

Towards a complete 4-dim Lagrangian form  
Heterotic string theory

by

René Reinbacher, Rutgers University

Michael R. Douglas, Robert L. Karp, Sergio Lukic

## Motivation

We are interested in compactifications of het. string theory to  $N = 1$ , 4-dim effective theories. In particular, we want to write an effective Lagrangian.

To find such 4-dim supersymmetric theories, one can the following:

1. Choose a space time  $M^{10} = X \times M^4$ ,  $M^4$  is 4-dim Mink. Take  $X$  to be CY-space (complex Kaehler manifold with trivial canonical bundle)

In particular, we want to find a Ricci-flat metric  $g_{i\bar{j}}$  on  $X$ . If we fix a Kaehler class  $H = [g_{i\bar{j}}]$ , a theorem of Yau ensures the existence of a unique such metric.

2. Solve hermitian Yang-Mills equation on  $X$ , that is, find a gauge potential  $A_i$ , such that

$$F_{ij}(A) = 0, \quad F_{i\bar{j}}(A) = 0, \quad g^{i\bar{j}} F_{i\bar{j}}(A) = 0.$$

Such  $A_i$  can be interpreted as connection of holomorphic vector bundle  $V$ .

Theorems of Donaldson-Uhlenbeck-Yau show, that if  $V$  is a holomorphic bundle, which is stable with respect to  $H$ , then there exists a unique such solution  $A_i$ .

3. Find the field content of the 4-dim theory. It is determined by the zero modes  $\psi^\alpha$  of the Dirac operator on  $X$ . This amounts to find harmonic differential forms  $\psi$  valued in  $V$ , *i.e.* solutions of

$$0 = (\bar{\partial} + \bar{A})\psi = (\bar{\partial} + \bar{A})^*\psi,$$

where  $*$  denotes the adjoint operator. These modes can be described algebraically as the sheaf cohomology of  $V$ .

4. Find 4-dim superpotential, that is, the wedge products of differential forms  $\psi_\alpha$ . This also can be done algebraically, e.g. rank three case, one needs to evaluate the map

$$H^1(X, V) \otimes H^1(X, V) \otimes H^1(X, V) \rightarrow H^3(X, \wedge^3 V) = \mathbb{C}.$$

5. Find the Kaehler potential for eff. 4-dim theory, or at least, the Kaehler metric which gives normalization for the 4-dim particles.

For example, let consider the normalization for the massless 4-dim fields which correspond to the moduli of the hermitian Yang-Mills gauge potential. Consider a deformation  $\delta A$  of a solution  $A_0$  to the HYM equation

$$A' = A_0 + \delta A.$$

That is,  $A'$  solves the HYM equations as well. These deformations correspond to harmonic representatives of the cohomology classes of  $H^1(X, V \otimes V^*)$  and give rise in 4-dim. to complex scalar fields  $\phi$ . After dimensional reduction of the 10-dim Yang-Mills action, one obtains

$$S_{kin}(\phi) = \sum \int_X d^6 tr(\delta_p A_i, \delta_{\bar{q}} A_{\bar{j}}) g^{i\bar{j}} \int_{M_4} d^4 x \partial_\mu \phi_p \partial^\mu \phi_{\bar{q}}^*$$

where  $p, \bar{q}$  run over a basis of  $H^1(X, V \otimes V^*)$  and denote the projections to the  $(1, 0)$ -form and  $(0, 1)$ -form part of  $\delta A$  respectively.  $g_{i\bar{j}}$  is the Ricci flat metric on  $X$ .

In this talk I present our method on how to obtain numerical solutions to hermite Yang-Mills equation for stable bundles which can be applied on any compact Kaehler manifold.

I show how to apply this method to a stable rank three bundle  $V$  on a quintic.

In addition, I present a method on how to compute the Kaehler metric

$$g_{p\bar{q}} = \int_X d^6 tr(\delta_p A_i, \delta_{\bar{q}} A_{\bar{j}}) g^{i\bar{j}}$$

numerically. There are generalizations of this method that can be applied to obtain the normalization of all 4-dim fields.

## Review Ricci flat metrics

As a warm up, let's review the numerical computation of Ricci flat metrics on quintics, as described yesterday by Robert Karp. The basic idea is to consider embeddings of a quintic into a complex projective space of high dimension  $N$

$$X \subset \mathbb{P}^N.$$

Projective spaces have a set a large set of Kaehler metrics, described by the Kaehler potentials

$$K_h = \log \left( \sum_{i\bar{j}} h^{i\bar{j}} Z_i \bar{Z}_j \right), \quad i\bar{j} = 0, \dots, N.$$

Note that the dimension of the family of Kaehler potential grows like  $N^2$ , hence for large  $N$  there is a large such family. The idea is, as suggested by Yau, Tian, Luo and Donaldson, that the pull-back of the "correct" Kaehler metric given by  $K_{h_c}$  is a good approximation to the Ricci flat metric and agrees with it in the limit  $N \rightarrow \infty$ .

