



Neutrino Flux Measurements in SNO's Phase III

Sean McGee
University of Washington
for the SNO Collaboration

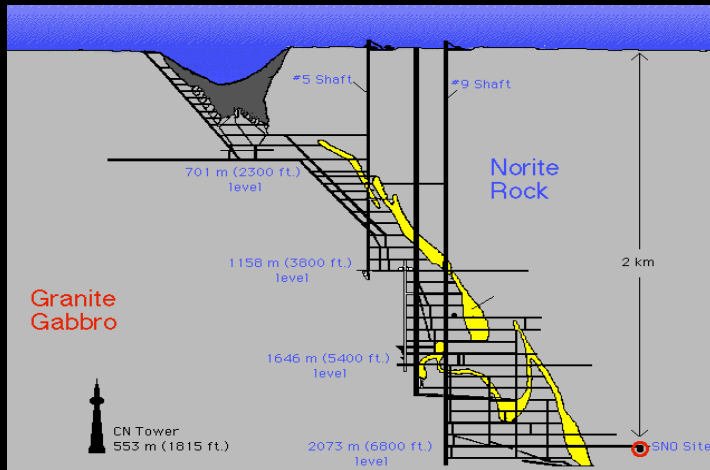
Neutrino Flux Measurements in SNO's Phase III

Sean McGee

University of Washington
for the SNO Collaboration

- Introduction
 - What is SNO
 - What is Phase III
- Signals and Backgrounds
- Preliminary Spectral Analysis
- Pulse Shape Analysis techniques being pursued
- Final days of SNO
- Future

Sudbury Neutrino Observatory



1000 tonnes D₂O

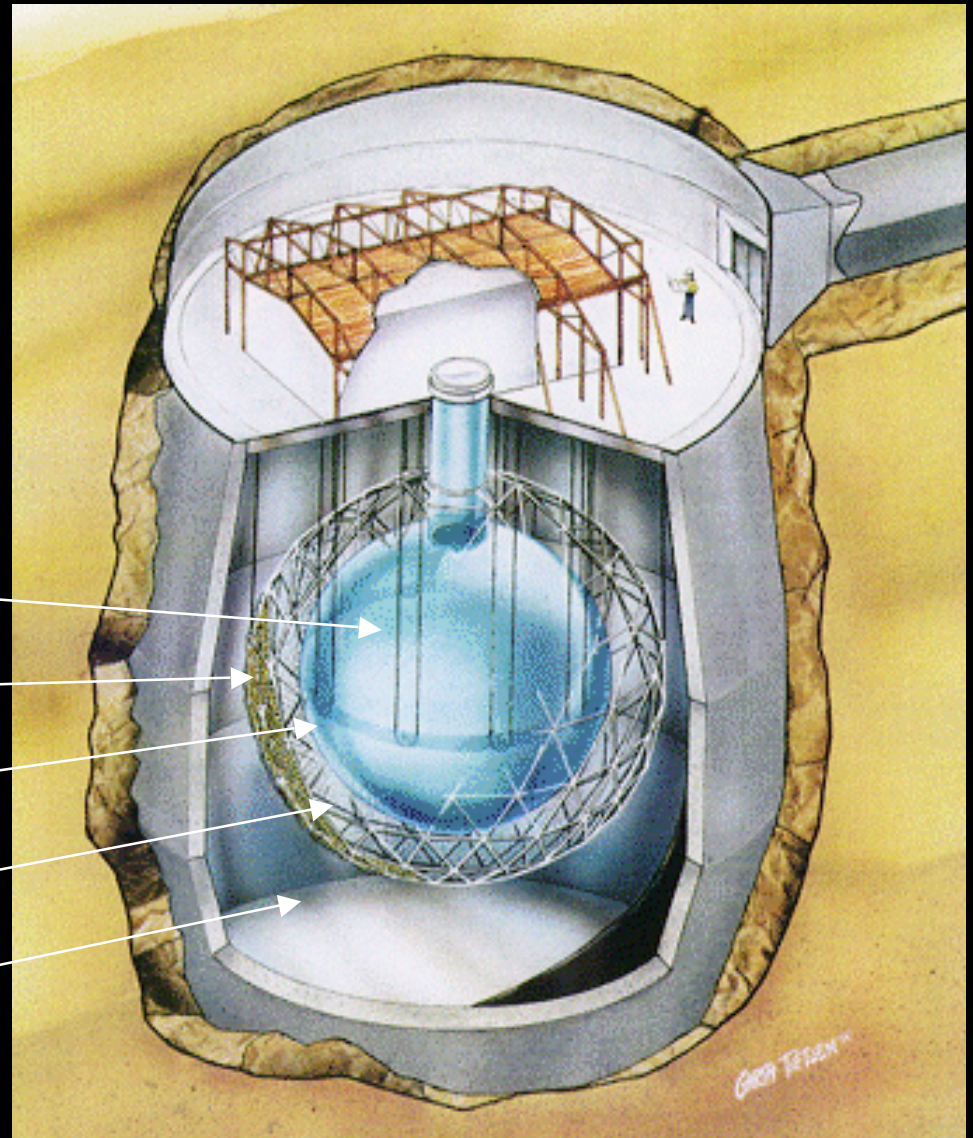
Support Structure for 9500
PMTs, 60% coverage

12 m Diameter Acrylic Vessel

1700 tonnes Inner Shielding H₂O

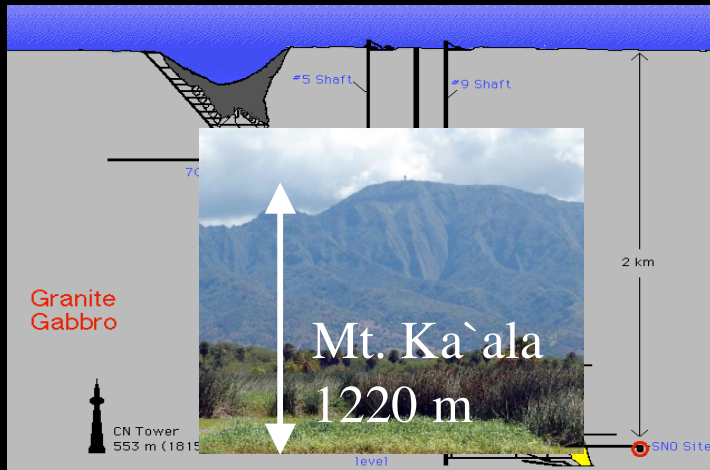
5300 tonnes Outer Shield H₂O

2000 m below the surface
(6000 m.w.e.)



Nucl. Inst. and Meth. A449, p172 (2000)

Sudbury Neutrino Observatory



1000 tonnes D_2O

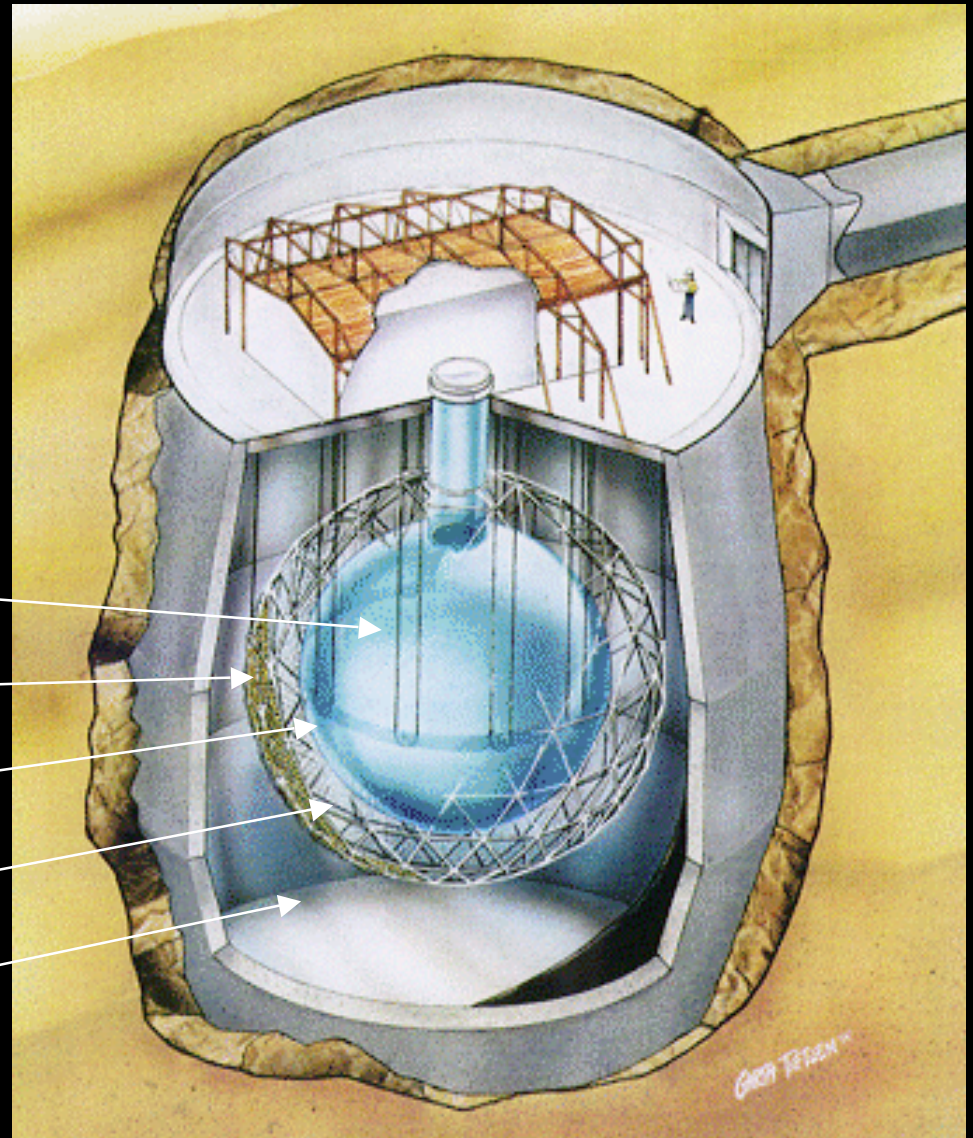
Support Structure for 9500
PMTs, 60% coverage

