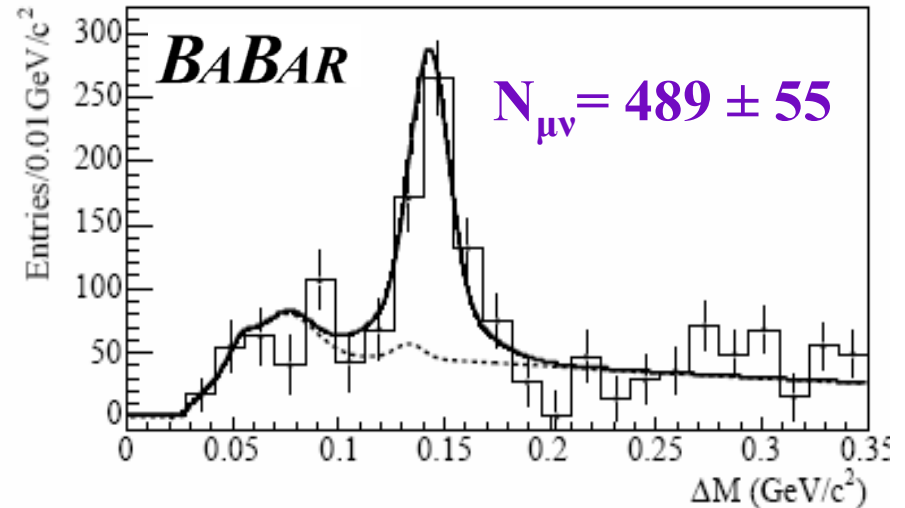
- Fake charm tag from uds, bb, $\tau\tau$, cc \rightarrow 42 %
 \Rightarrow Subtracted using the tag sidebands
- Correct tag but μ from charm semi leptonic decay or τ ($\tau \rightarrow \mu \nu_\mu \nu_\tau$) \rightarrow 26 %
 \Rightarrow Use electron : same decays appear with an e while there is no $D_s^+ \rightarrow e^+ \nu$
 \Rightarrow Take into account differences between μ and e (phase space, Bremsstrahlung, e from conversion)



- Leptonic background
 $cc \rightarrow D_{(s)}^* \rightarrow D_{(s)} \pi^0, D_{(s)} \rightarrow \mu \nu_\mu$
 $cc \rightarrow D_{(s)} \rightarrow \mu \nu_\mu$
- Combinatoric

Estimated from simulated events

- Yield extraction :
 - bin-by-bin subtraction μ tag sideband from μ tag signal region
 - same for electrons
 - subtract electron from muon
 - Binned χ^2 fit
- Normalize to $D_s^+ \rightarrow \phi\pi$:



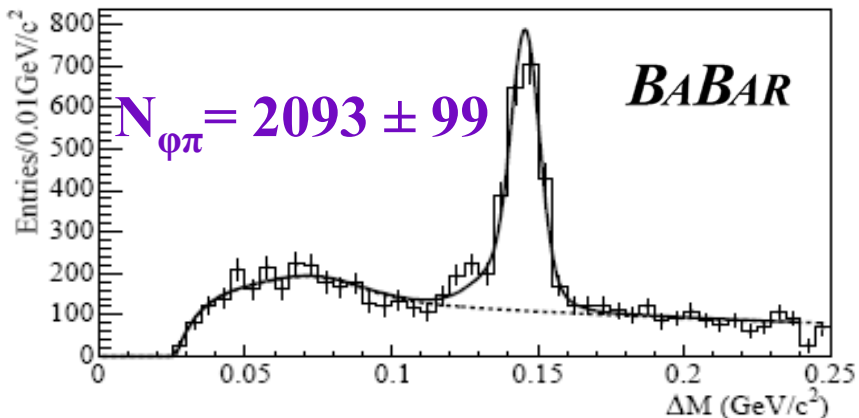
We obtain :

$$\frac{\Gamma(D_s^+ \rightarrow \mu^+ \nu_\mu)}{\Gamma(D_s^+ \rightarrow \phi\pi)} = 0.143 \pm 0.018 \pm 0.006$$

Independent measurement in BaBar :

$$B(D_s^+ \rightarrow \phi\pi) = (4.71 \pm 0.46)\%$$

PRD 71, 091104
(2005)





We obtain $B(D_s^+ \rightarrow \mu^+ \nu_\mu) = (6.74 \pm 0.83 \pm 0.26 \pm 0.66) \times 10^{-3}$

and $f_{D_s} = 283 \pm 17_{\text{stat}} \pm 7_{\text{syst}} \pm 14_{D_s \rightarrow \phi\pi} \text{ MeV}$

Submitted to PRL
hep-ex/0607094

*Improvement possible
(Babar: 1ab⁻¹)*

*Small syst.
uncertainty*

Improvement possible

★ Using CLEO-c value : $f_D = 222.6 \pm 16.7_{\text{stat}}^{+2.8}_{-3.4} \text{ MeV}$

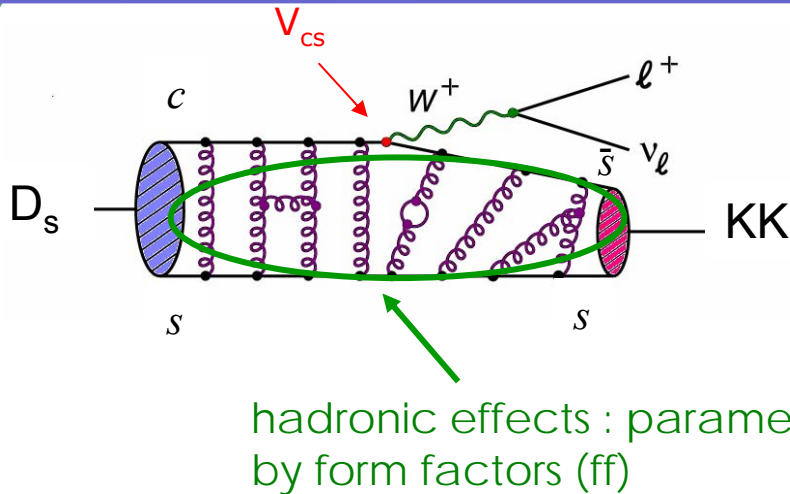
PRL 95, 251801
(2005)

We obtain $f_{D_s} / f_D = 1.27 \pm 0.14$ (11%)

Consistent with lattice QCD : $f_{D_s} / f_D = 1.24 \pm 0.07$ (5.6%)

PRL 95, 122002
(2005)

Charm semileptonic decays

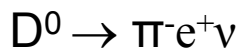
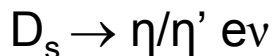
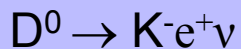


$$q^2 = (P_l + P_\nu)^2 = (P_P - P_{P'})^2$$

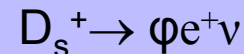
Motivation: Study QCD effects through the form factors and compare measurements to theoretical models

➤ **Pseudoscalar $l \nu$ decay** : one form factor, angular distribution known

➤ **Vector $l \nu$ decay** : 3 helicity states, 5 kinematic variables



Can help in V_{ub} determination



Study $K\pi$ system

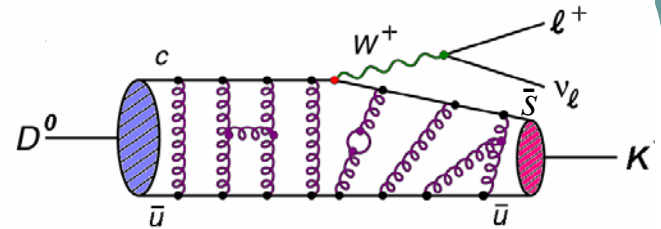
$$\frac{d\Gamma(B \rightarrow \pi l \nu) / dw}{d\Gamma(D \rightarrow \pi l \nu) / dw} = \left| \frac{V_{ub}}{V_{cd}} \right|^2 \left(\frac{M_B}{M_D} \right) \left| \frac{f_+^{B \rightarrow \pi}}{f_+^{D \rightarrow \pi}} \right|^2$$

Only the spectator quark differs

D → K e ν

- ★ If the lepton is massless, the decay rate depends on one (vector) **ff** :

$$\frac{d\Gamma}{dq^2} = \frac{G_f^2 |V_{q_1 q_2}|^2 p_{P'}^3}{24\pi^3} |f_+(q^2)|^2$$



The measured ff can be compared with different theoretical models and **test LQCD** determination of the parameter involved :

- **Simple pole mass** : suppose that the decay is governed by the spectroscopic pole. The measured parameter is the “effective pole mass” m_{pole} .