More formally, the embedding is given the global sections  $s_\alpha$  of the  $k$  tensor power of the hyperplane bundle  $\mathcal{O}_X(1)$  using the evaluation map

$$x \rightarrow (s_1(x), \dots, s_N(x)) \in \mathbb{C}^N.$$

Donaldson showed recently that the "correct" Kaehler metric on  $\mathbb{P}^N$  is given by the fixed point  $T(h) = h$  of the T-operator

$$T(h)_{\alpha\bar{\beta}} \equiv \frac{N+1}{\text{vol}(X)} \int_X dV \frac{s_\alpha \bar{s}_\beta}{\sum h^{\alpha\bar{\beta}} s_\alpha \bar{s}_\beta}.$$

and that  $T^n(h)$  converges for  $n \rightarrow \infty$ .

## Solution to hermitian Yang-Mills equation

To apply this strategy to solve for the hermitian Yang-Mills connection  $A_i$ , recall that on a complex vector bundle  $V$ , there is a correspondence between a hermitian metric  $(, ) : \bar{V} \otimes V \rightarrow \mathbb{C}^\infty(X)$ , which in a frame  $\{e_b\}$  gives  $H_{a\bar{b}} = (e_a, e_b)$  and its corresponding metric connection  $A_i = H^{-1}\partial_i H$ .

Instead of solving for the hermitian Yang-Mills connection  $A_i$ , we solve for the hermite-Einstein metric  $H$  in the gauge  $\bar{A} = 0$

Hence we want to find a large family of metrics on the holomorphic vector bundle  $V$  (with fixed rank  $r$ ). We consider the bundle  $V \otimes \mathcal{O}_X(k)$  with  $M$  global sections such that the map  $X \rightarrow G(r, M)$  defines an embedding into the Grassmanian  $G(r, M)$ .

More precisely, after choosing a local frame for  $V$ , a basis of sections determines a  $M \times r$  matrix  $\{z_\alpha^a\}_{\alpha=1, \dots, M}^{a=1, \dots, r}$ . It is defined up to a  $GL(M)$  change of basis and up to a  $GL(r)$  change of frames, hence gives for each  $x \in X$  we obtain a point in the Grassmanian  $G(r, M)$ .

Now we get a set of natural metrics on  $V(k)$

$$H = (zG^{-1}z^\dagger)^{-1}.$$

parameterized by  $M \times M$  matrix  $G$ . Again, one wants to find a natural metric of this form which is a good approximation to hermite-Einstein metric and agrees with it in the large volume limit.

To find this metric, we define a generalized T-operator (DKLR)

$$T(G) = \frac{N}{Vr} \int_X z(z^*G^{-1}z)^{-1}z^*dV.$$

and conjecture that for any stable bundle  $V$  it convergence to a fixed point  $G_\infty$ . We test this conjecture for  $T\mathbb{P}^2$ , a rank three bundle on  $\mathbb{P}^2$  and rank three bundles on quintics. Such fixed point  $G_\infty$  leads to a natural metric on  $V$  for any  $k$

$$H_k = H^{(k)} \otimes h^{-k},$$

where  $H^k = (zG_\infty^{-1}z^\dagger)^{-1}$  and  $h$  is a metric on  $\mathcal{O}_X(1)$ .

Wang proves that for  $k \rightarrow \infty$ ,  $H_\infty$  obeys the weak hermite Einstein equations

$$\frac{i}{2\pi} \wedge F_{(H_\infty)} + \frac{1}{2} S(\omega) I_V = \left( \frac{\deg(E)}{Vr} + \frac{\bar{s}}{2} \right) \cdot I_V,$$

where  $\wedge F_{(H_\infty)}$  is the contraction of curvature form of  $V$  with respect to the Kaehler form  $\omega = 2i\pi Ric(h)$  on  $X$ ,  $S(\omega)$  is the scalar curvature of  $X$  and  $\bar{s} := \frac{1}{V} \int_X S(\omega) \frac{\omega^n}{n!}$ . In particular, if  $\omega$  corresponds to the Ricci flat metric,  $S(\omega)$  vanishes everywhere and  $H_\infty$  solves the hermite-Einstein equation.

## Example: Hermite Einstein metric on $V$

Recall that the quintic  $Q$  is given by the vanishing locus of a degree five polynomial in  $\{Z_i\}$ , the homogenous coordinates on  $\mathbb{P}^4$ . We consider the vector bundle  $V$  defined by the exact sequence

$$\mathcal{O}_Q(-4) \rightarrow \mathcal{O}_Q^{\oplus 4}(-1) \rightarrow V,$$

where  $\mathcal{O}_Q(1)$  is the restriction of the hyperplane bundle. In particular,  $V$  is given by four global section  $s_i$  in  $H^0(Q, \mathcal{O}_Q(3))$ . We chose (for simplicity)

$$s = (Z_0^3, \dots, Z_3^3).$$

$V$  is stable with vanishing first Chern class, hence corresponds to a valid string compactification. It is not a twist of the tangent bundle  $T_Q$ .