12 m Diameter Acrylic Vessel

1700 tonnes Inner Shielding H_2O

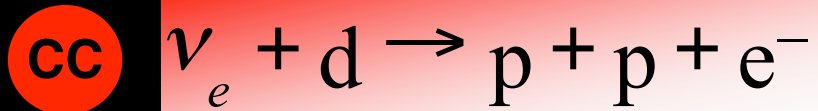
5300 tonnes Outer Shield H_2O

**2000 m below the surface
(6000 m.w.e.)**

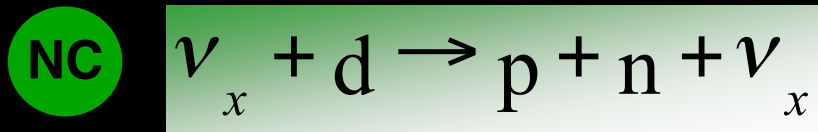


Nucl. Inst. and Meth. A449, p172 (2000)

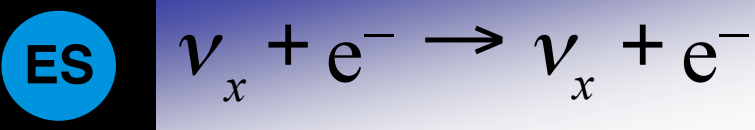
ν Reactions in SNO



- good measurement of ν_e energy spectrum
- some directional info $\propto (1 - 1/3 \cos\theta)$
- ν_e only

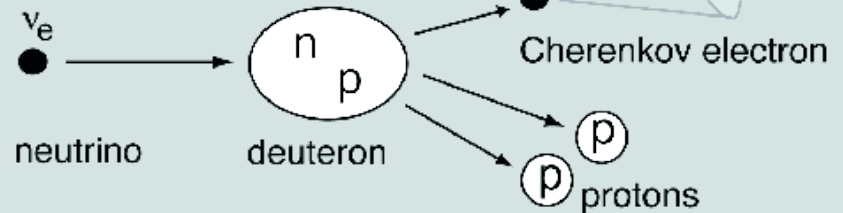


- measures total ${}^8\text{B}$ ν flux from the Sun
- equal cross section for all ν types

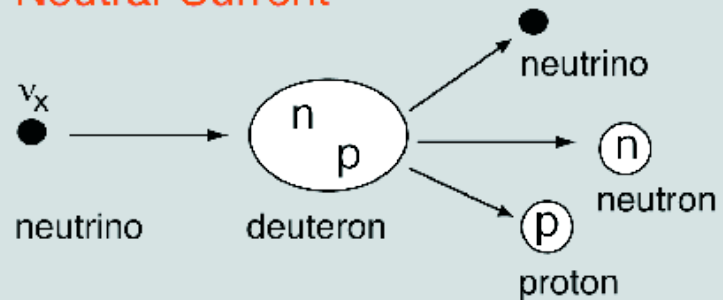


- low statistics
- mainly sensitive to ν_e , some ν_μ and ν_τ
- strong directional sensitivity

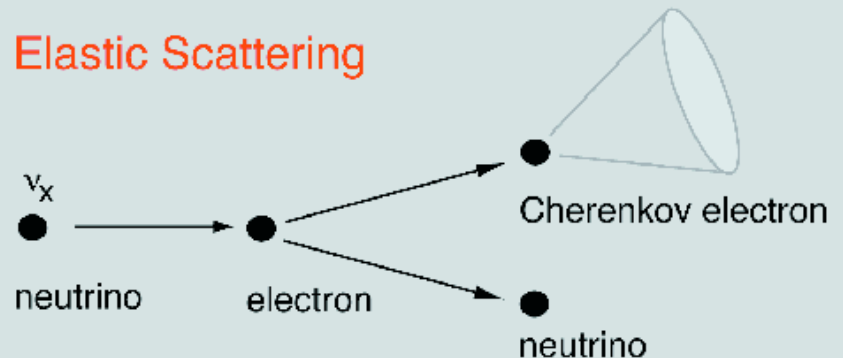
Charged-Current



Neutral-Current



Elastic Scattering



SNO Run Sequence

I. Pure D₂O

CC, ES, some NC

$n + d \rightarrow t + \gamma \dots$ ($E_\gamma = 6.25 \text{ MeV}$, $\varepsilon_n \sim 14\%$)

Nov. 2, 1999 to May 28, 2001

“strong evidence for flavor
transformation of solar ν_e ”

SNO Run Sequence

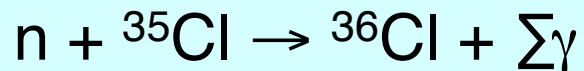
I. Pure D₂O

CC, ES, some NC

II. D₂O+NaCl

(2 tonnes dissolved salt)

CC, ES, enhanced NC



($E_{\sum\gamma} = 8.6 \text{ MeV}$, $\varepsilon_n \sim 41\%$ above threshold)

isotropy of shower used for better
NC event separation

SNO Run Sequence

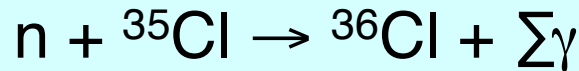
I. Pure D₂O

CC, ES, some NC

II. D₂O+NaCl

(2 tonnes dissolved salt)

CC, ES, enhanced NC



($E_{\sum\gamma} = 8.6 \text{ MeV}$, $\varepsilon_n \sim 41\%$ above threshold)

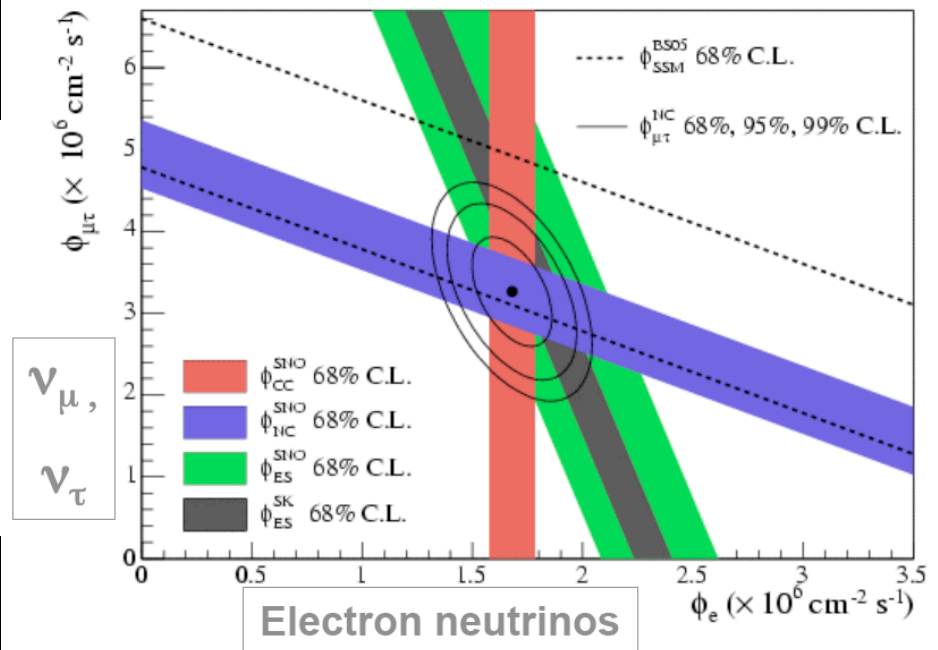
isotropy of shower used for better
NC event separation

$$\phi_{CC} = 1.68 \quad {}^{+0.06}_{-0.06}(\text{stat.}) \quad {}^{+0.08}_{-0.09}(\text{syst.})$$

$$\phi_{NC} = 4.94 \quad {}^{+0.21}_{-0.21}(\text{stat.}) \quad {}^{+0.38}_{-0.34}(\text{syst.})$$

$$\phi_{ES} = 2.35 \quad {}^{+0.22}_{-0.22}(\text{stat.}) \quad {}^{+0.15}_{-0.15}(\text{syst.})$$

(In units of $10^6 \text{ cm}^{-2} \text{ s}^{-1}$)



SNO Run Sequence

I. Pure D₂O

CC, ES, some NC

II. D₂O+NaCl

CC, ES, enhanced NC

III. D₂O+NCDs

CC, ES, NC

(³He proportional counters)

$n + {}^3\text{He} \rightarrow p + t$

$\varepsilon_n \sim 26\%$

NC and CC signals uncorrelated

(event by event
separation)

SNO Run Sequence

I. Pure D₂O

CC, ES, some NC

II. D₂O+NaCl

CC, ES, enhanced NC

III. D₂O+NCDs

CC, ES, NC

(³He proportional counters)