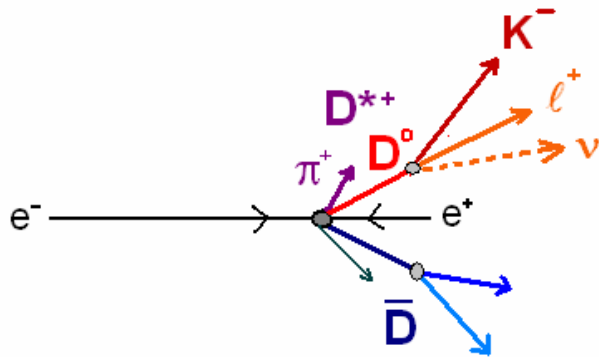
$$|f_+(q^2)| = \frac{f_+(0)}{1 - \frac{q^2}{m_{pole}^2}}$$

- **Modified pole mass (B&K)**: add an effective pole to take into account higher resonances. Measure α_{pole} .

$$|f_+(q^2)| = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{D_s^*}^2}\right) \left(1 - \frac{\alpha_{pole} q^2}{m_{D_s^*}^2}\right)}$$

Spectroscopic mass pole, $m_{D_s^*}$ for K e ν (1⁻ c \bar{s} state)

- Untagged analysis



- Reconstruct the decay channel



in $e^+e^- \rightarrow c\bar{c}$ continuum events

- Determine $q^2 = (p_D - p_K)^2 = (p_l + p_\nu)^2$ ← two constrained fits (m_{D^0}, m_{D^*})
- Reduce the background ← Fisher analyses ($b\bar{b}$ and $c\bar{c}$ events)
- Extract the form factor ← Unfolding: SVD method
- methods validation ← Control samples

- Define two hemispheres:

- ▶ take soft π^+ , K^- and e^+ in the same hemisphere

$$\text{Cuts} \left\{ \begin{array}{l} \bullet p_{\ell}^*, p_{\ell} > 0.5 \text{ GeV} \\ \bullet p_{\pi^+}^* < 0.4 \text{ GeV} \\ \bullet \cos\theta_{\text{thrust}} < 0.6 \end{array} \right.$$

- Compute D direction ($- \mathbf{p}_{\text{all particles} \neq K, \ell}$)

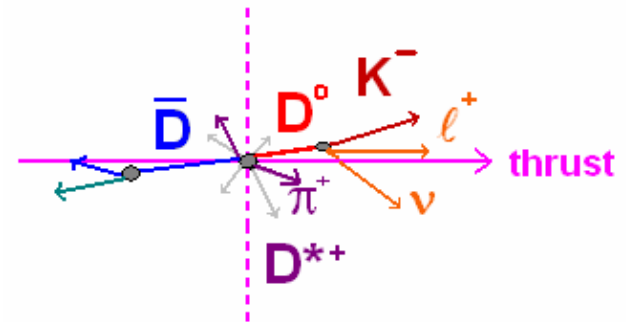
- Compute the missing energy in the ℓ hemisphere

- Fit $\mathbf{p}_D = \mathbf{p}_K + \mathbf{p}_{\ell} + \mathbf{p}_{\nu}$

- ▶ From $\mathbf{p}_K, \mathbf{p}_{\ell}$, computed E_{miss} and D^0 direction
- ▶ Constraints using m_D and m_{D^*} (1c or 2c fit)

- Compute $q^2 = (\mathbf{p}_D - \mathbf{p}_K)^2$

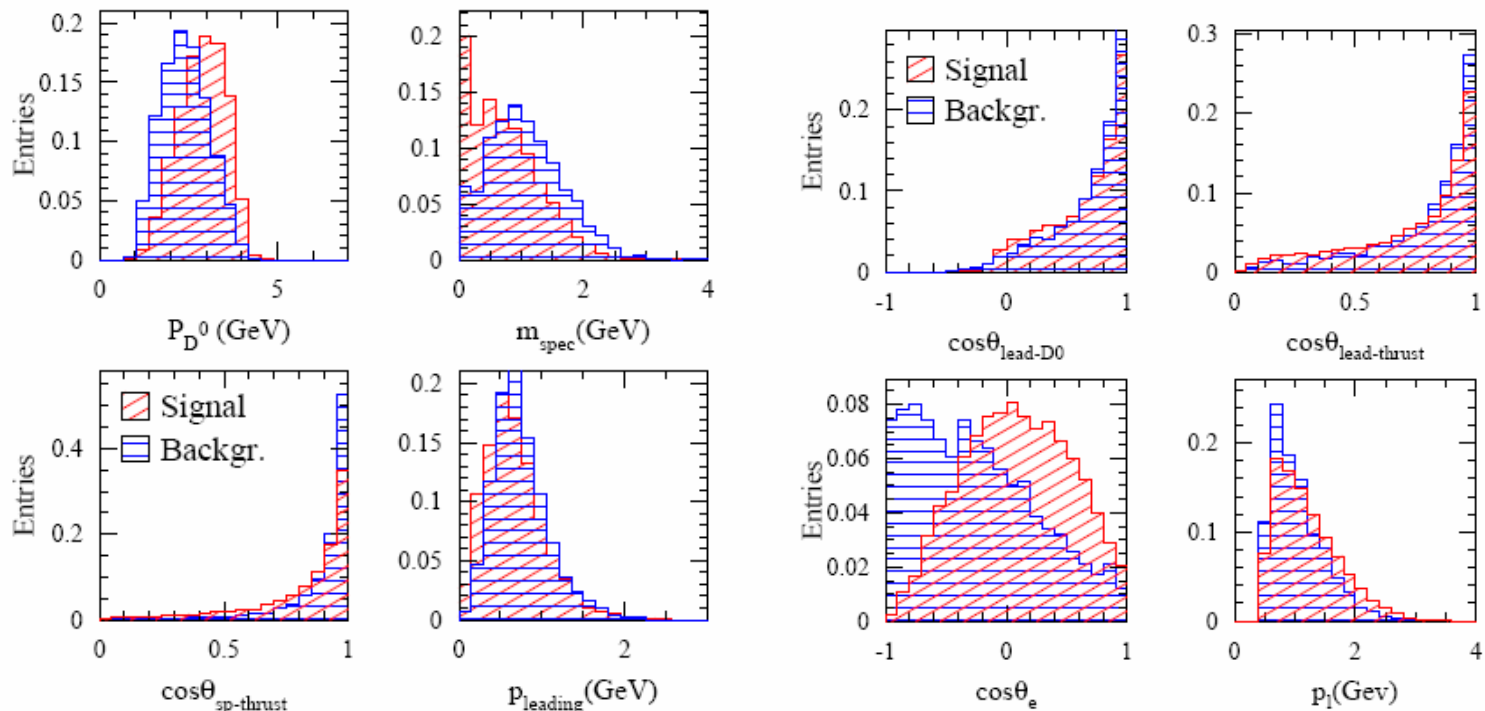
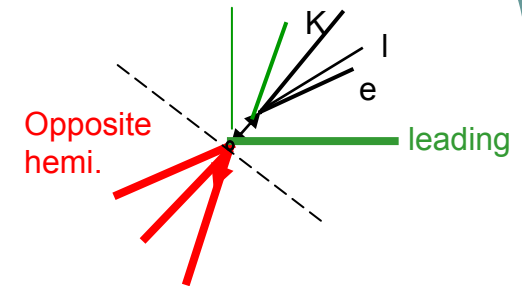
$\Upsilon(4S)$ rest frame : jet-like events