We want to find a hermitian matrix  $G_\infty$  such that  $T(G_\infty) = G_\infty$  where  $T$  is our generalized  $T$ -operator. To find the global sections of  $V(k)$  we consider

$$\mathcal{O}_Q(k-4) \rightarrow \mathcal{O}_Q^{\oplus 4}(k-1) \rightarrow V(k),$$

which implies the exact sequence

$$H^0(Q, \mathcal{O}_Q(k-4)) \rightarrow H^0(Q, \mathcal{O}_Q^{\oplus 4}(k-1)) \rightarrow H^0(Q, V(k)).$$

In particular for  $k = 2$  we find

$$H^0(Q, V(2)) = H^0(Q, \mathcal{O}_Q^{\oplus 4}(1))$$

that is four sets of all linear polynomials in the projective coordinates of  $\mathbb{P}^4$ . If we choose the canonical frame  $\{\hat{e}_i\}_{i=0}^4$  for  $\mathcal{O}_Q^{\oplus 4}(-1)$ , the relation for the frame of  $V$  over the coordinate patch  $Z_0 \neq 0$  can be expressed in inhomogenous coordinates  $w_i = Z_i/Z_0$  as

$$\hat{e}_0 = - \sum_{i=1}^3 w_i^3 \hat{e}_i.$$

Then, the explicit matrix  $z$  that give the embedding  $X \rightarrow Gr(3, 20)$  is given by

$$\begin{pmatrix} 1..w_4 & 0 & 0 & -w_1^3 & -w_1^4 & -w_1^3 w_2 & -w_1^3 w_3 & -w_1^3 w_4 \\ 0 & 1..w_4 & 0 & -w_2^3 & -w_1 w_2^3 & -w_2^4 & -w_3 w_2^3 & -w_4 w_2^3 \\ 0 & 0 & 1..w_4 & -w_3^3 & -w_1 w_3^3 & -w_2 w_3^3 & -w_3^4 & -w_4 w_3^3 \end{pmatrix}$$

Iterating the generalized T-operator, we reach the fixed point of

the generalized T-map after 12 or 15 iterations (computation is still slow and not optimized).

One numerical result I want to mention is our computation for different rank three bundle on the Fermat quintic. Using the balanced metric  $H_1$  on  $V(1)$  and the Ricci flat Kaehler metric  $\omega^{i\bar{j}}$  we evaluate the Hermitian Yang-Mills equations. We find that

$$\omega^{i\bar{j}} F_{i\bar{j}} \sim 1.31 \cdot \mathbf{I}_{3 \times 3},$$

where the theoretical value of the constant is  $4/3$ . That is, we indeed found a solution of hermite-Einstein metric on  $V$  and get an implicit test of the Ricci flatness of  $\omega^{i\bar{j}}$ . The error is about 11%.

## Moduli space of $V$

Recall that our bundle  $V$  is determined by four global sections  $s = (Z_0^3, \dots, Z_3^3)$ . A complex deformation corresponds simply to change of sections

$$s' = s + \delta s_V,$$

where  $\delta s_V$  is an element of  $H^0(Q, \mathcal{O}_Q(3))^4$ . Actually, removing all trivial deformations, which are of the form

$$s_i \rightarrow s_i + \sum_j \alpha_i^j s_j$$

where  $\alpha_i^j$  are constants, allows to give an explicit basis for the tangent space of the moduli space  $\mathcal{M}$  at  $V$ . Any tangent vector  $\delta s \in T_V \mathcal{M}$  can be expressed as

$$\delta s_V = \sum_{ij} a_{ij} m_j e_i$$

where  $a_{ij}$  are constants,  $\{e_i\}$  form the canonical basis of  $\mathbb{C}^4$  and  $\{m_j\}_{j=1}^{31}$  is the set of all degree three monomials in  $\{Z_i\}$  modulo  $Z_0^3, \dots, Z_3^3$ .

A variation of  $\delta s_V$  leads to  $\delta z_V$ , a variation of the embedding of  $X \rightarrow Gr(3, 20)$ . In particular we obtain a different fixed point of the  $T$ -operator

$$G' = G + \delta G_V.$$

Using the condition  $T(G_V) = G_V$  allows to solve for  $\delta_V G$ .

Recall that the hermite Einstein metric on  $V$  was given by

$$H = (zG^{-1}z^\dagger)^{-1}h^{-k},$$

hence we can explicitly solve for  $\delta_V H$ . To compute the Kaehler metric one has to find  $\delta_V A$  in the physical gauge where the connection one forms are antihermitian. This can be done solving the equation

$$H = C^\dagger C.$$

The connection one forms are given by

$$A_{\bar{i}} = C(\bar{\partial}_{\bar{i}} C^{-1}).$$

We solve for  $\delta_V A$  and compute the normalization matrix

$$g_{p\bar{q}} = \int_X d^6 tr(\delta_p A_i, \delta_{\bar{q}} A_{\bar{j}}) g^{i\bar{j}}.$$