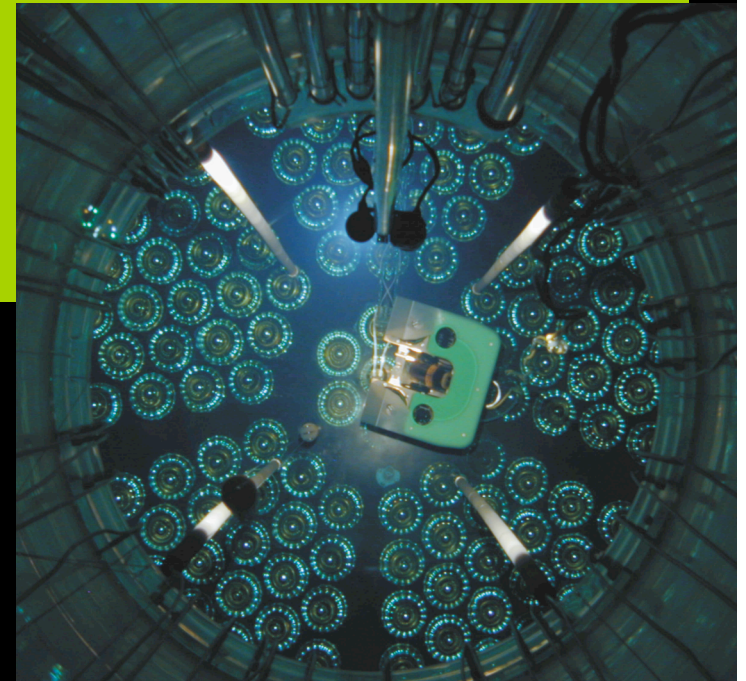
$n + {}^3\text{He} \rightarrow p + t$

$\varepsilon_n \sim 26\%$

NC and CC signals uncorrelated

(event by event
separation)

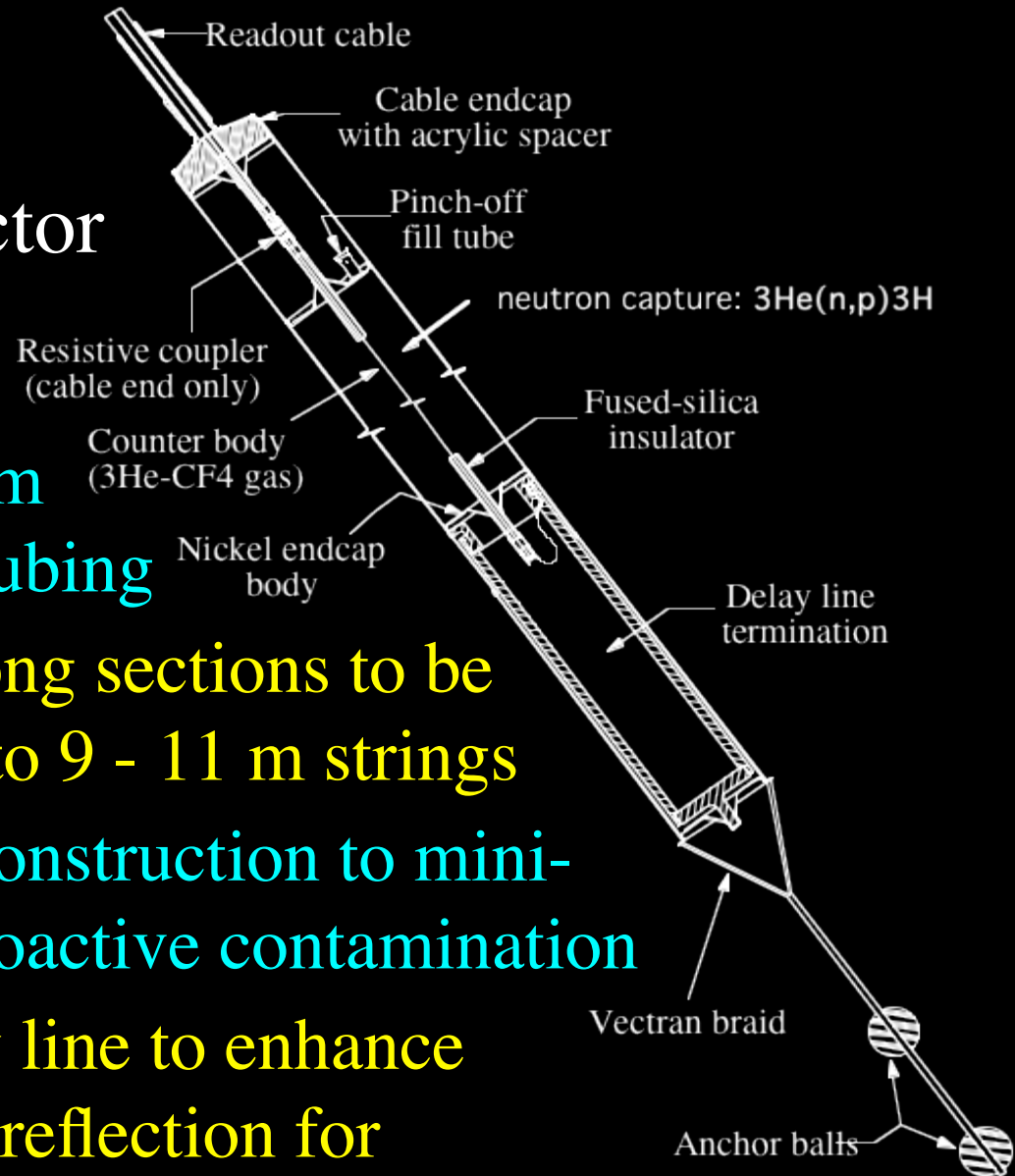
Effectively a different experiment!



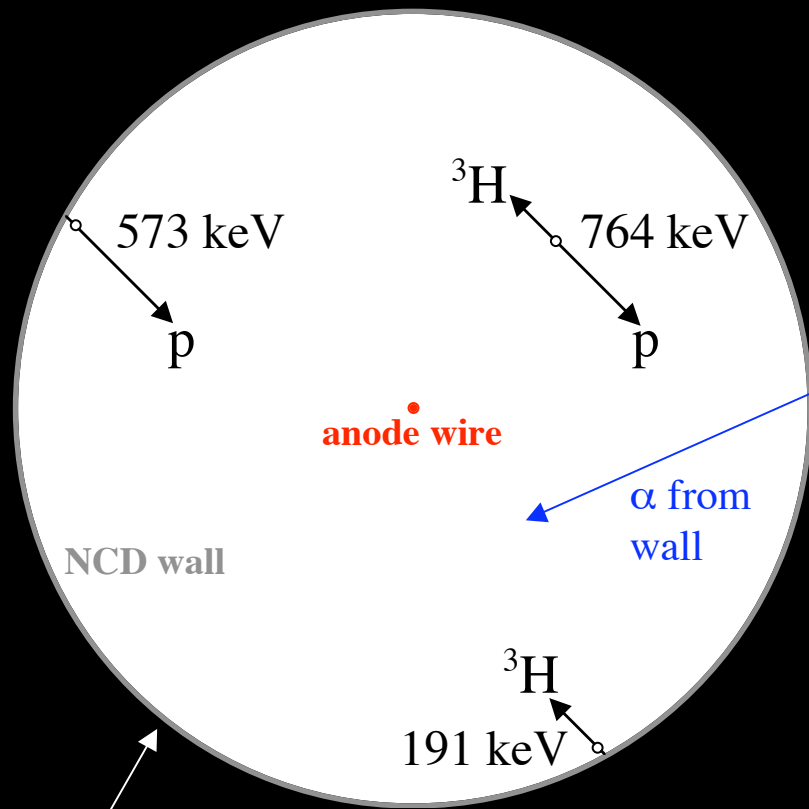
NCD

Neutral Current Detector

- 85% ^3He , 15% CF_4
- Constructed from 5.1 cm diameter CVD nickel tubing
- 200, 250 and 300 cm long sections to be welded underground into 9 - 11 m strings
- open-ended electrical construction to minimize materials and radioactive contamination
- 89 ns (round trip) delay line to enhance separation of pulse and reflection for z-position determination of events

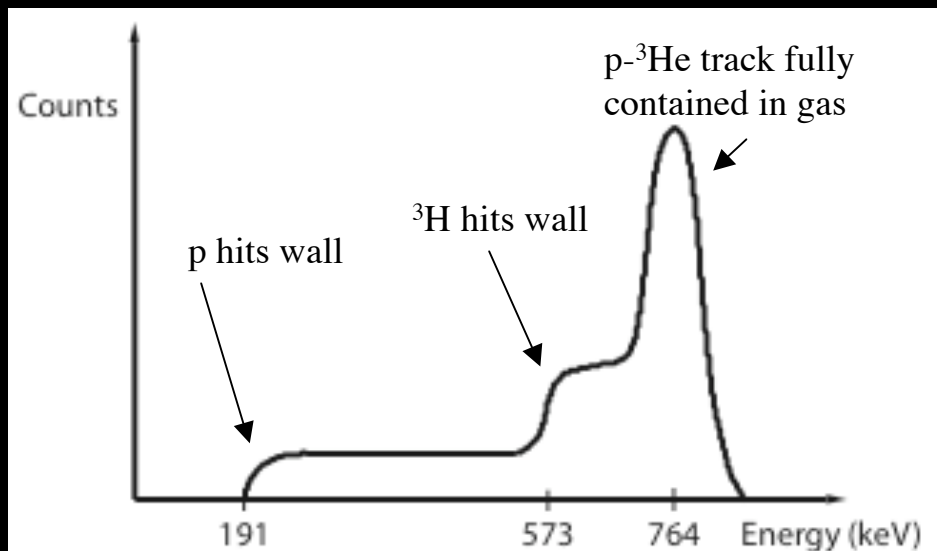


Neutral Current Detectors (cont.)