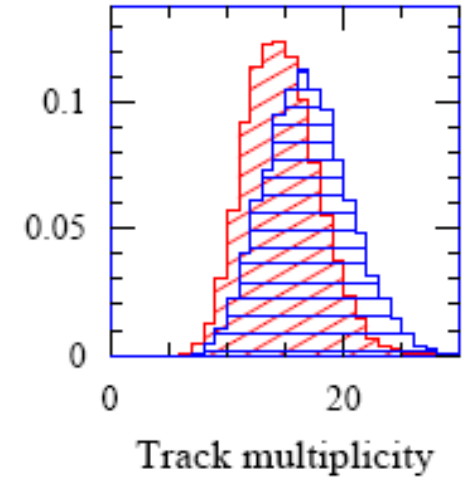
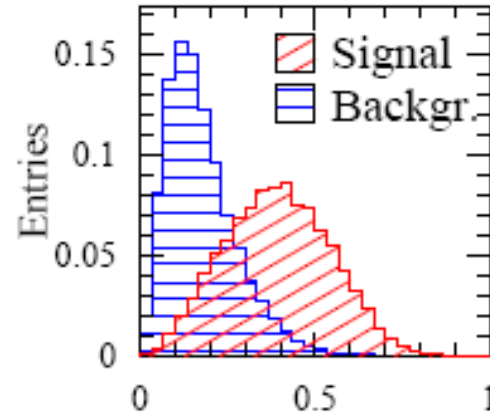
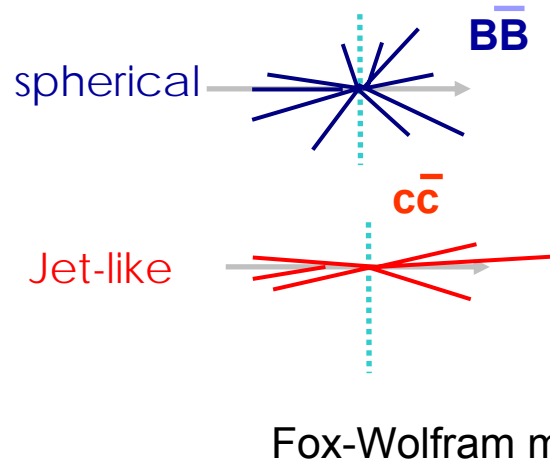
2 Fisher variables :

- $c\bar{c}$ background: Spectator system variables**

(mass, angular distribution, momentum and angular distribution of the leading particle + kinematic variables: $p_D, p_\ell, \cos\theta_{W\ell}$)



- **bb events rejection:** Event shape variables



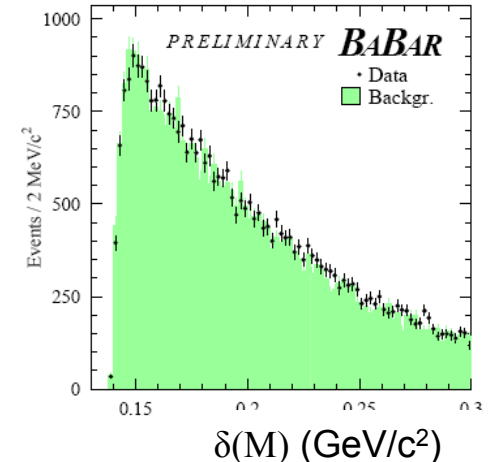
Remaining background composition :

$B^0\bar{B}^0$ evts = 17% B^+B^- evts = 7% uds evts = 3%

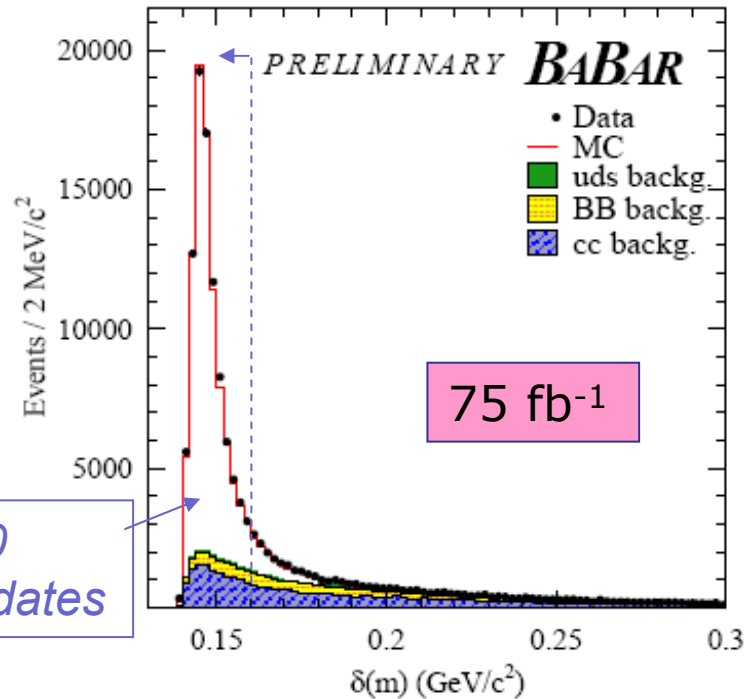
Peaking $cc = 48\%$
(real D^* , with for ex : $D^0 \rightarrow K^- \pi^0 e^+ \nu$ or $D^0 \rightarrow K^- \pi^0 \pi^+$)

Non-peaking $cc = 25\%$

check data/MC agreement
with wrong sign events

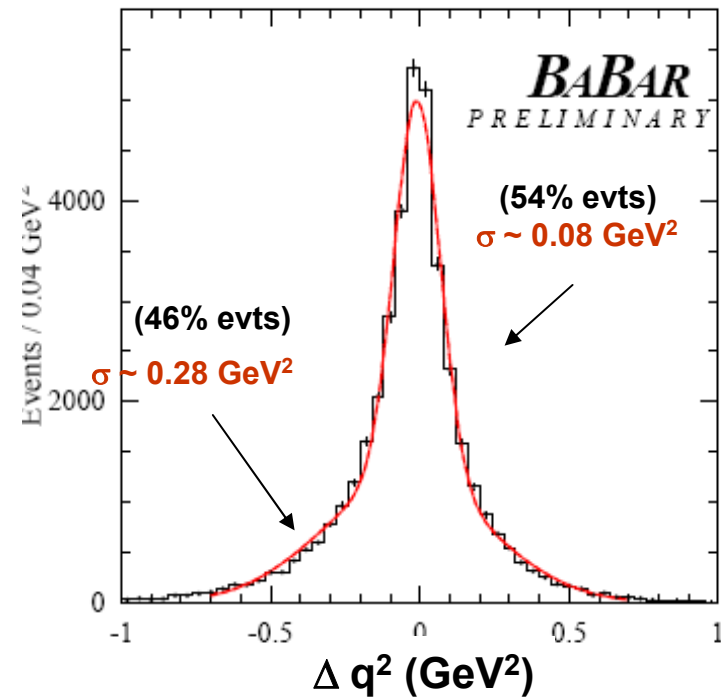


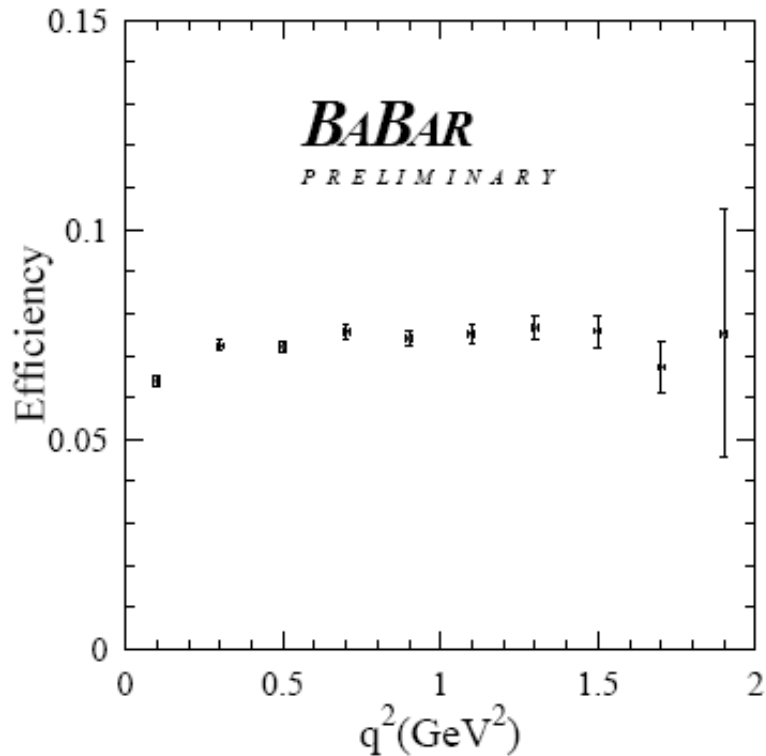
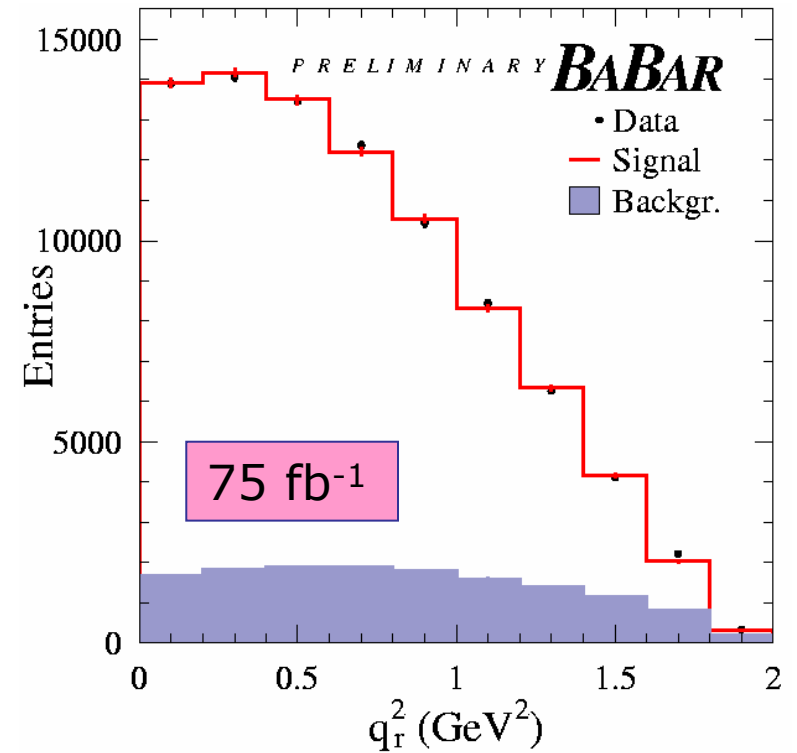
★ Mass difference distribution



$\delta m = m(K^- \ell^+ \nu \pi^+) - m(K^- \ell^+ \nu)$ after the fit with 1 constraint on m_D

★ q^2 resolution



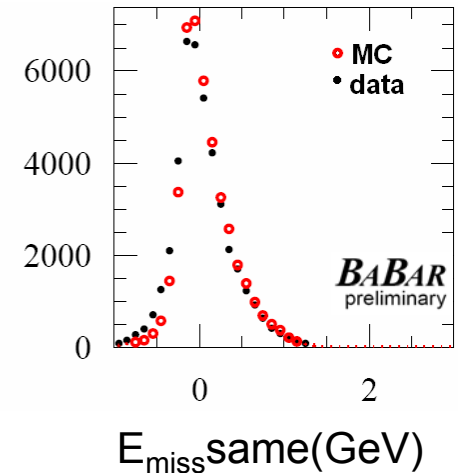
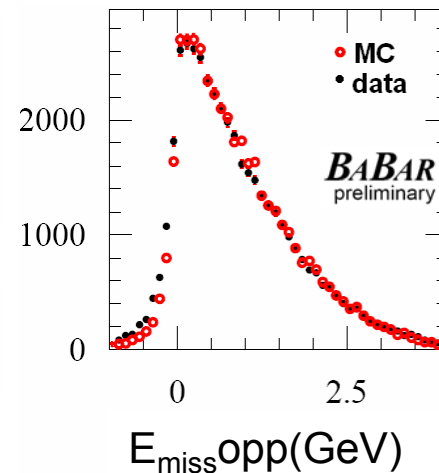
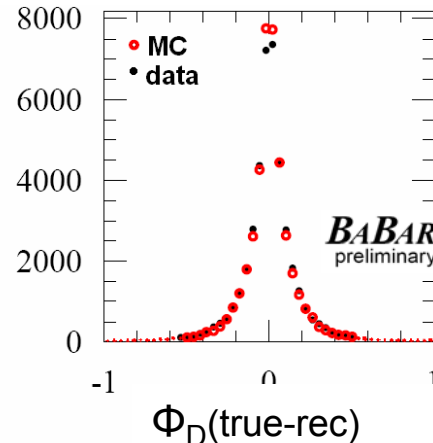
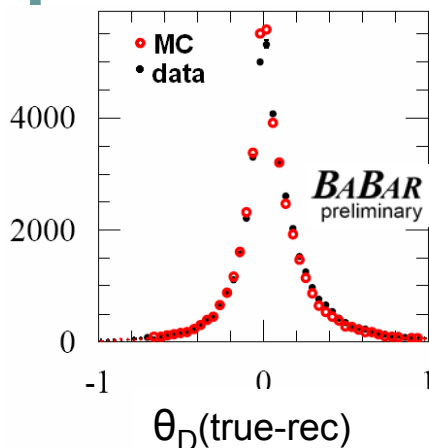
★ Efficiency vs q^2 ★ Reconstructed q^2 distribution

We use two control samples:

- $D^{*+} \rightarrow D^0 \pi^+, D^0 \rightarrow K^- \pi^+$

Same criteria and selection cuts as for the semileptonic channel (apart for the lepton)

- control of the Fisher bb and cc variables against background
- control of missing energy and p_D resolution used in the constrained fit



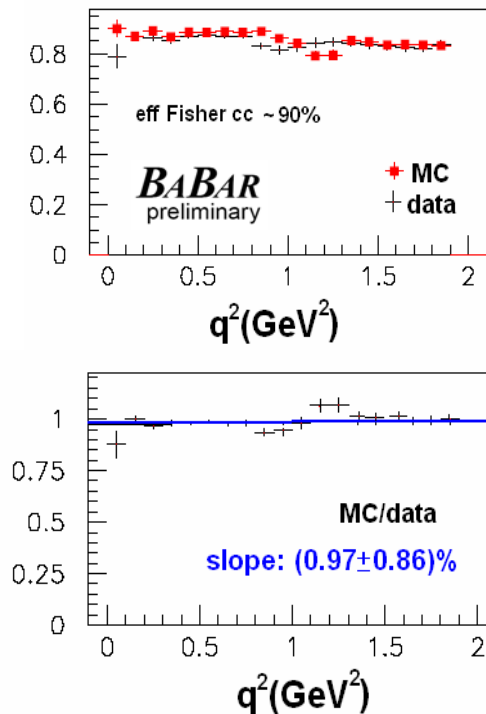
Define a parametrization of the differences to take into account possible biases.

- $D^{*+} \rightarrow D^0 \pi^+, D^0 \rightarrow K^- \pi^+ \pi^0 (\pi^0 \rightarrow \gamma\gamma)$

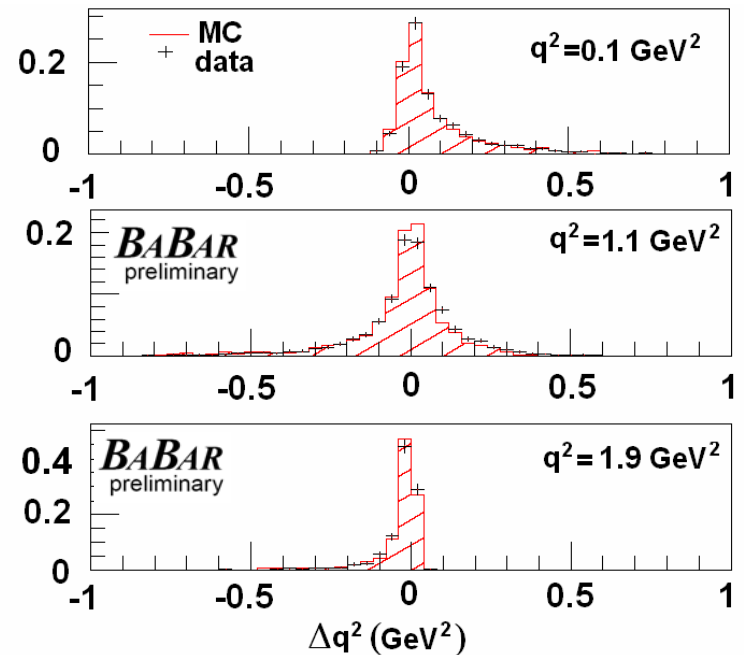
Same criteria and selection cuts as for the semileptonic channel + cut around the D^0 mass.