Cross section of an NCD

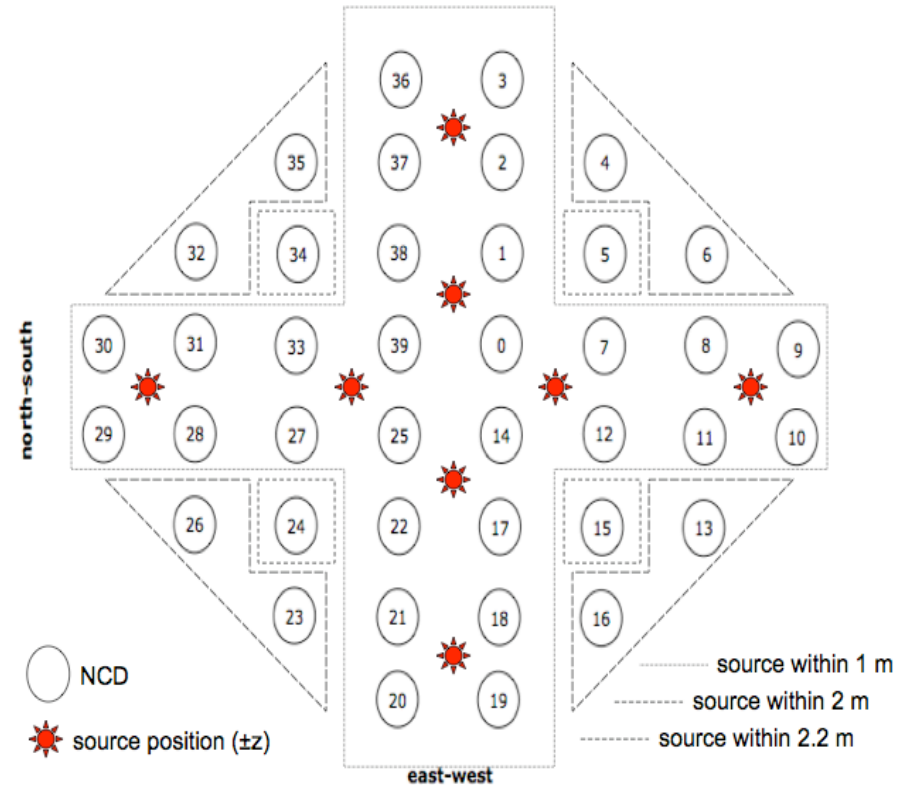
- NCD array:
 - 36 ^3He (signal)
 - 4 ^4He (control)
- $^3\text{He} + n \rightarrow ^1\text{H} + ^3\text{H}$
($Q = 764 \text{ keV}$)
- Primary background is α from surface Po and embedded U and Th



NCD Calibrations

- Multiple AmBe sources (67 n/s hottest)
- Rate cross-calibrated with known ^{252}Cf source each calibration (know rate to $< 1\%$)
- Point source effectively populates one region of NCD (z dependence)
- Used to calibrate the MC at discrete points
- MC is then used to determine the array efficiency

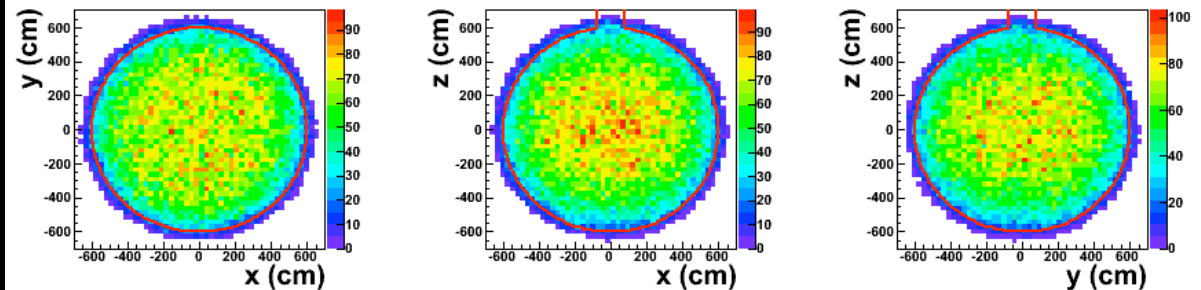
sample AmBe source run plan



NCD array deployed in a
1 x 1 meter grid

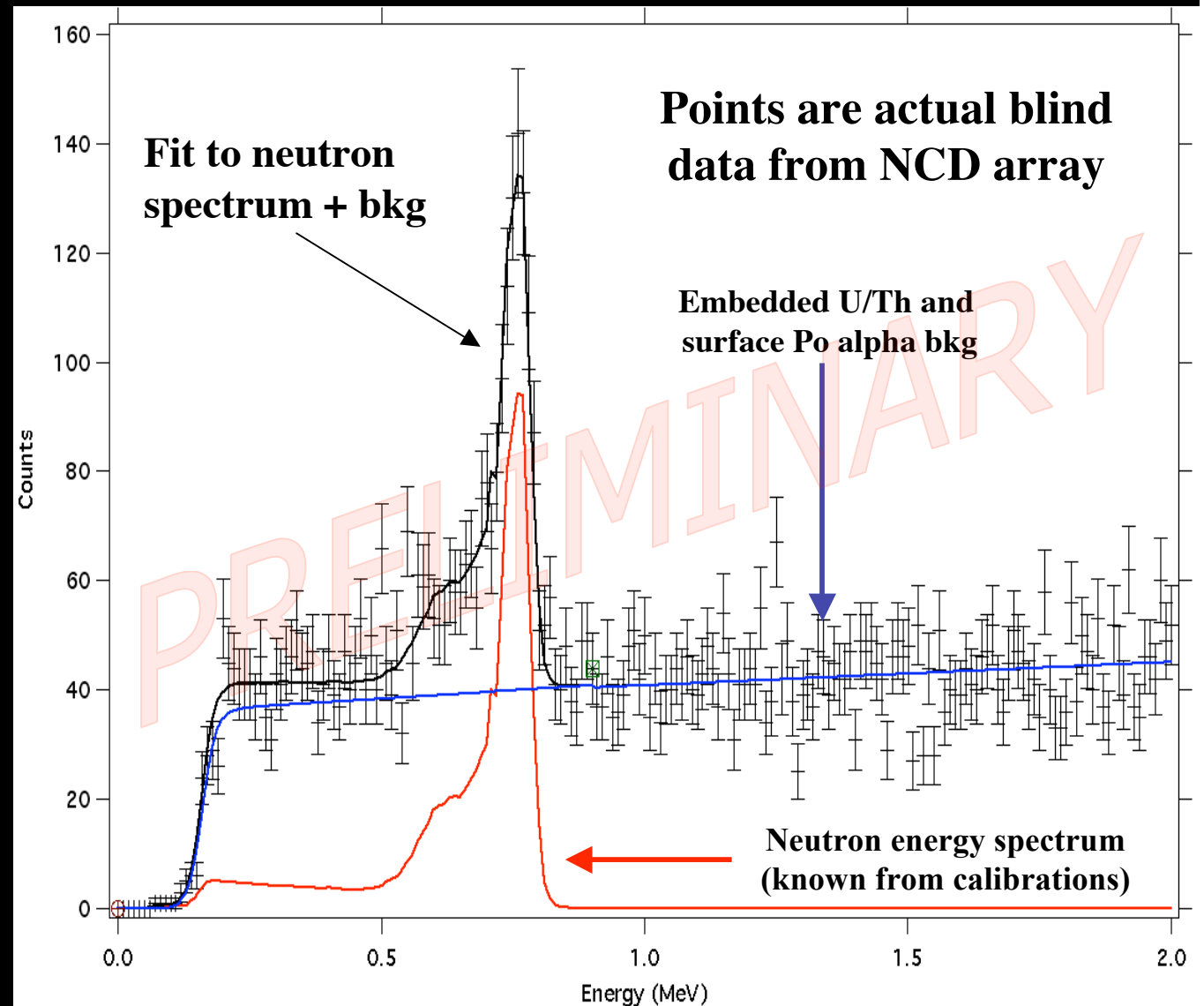
NCD Calibrations

- Multiple AmBe sources (67 n/s hottest)
- Rate cross-calibrated with known ^{252}Cf source each calibration (know rate to $< 1\%$)
- Point source effectively populates one region of NCD (z dependence)
- Used to calibrate the MC at discrete points
- MC is then used to determine the array efficiency
- Check MC and get neutron efficiency from dispersed source
- Injected 14 kBq ^{24}Na (overall NCD rate started at 0.3 Hz after mixing)
- 2.7 MeV γ photo-disintegrates deuteron
- 15 hr half-life
- Returned to normal running after 9 days



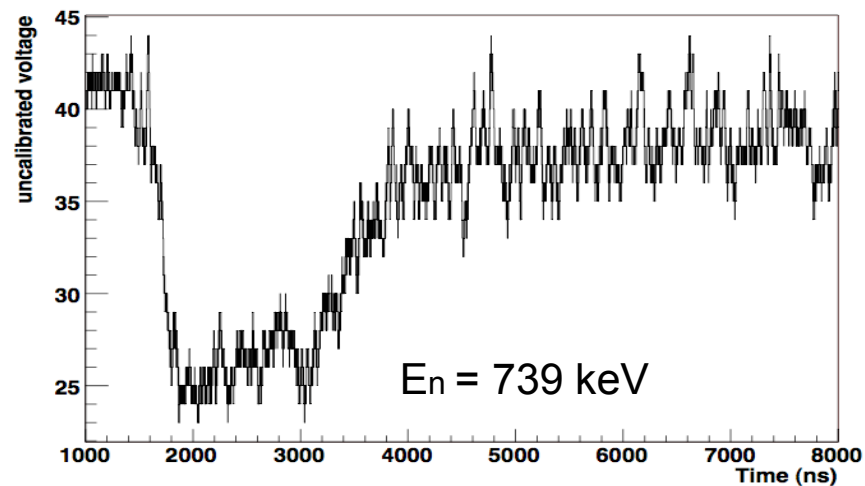
Spectral Analysis

- Neutron signal clearly evident
- Distribution of radioactivity affects the bkg spectrum in ROI
- 4 ^4He strings to measure bkg
- May be able to improve S/B in ROI with Pulse Shape Analysis (PSA) techniques