Treat the π^+ as the e^+ ($m_e \rightarrow m_\pi$) and the π^0 as the ν ($m_\nu \rightarrow m_\pi$) to control :

★ Reconstruction efficiency



★ q^2 and angular resolution from data



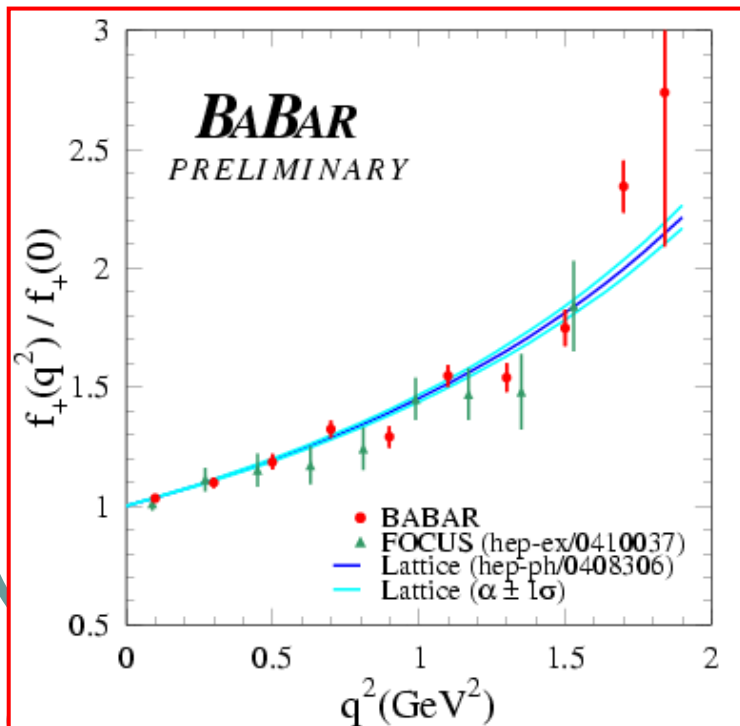
⇒ Small differences included as systematics

experiment	stat	$m_{\text{pole}}(\text{GeV}/c^2)$	α_{pole}
CLEO-c	281 pb ⁻¹	$1.98 \pm 0.03 \pm 0.02$	$0.19 \pm 0.05 \pm 0.03$
FOCUS	13k evts	$1.93 \pm 0.05 \pm 0.03$	$0.28 \pm 0.08 \pm 0.07$
Belle	282 fb ⁻¹	$1.82 \pm 0.04 \pm 0.03$	$0.52 \pm 0.08 \pm 0.06$
BaBar	75 fb⁻¹	$1.854 \pm 0.016 \pm 0.020$	$0.43 \pm 0.03 \pm 0.04$

preliminary

hep-ex/0410037

hep-ex/0604049

preliminary

► Pole mass below $m_{D^*_{s1}}$
(=2.112 GeV)

► α measurement in agreement with lattice
QCD: $\alpha = 0.50 \pm 0.04$ [hep-ph/0408306](#)

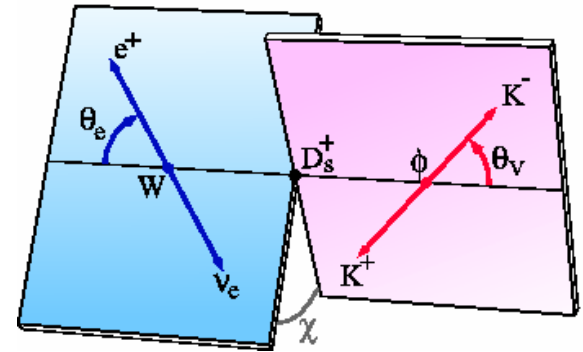
► Disagreement between values from BaBar and CLEO-c \Rightarrow has to be clarified !

$D_s \rightarrow \varphi e \nu$

$D_s \rightarrow \varphi e \nu$

$\hookrightarrow K^+ K^-$

4 kinematic variables :
 $q^2, \theta_V, \theta_1, \chi$



Decay rate : (assuming $m_\ell = 0$)

$$\frac{d^4\Gamma}{dq^2 d\cos\theta_V d\cos\theta_e d\chi} \propto p_{KK} q^2 \left| (1 + \cos\theta_e) \sin\theta_V e^{i\chi} H_+ - (1 - \cos\theta_e) \sin\theta_V e^{-i\chi} H_- - 2 \sin\theta_e \cos\theta_V H_0 \right|^2$$

Helicity ff : $H_\pm(q^2) = (M_D + m_{KK}) A_1(q^2) \mp 2 \frac{M_D p_{KK}}{M_D + m_{KK}} V(q^2)$

$$H_0(q^2) = \frac{1}{2m_{KK} \sqrt{q^2}} \left[(M_D^2 - m_{KK}^2 - q^2) (M_D + m_{KK}) A_1(q^2) - 4 \frac{M_D^2 p_{KK}^2}{M_D + m_{KK}} A_2(q^2) \right]$$

pole dominance parametrization: $A_i(q^2) = \frac{A_i(0)}{1 - q^2/M_A^2}$ $V(q^2) = \frac{V(0)}{1 - q^2/M_V^2}$

Two parameters are usually measured : ratios of the ff at $q^2 = 0$

$$r_V = V(0)/A_1(0) \quad \text{and} \quad r_2 = A_2(0)/A_1(0)$$

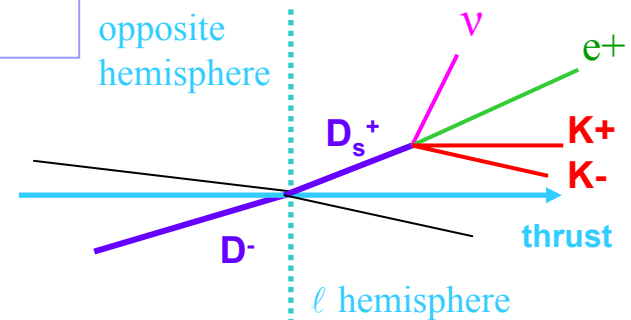
- **Event reconstruction :**

*same method as for
 $D \rightarrow K e \nu$ but without D^**

- Define 2 hemispheres

take K^+ , K^- , ℓ in the same hemisphere

- Compute D_s direction ($-\mathbf{p}_{\text{all particles} \neq K^+, K^-, \ell}$)
- Compute the missing energy in the ℓ hemisphere
- Fit $p_{D_s} = p_{K^+} + p_{K^-} + p_{\ell} + p_{\nu} \rightarrow$ **one constrained fit m_{D_s}**
- Compute kinematic variables : q^2 , θ_{ν} , θ_{ℓ} , χ



- **Reduce the background** \rightarrow Fisher analyses ($b\bar{b}$ and $c\bar{c}$ events)

- **Extract the ff parameters** \rightarrow Maximum log likelihood fit

- **control sample** $\rightarrow D_s \rightarrow \phi \pi$

$D_s^+ \rightarrow \phi e^+ \nu$

Decay characteristics

signal region :

$1.01 \text{ GeV} < m_\phi < 1.03 \text{ GeV}$

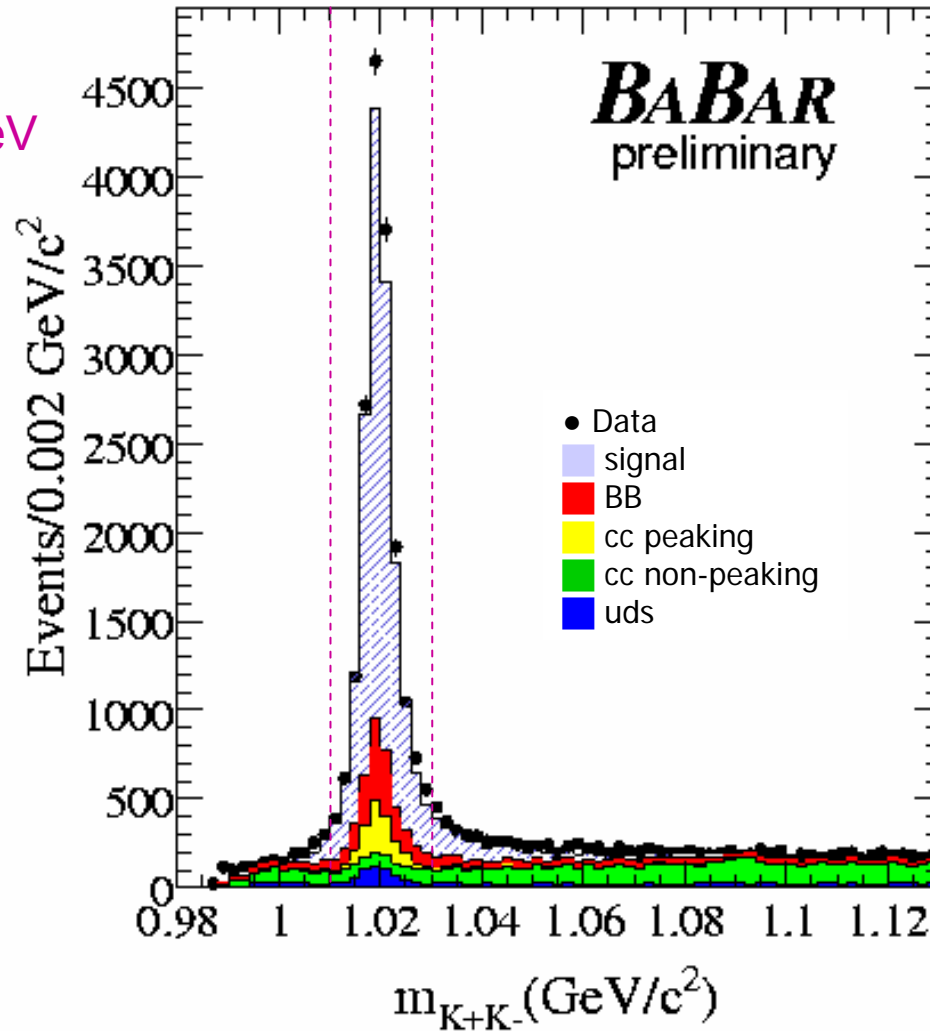
Background
composition :