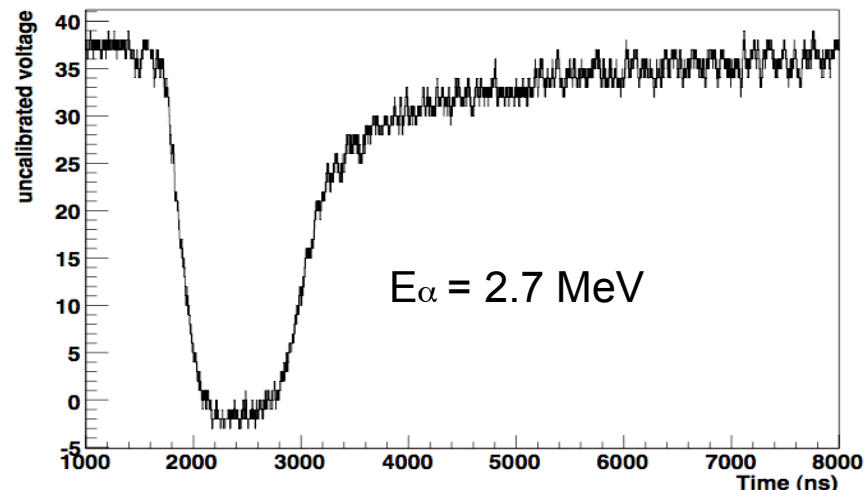
Some NCD Pulse Shapes

proton-triton track perpendicular to anode



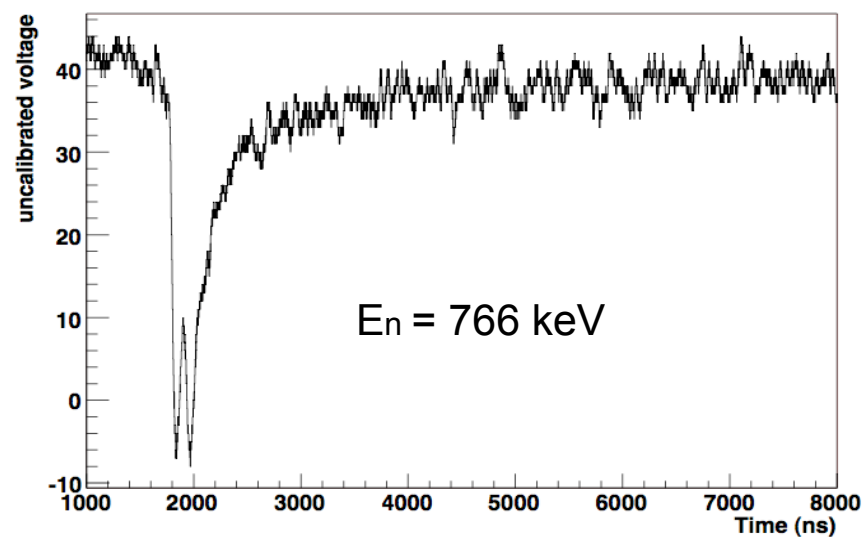
neutrons

Embedded alpha pulse shape

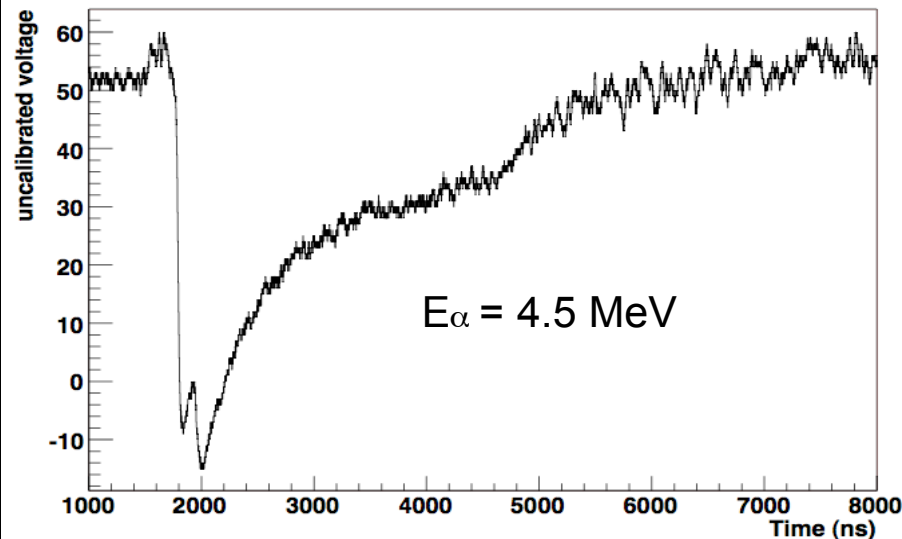


alphas

proton-triton track parallel to anode



Surface alpha pulse shape



NCD PSA Paths

- Brute Force (slow)
 - Grid fit on library of real or MC pulses
 - Can use pulse energy to constrain grid region
 - Compare goodness of fit between α and n hypotheses
 - CPU intensive
 - Alpha data important
- Parameter Based (fast)
 - Use pulse parameters to distinguish signal from background
 - Collection Function method
 - Decision Tree method
 - Need large set of real pulses or MC to set cuts or train discriminators
 - Alpha data important

Both paths would produce pdf's of α or n likelihood.

NCD PSA Paths

- Brute Force (slow)
 - Grid fit on library of real or MC pulses
 - Can use pulse energy to constrain grid region
 - Compare goodness of fit between α and n hypotheses
 - CPU intensive
 - Alpha data important
- Parameter Based (fast)
 - Use pulse parameters to distinguish signal from background
 - Collection Function method
 - Decision Tree method
 - Need large set of real pulses or MC to set cuts or train discriminators
 - Alpha data important

Both paths would produce pdf's of α or n likelihood.

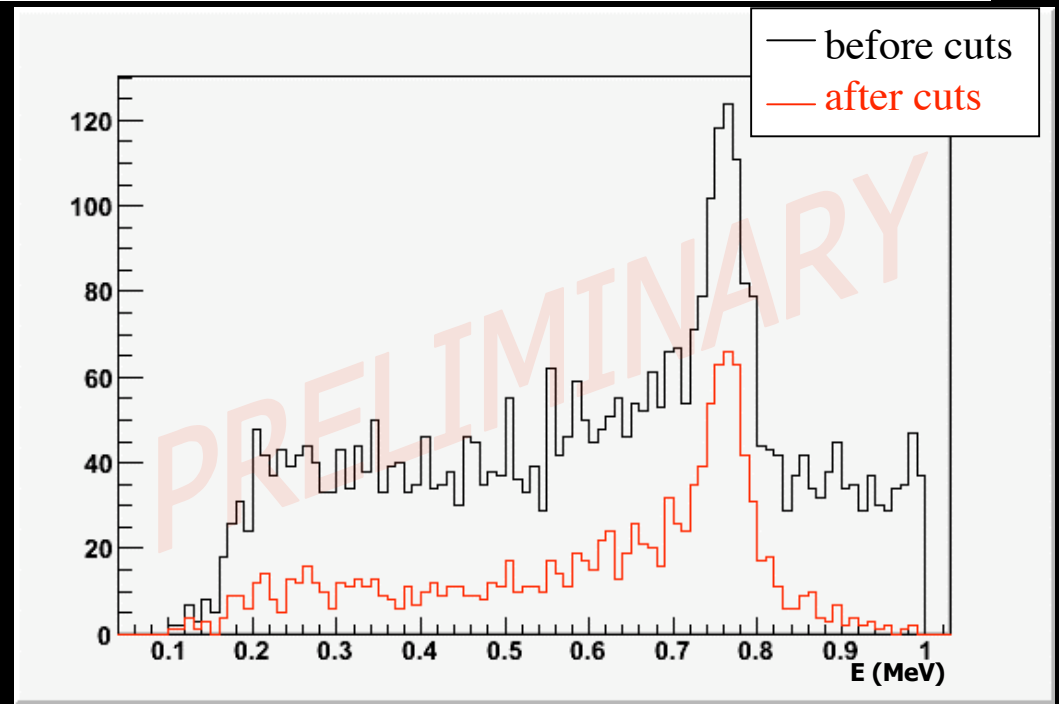
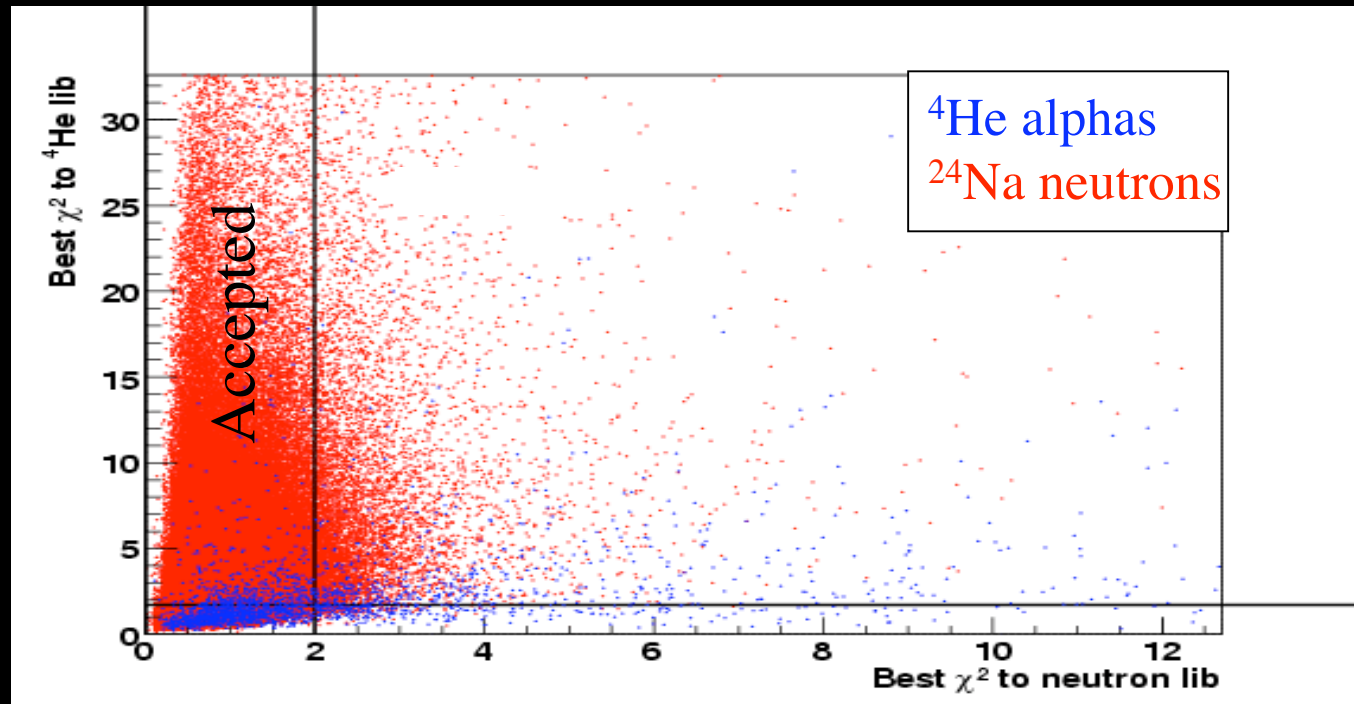
Of course, SNO also pursuing a CC analysis with PMT data!