$B^0 B^0$ evts = 23%

$B^+ B^-$ evts = 22%

uds evts = 14%

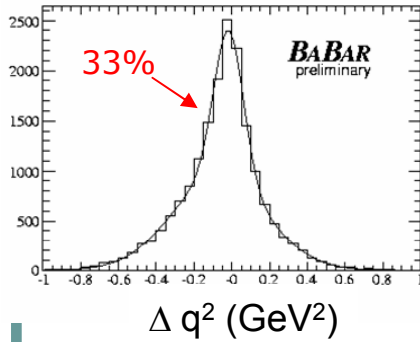
$c\bar{c}$ = 41%



78.5 fb⁻¹

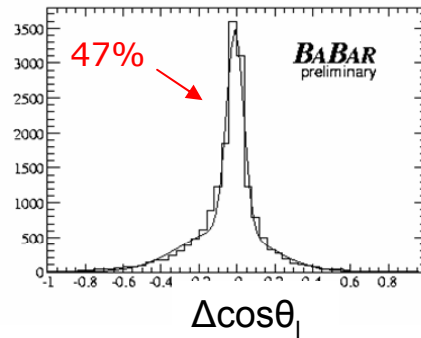
Signal yield :
13000

Typical resolutions :



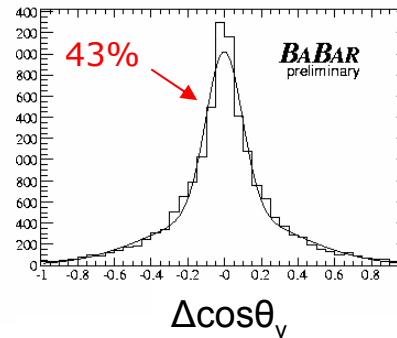
$\sigma_1 \sim 0.08 \text{ GeV}^2$

$\sigma_2 \sim 0.25 \text{ GeV}^2$



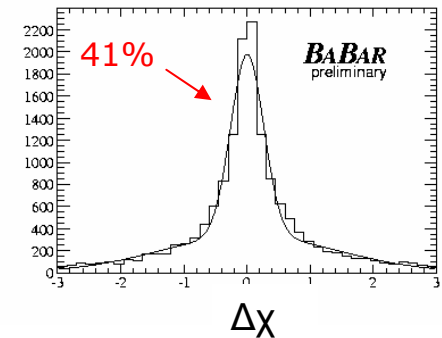
$\sigma_1 \sim 0.05 \text{ GeV}^2$

$\sigma_2 \sim 0.23 \text{ GeV}^2$



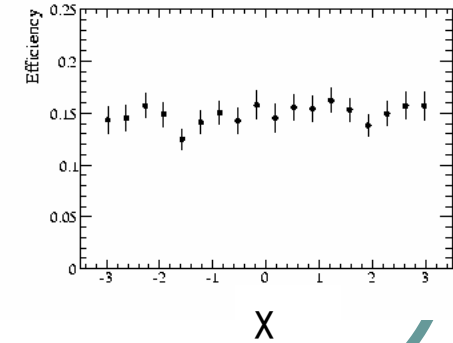
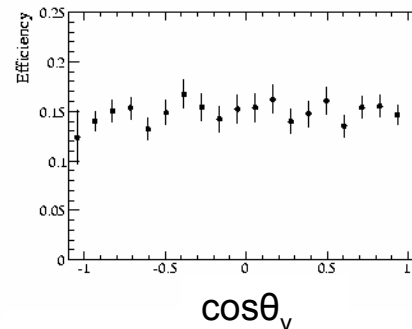
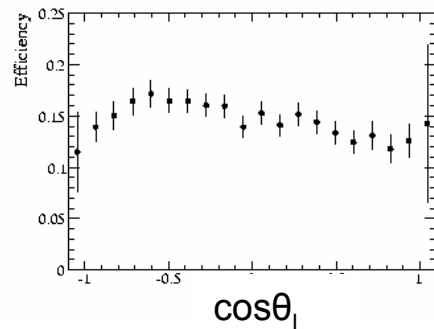
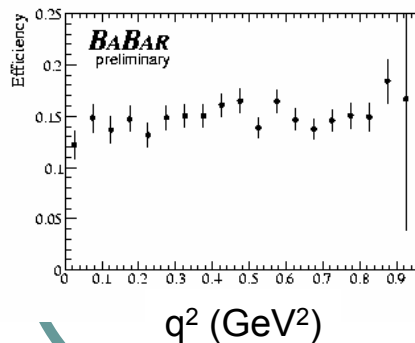
$\sigma_1 \sim 0.1 \text{ GeV}^2$

$\sigma_2 \sim 0.39 \text{ GeV}^2$



$\sigma_1 \sim 0.26 \text{ rad}$

$\sigma_2 \sim 1.39 \text{ rad}$

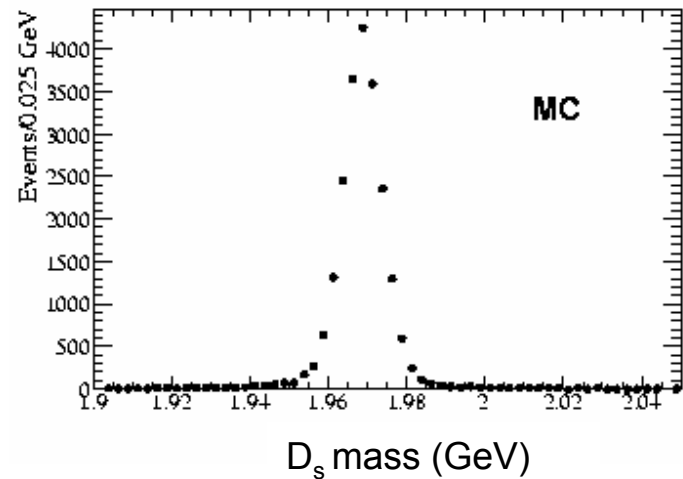
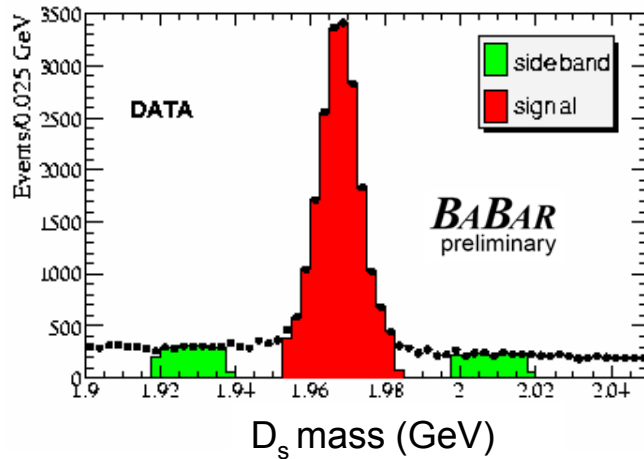
Efficiencies (including all cuts of the analysis but SL filter) : $\sim 15\%$ 