Grid Fit (Brute Force Method)

- Libraries of neutron and alpha pulses are constructed
- Real data currently being used
 - ^{24}Na calibration runs for signal (27,000 events)
 - ^4He string data for background (1,600 events)
- All pulses are normalized and re-binned
- Pulses are shifted to allow for different trigger positions
- Best χ^2 to each library is found

Results

- Make 2D cut in χ^2 space
 - 76% of neutrons accepted
 - 84% of alphas rejected
- Improvement in signal-to-background ratio in ROI



Collection Function Technique

- Derive avalanche signal due to one electron

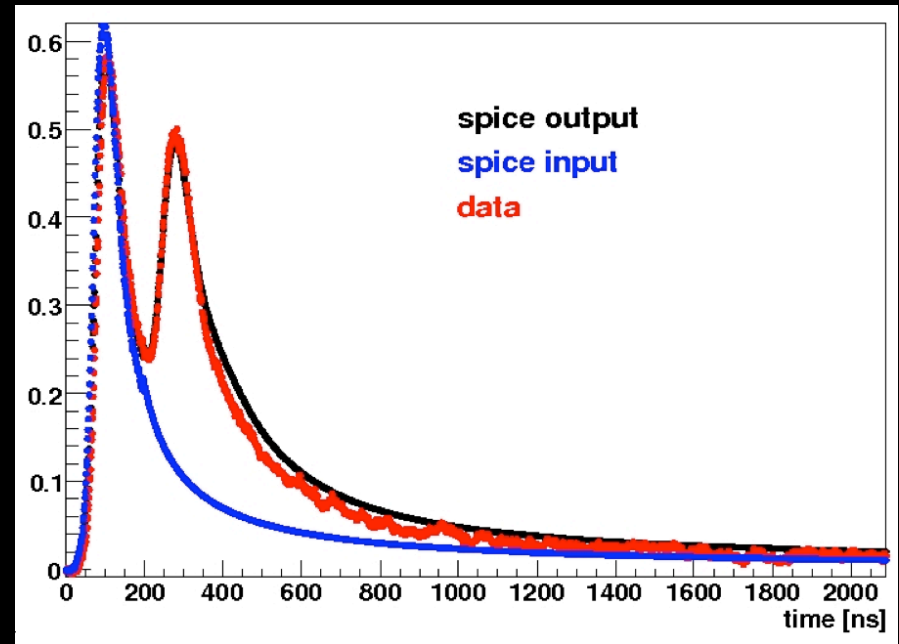
$$D(\tau)$$

- Model propagation of signal in the NCD (SPICE)

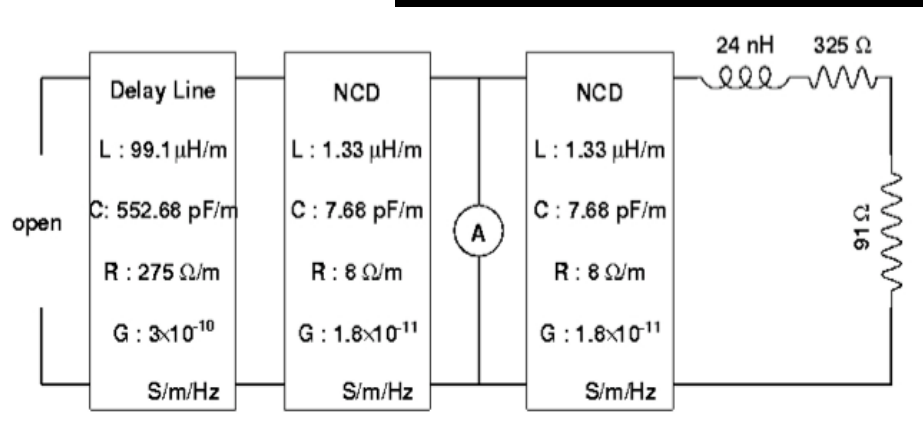
$$I[D(\tau), z]$$

- Pulse shape is just sum of all ionization collected at anode

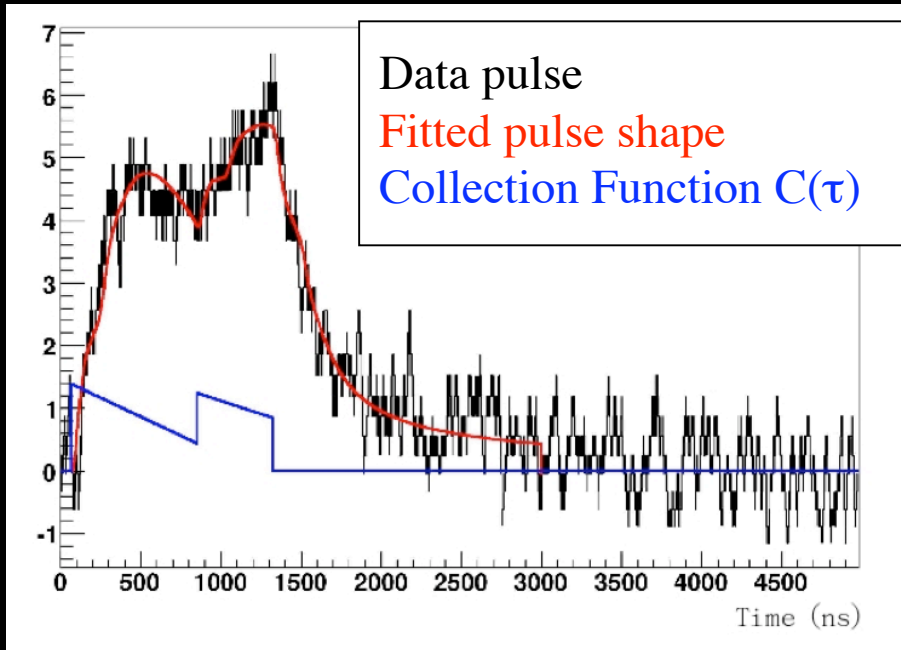
$$S(t) = \int_0^t C(\tau) I[D(t - \tau), z] d\tau$$



SPICE model



Collection Function Technique

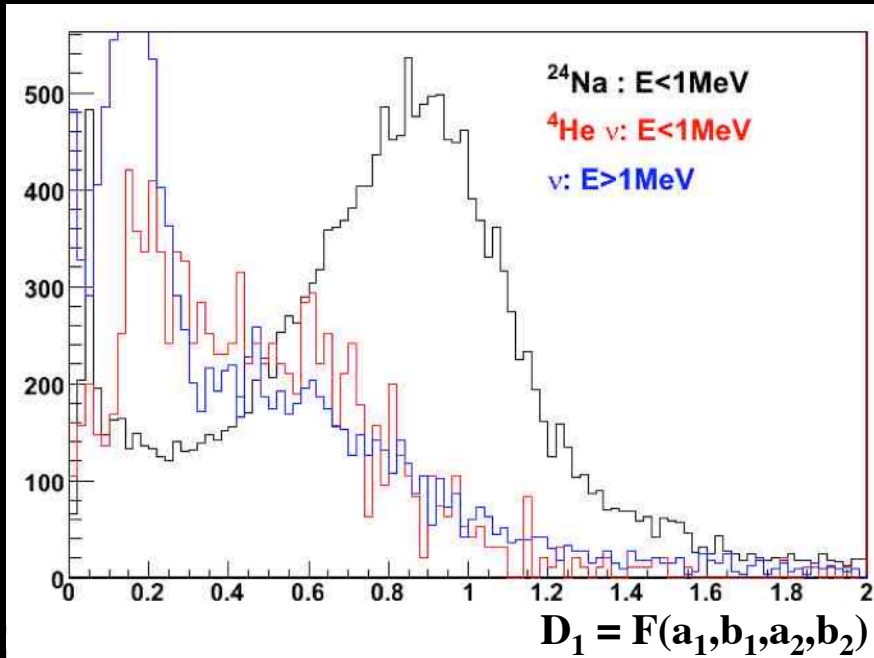


$$S(t) = \int_0^t C(\tau) I[D(t - \tau), z] d\tau$$

- Fit shape to extract $C(\tau)$
“Collection Function”

$$C(\tau) = \begin{cases} 0 & : \tau < t_0 \\ a_1 + b_1\tau & : t_0 \leq \tau < t_1 \\ a_2 + b_2\tau & : t_1 \leq \tau < t_2 \\ 0 & : t_2 \leq \tau \end{cases}$$