Efficiency uniform versus the 4 variables

Use $D_s \rightarrow \phi \pi$ to check :

- the agreement data/MC for the variables used in the Fisher analysis
- D_s direction and missing energy determination

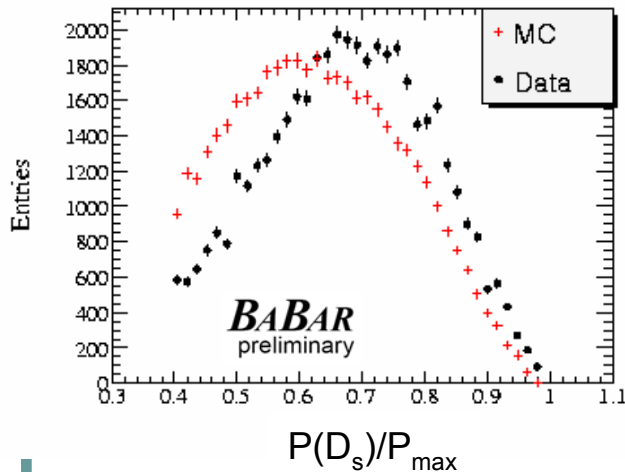
Event selection :



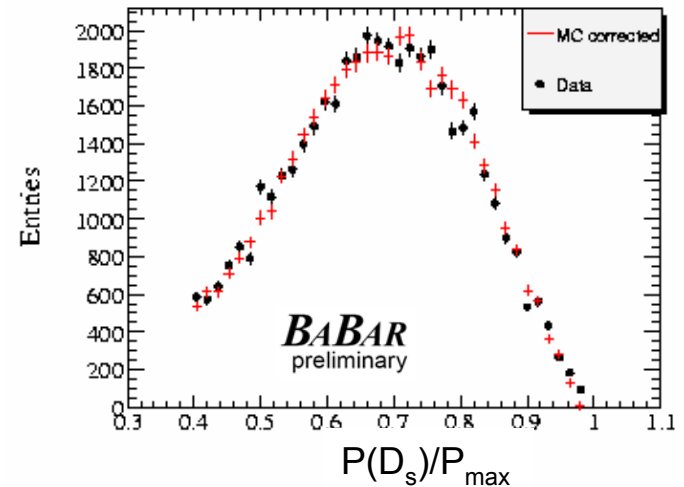
- Similar selection as $\phi l \nu$ as possible
- Background subtraction using the sidebands

Fisher variables :

Large disagreement data/MC observed in the **fragmentation distribution**

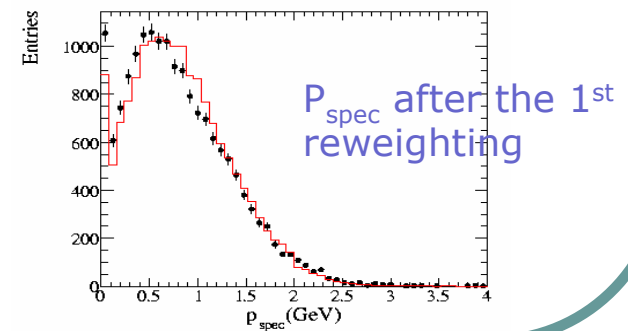


simulated events
after reweighting

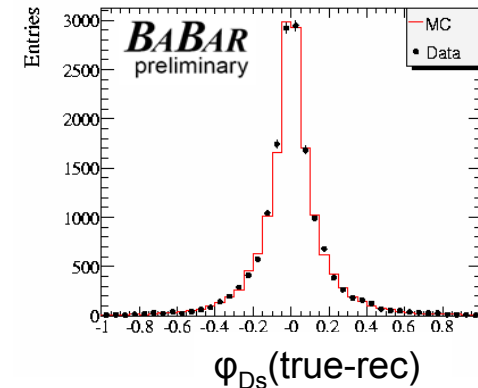
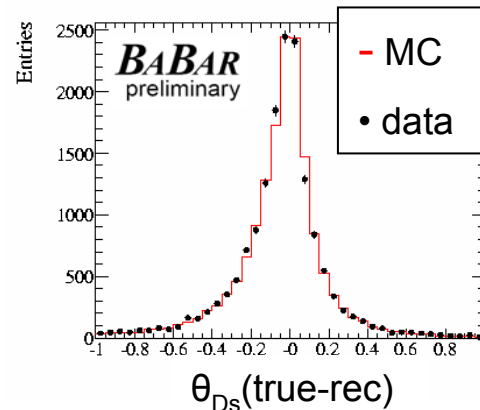


Check other Fisher variables after correction : small discrepancy remains, ex: spect. syst. momentum

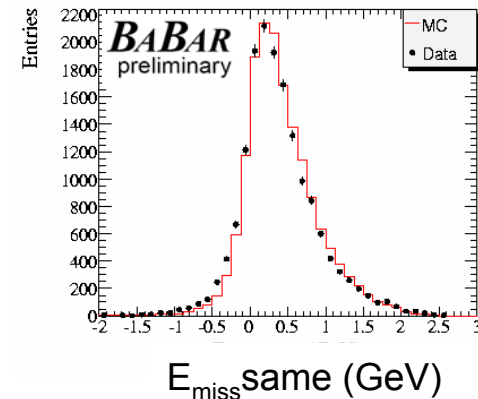
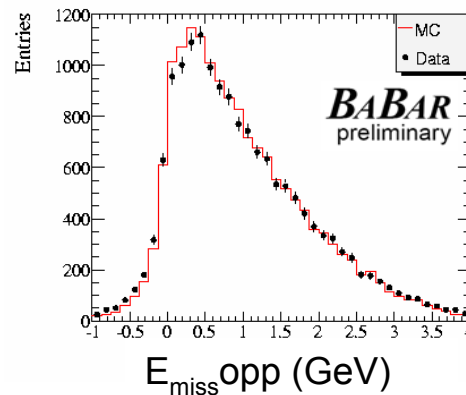
Taken into account in the systematic uncertainties by defining an additional weight on this variable.



D_s direction determined using all the other tracks in the event is compared to its real value :



Missing energy in the 2 hemispheres :



Define a parametrization of the differences to take into account possible biases.

Use 5 equal bins for each reconstructed variable and perform a log-likelihood minimisation :

$$\mathcal{L} = - \sum_{i=1}^{nbins} \ln \mathcal{P}(n_i^{data} | n_i^{MC}).$$

Number of data events in bin i

Number of expected events from MC in bin i

n_i^{MC} results from :

- the number of bkg events estimated from generic MC (normalized to data lumi). We take the average over $\cos\theta_\nu$ and χ (flat distribution).
- the number of signal events expected is deduced by applying a weight \mathbf{W} to MC signal events generated according to phase space.