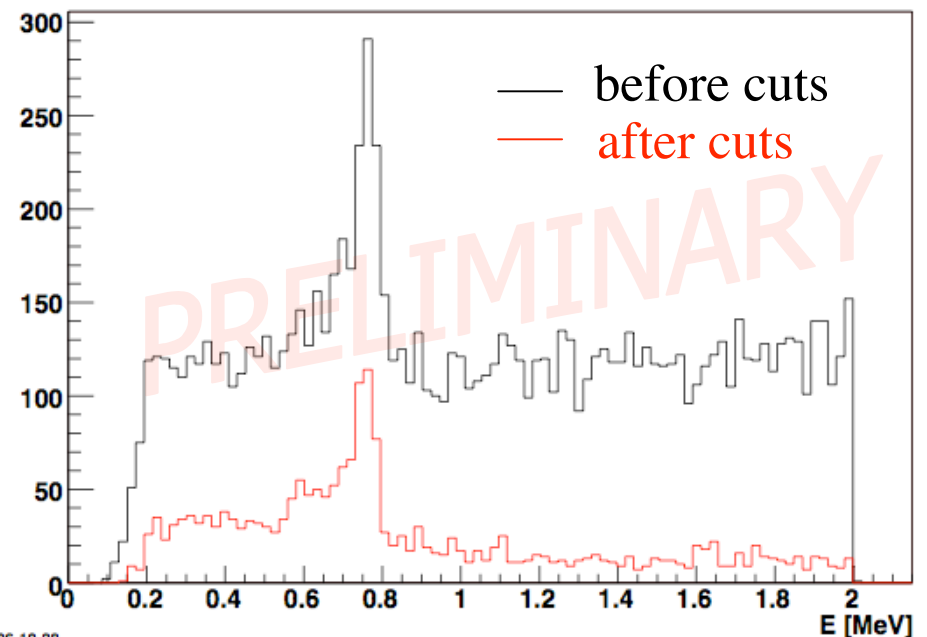
Collection Function Technique



- Investigate combinations of Collection Function parameters $F(a_1, b_1, a_2, b_2)$ to optimize separation of signal and background
- Improved S/B in ROI

$$S(t) = \int_0^t C(\tau) I[D(t - \tau), z] d\tau$$

- Fit shape to extract $C(\tau)$
“Collection Function”



Discriminant (Boosted Decision Tree)

Motivation

- Decision trees promise better results than Fisher discriminants
- More transparent than Neural Nets
- Decision trees are a “majority vote” of a set of N yes/no cuts
- Promise (or claims):
 - Deal well with many input variables (> 100)
 - Deal well with highly correlated variables
 - Less sensitive to training than a net
- Decision trees used by BaBar, D0, MiniBooNE, and now available in ROOT (I. Narsky’s code available at: sourceforge.net/projects/statpatrec)

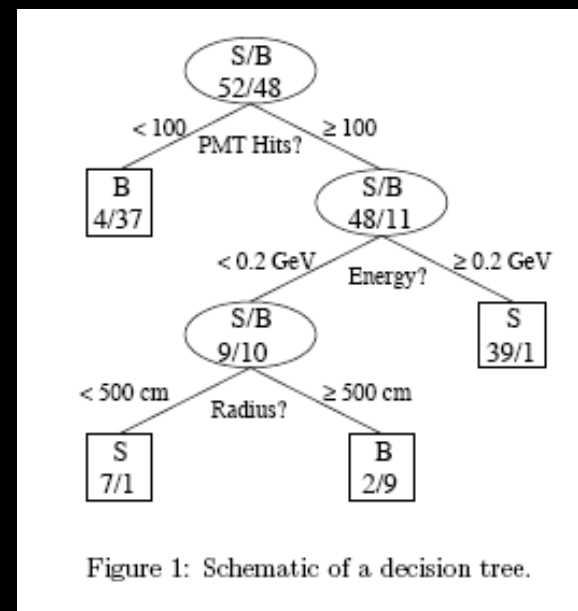


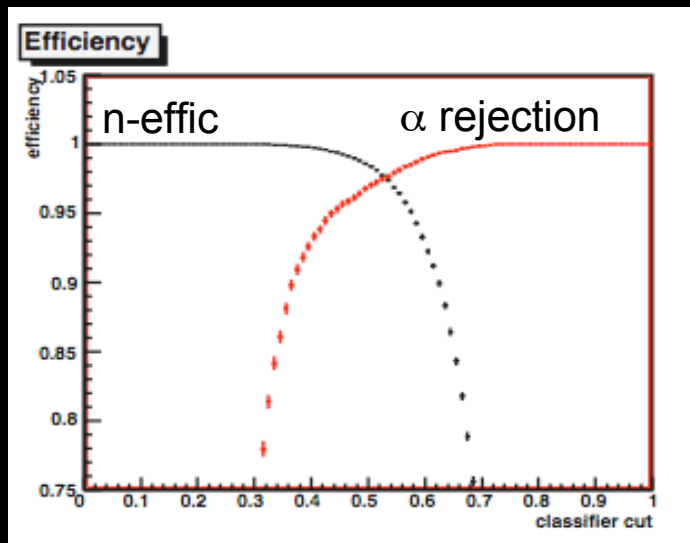
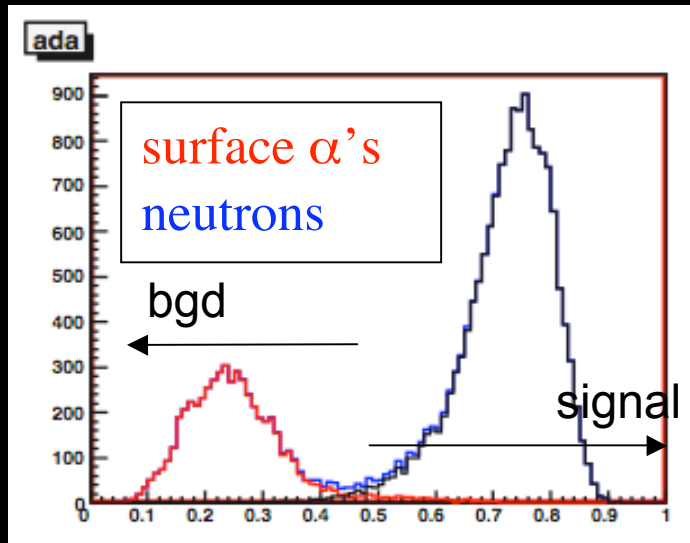
Figure 1: Schematic of a decision tree.

[arXiv:physics/0508045](https://arxiv.org/abs/physics/0508045)

Boosting: feedback mechanism to boost the weights of misclassified training events.

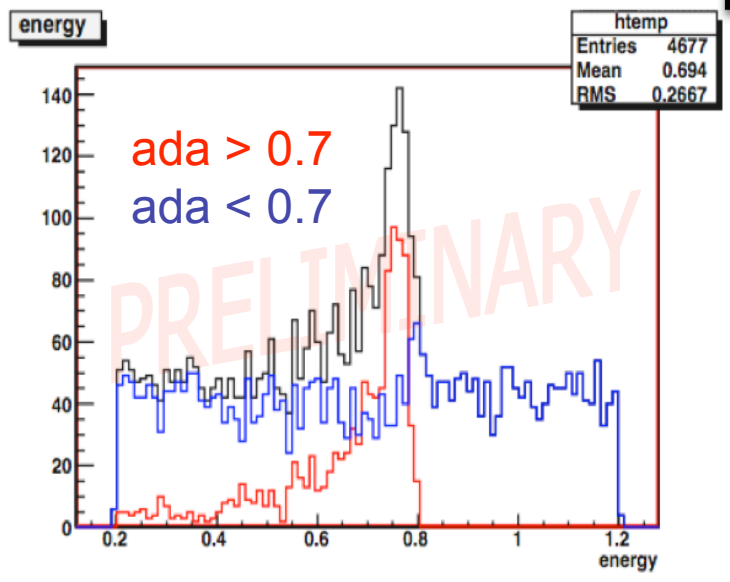
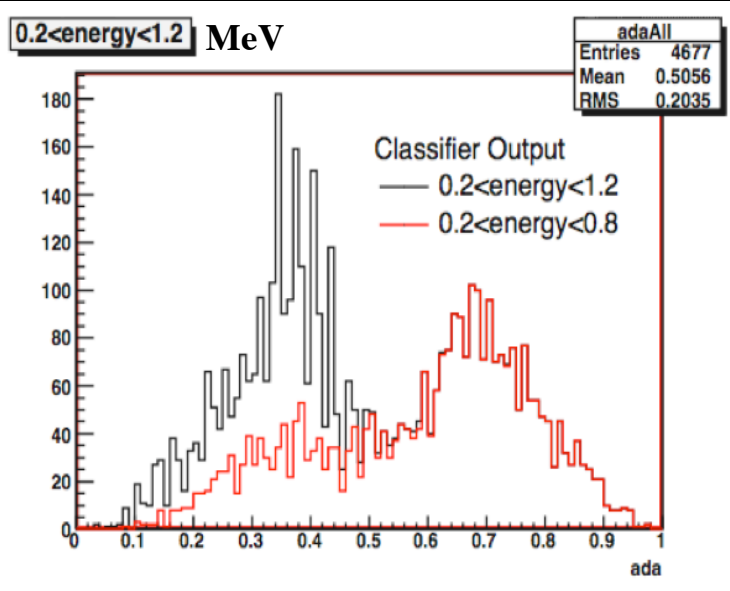
AdaBoost Decision Tree seems to be best classifier.