Phase space

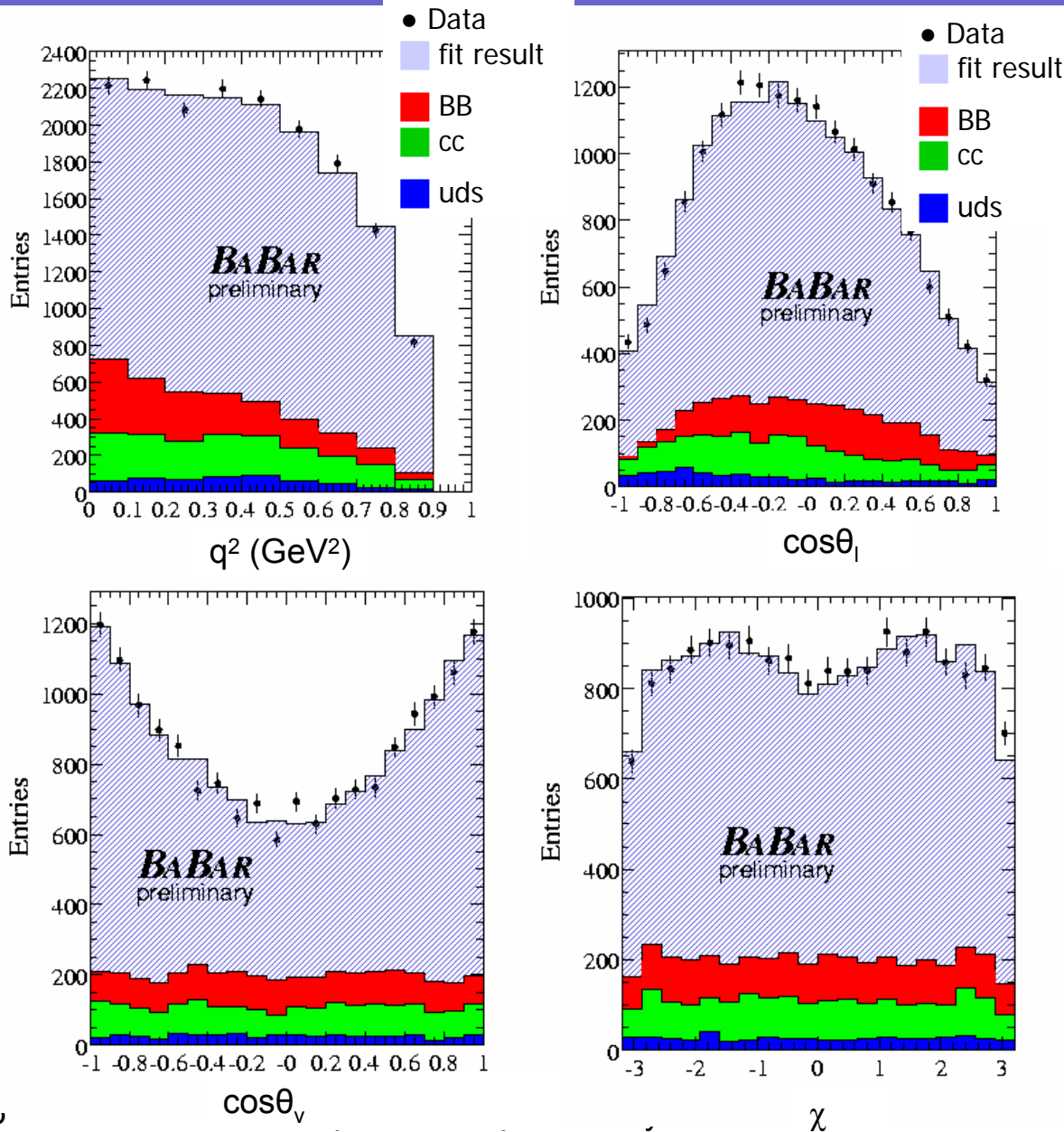
$$: p_{KK} q^2 \begin{vmatrix} (1 + \cos \theta_e) \sin \theta_\nu e^{i\chi} H_+ \\ - (1 - \cos \theta_e) \sin \theta_\nu e^{-i\chi} H_- \\ - 2 \sin \theta_e \cos \theta_\nu H_0 \end{vmatrix}^2$$

$\mathbf{W}(\lambda_k)$

$$\Rightarrow n_i^{MC} = N_S \frac{\sum_{j=1}^{n_i^{signal}} w_j(\lambda_k)}{W_{tot}(\lambda_k)} + n_i^{bckg.}$$

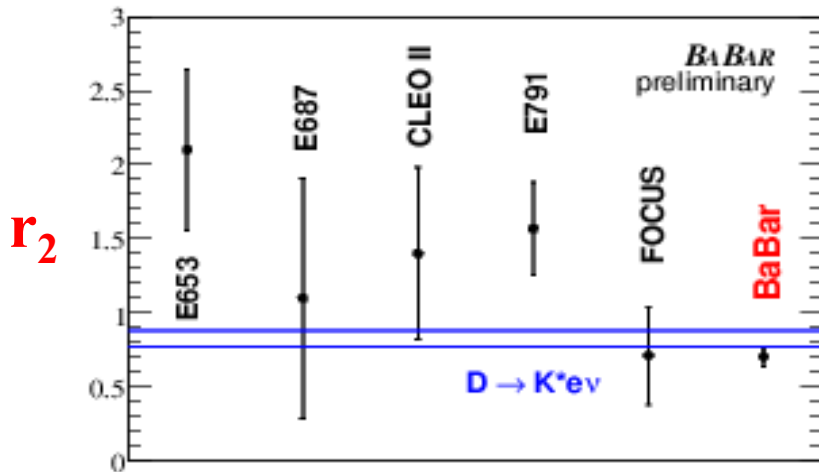
N_S is a parameter

The fitting procedure has been checked on toy simulations

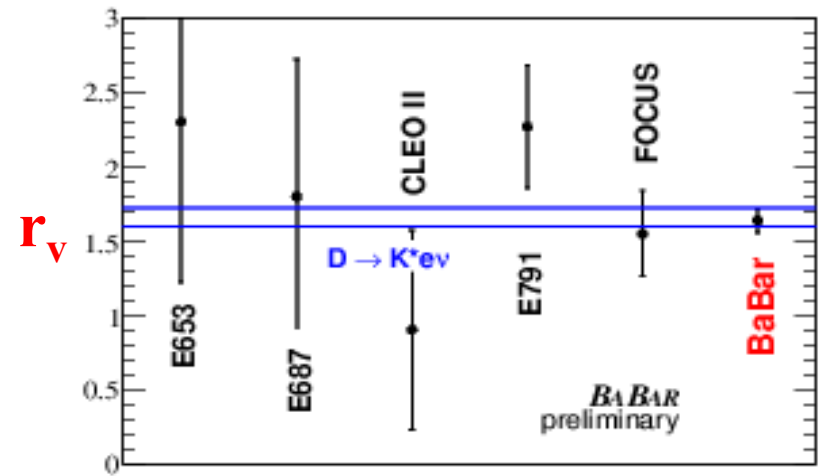


★ Form factor ratios at $q^2=0$ (fixing $m_A = 2.5 \text{ GeV}/c^2$ and $m_V = 2.1 \text{ GeV}/c^2$):

$$r_2 = 0.705 \pm 0.056 \pm 0.029$$



$$r_V = 1.636 \pm 0.067 \pm 0.038$$



➡ Same accuracy as $D \rightarrow K^* e \nu$ (FPCP 2006, J.Wiss)

★ Fixing only the vector pole mass :

$$r_2 = 0.711 \pm 0.111 \pm 0.096$$

$$r_V = 1.633 \pm 0.081 \pm 0.068$$

$$m_A = 2.53^{+0.54}_{-0.35} \pm 0.054 \text{ GeV}/c^2$$

Conclusion and perspectives

BaBar has obtained a precise measurement of the charm leptonic decay $D_s^+ \rightarrow \mu^+ \nu$

➤ D_s decay constant : $f_{D_s} = 283 \pm 17_{\text{stat}} \pm 7_{\text{syst}} \pm 14_{D_s \rightarrow \phi\pi} \text{ MeV}$

we are still far from what can be achieved on lattice (% accuracy)

➤ determination of f_{B_s}/f_{B_d} using double ratio :

$$R = \frac{\Phi_s(m_b)/\Phi_d(m_b)}{\Phi_s(m_c)/\Phi_d(m_c)} \quad \text{with} \quad \frac{\Phi_s(m_b)}{\Phi_d(m_b)} = \frac{\sqrt{m_{B_s}} f_{B_s}}{\sqrt{m_{B_d}} f_{B_d}}$$

R can be determined very precisely on the lattice thanks to the cancellation of chiral logs : $R = 1.01(3)$ (from Becirevic et al, Phys. Rev. D 60 (1999) 074501)

So if f_{D_s}/f_D is measured very precisely $\rightarrow f_{B_s}/f_{B_d}$ could be known at % level

We can improve experimental results with more statistics and a better determination of $B(D_s^+ \rightarrow \phi\pi)$

Conclusion and perspectives

Semileptonic decays :

- $D \rightarrow Ke\nu$ form factor : First study of the Babar potential in charm sl decays

Very successful, same precision as lattice reached

$$m_{\text{pole}} = 1.854 \pm 0.016 \pm 0.020 \text{ GeV}/c^2$$

$$\alpha_{\text{pole}} = 0.43 \pm 0.03 \pm 0.04$$

preliminary

Open a large perspective in ff measurements in BaBar ...

- $D_s \rightarrow \varphi e\nu$ form factors :

$$r_2 = 0.705 \pm 0.056 \pm 0.029$$

$$r_v = 1.636 \pm 0.067 \pm 0.038$$

preliminary

Still a lot of interesting measurement that we can do :

- ▶ ff in $D \rightarrow \pi l\nu$ and $D \rightarrow K\pi l\nu$
- ▶ More detailed study of ff in $D_s \rightarrow X e\nu$
- ▶ Comparison between different channels
- ▶ Charm baryons,....