Discriminant (Boosted Decision Tree)



- Need large sample of signal and background to train discriminator
 - Neutrons are easy
 - Limited number of alphas
- Easy (for us) to get sample of ^{210}Po (surface) alphas
- Use pulse shape parameters as inputs:
 - Pulsethickness
 - “Risetime”
 - Moments (Skewness, Kurtosis)
- Classifier works well in this limited case
 - 99% neutron efficiency
 - 95% surface alpha rejection

Discriminant (Boosted Decision Tree)



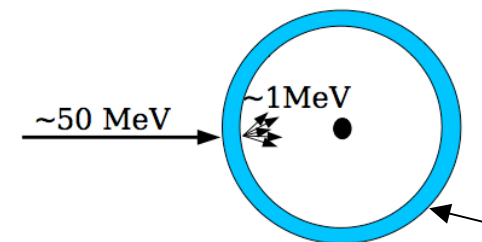
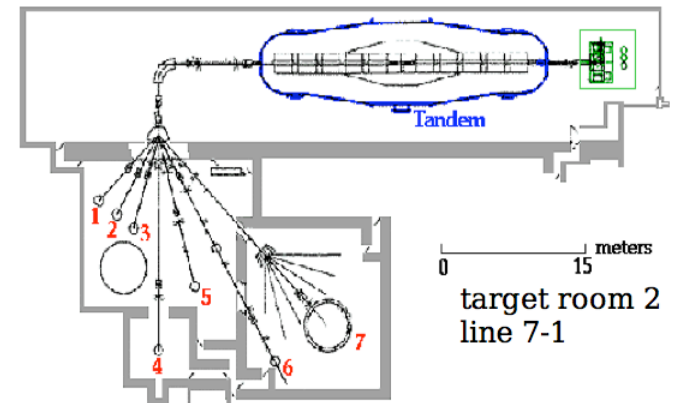
- Need large sample of signal and background to train discriminator
 - Neutrons are easy
 - Limited number of alphas
- Easy (for us) to get sample of ^{210}Po (surface) alphas
- Use pulse shape parameters as inputs:
 - Pulsethickness
 - “Risetime”
 - Moments (Skewness, Kurtosis)
- Classifier works well in this limited case
 - 99% neutron efficiency
 - 95% surface alpha rejection
- Classifier trained with predominantly surface alphas still discriminates real data well
- Should improve with large sample of embedded alphas

The “Yale α Sample”

- Conceived and organized by MIT collaborators
- 4 days of data-taking: April 24-27, 2006
- Was necessary to etch NCD to get adequate wall thickness
- Varying orientation and tilt to simulate alpha emission from wall
- Covered entire energy range necessary (100 keV - few MeV)
- Large sample (10^5 α 's)
- Can be used to augment α sample or confirm α MC

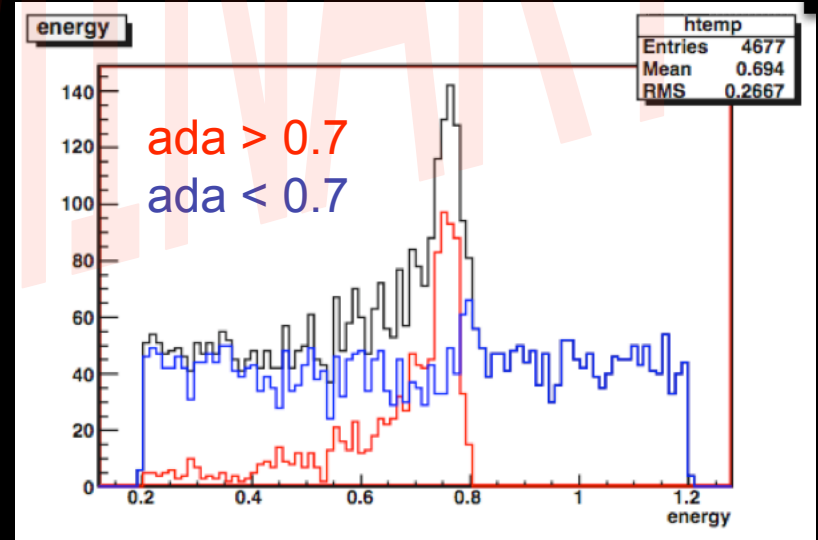
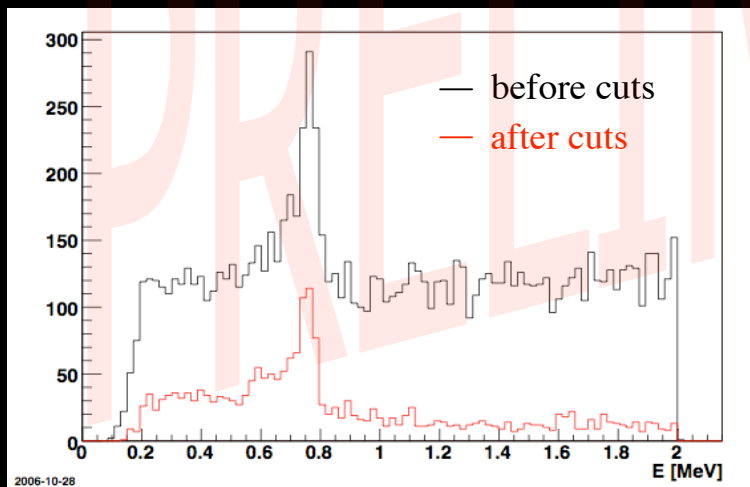
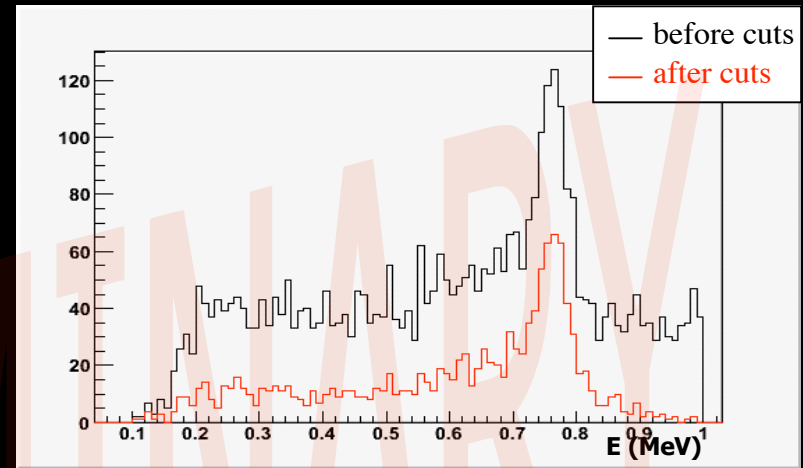
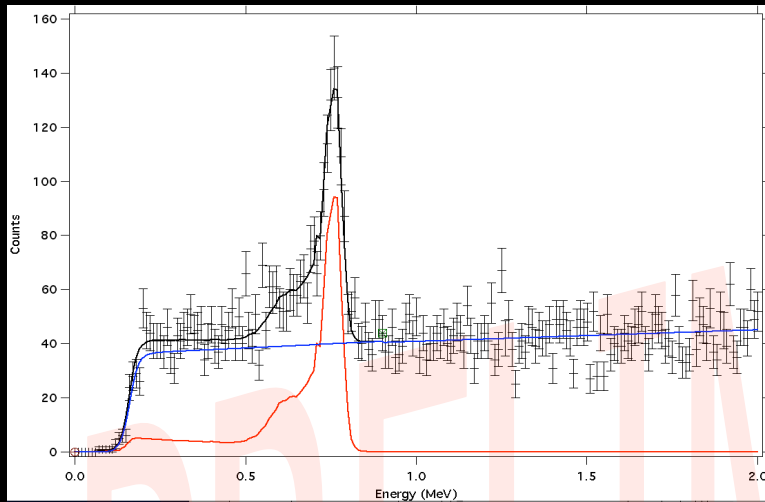


Wright Nuclear Structure Lab



Summary

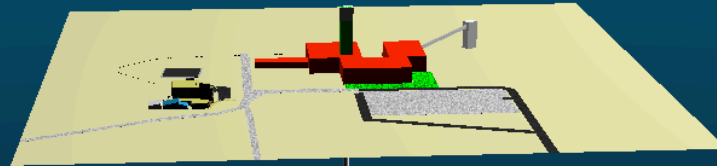
- PSA methods being refined, but early results show good signal-to-background improvement



SNO Schedule

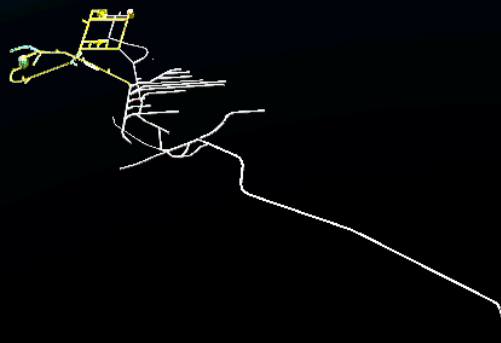
- Data-taking to be completed at the end of November 2006.
- NCD removal would commence shortly thereafter.
- Over 400 days of live-time will have been logged in Phase III.
- Heavy water returned to Canadian government throughout 2007.

SNOLAB



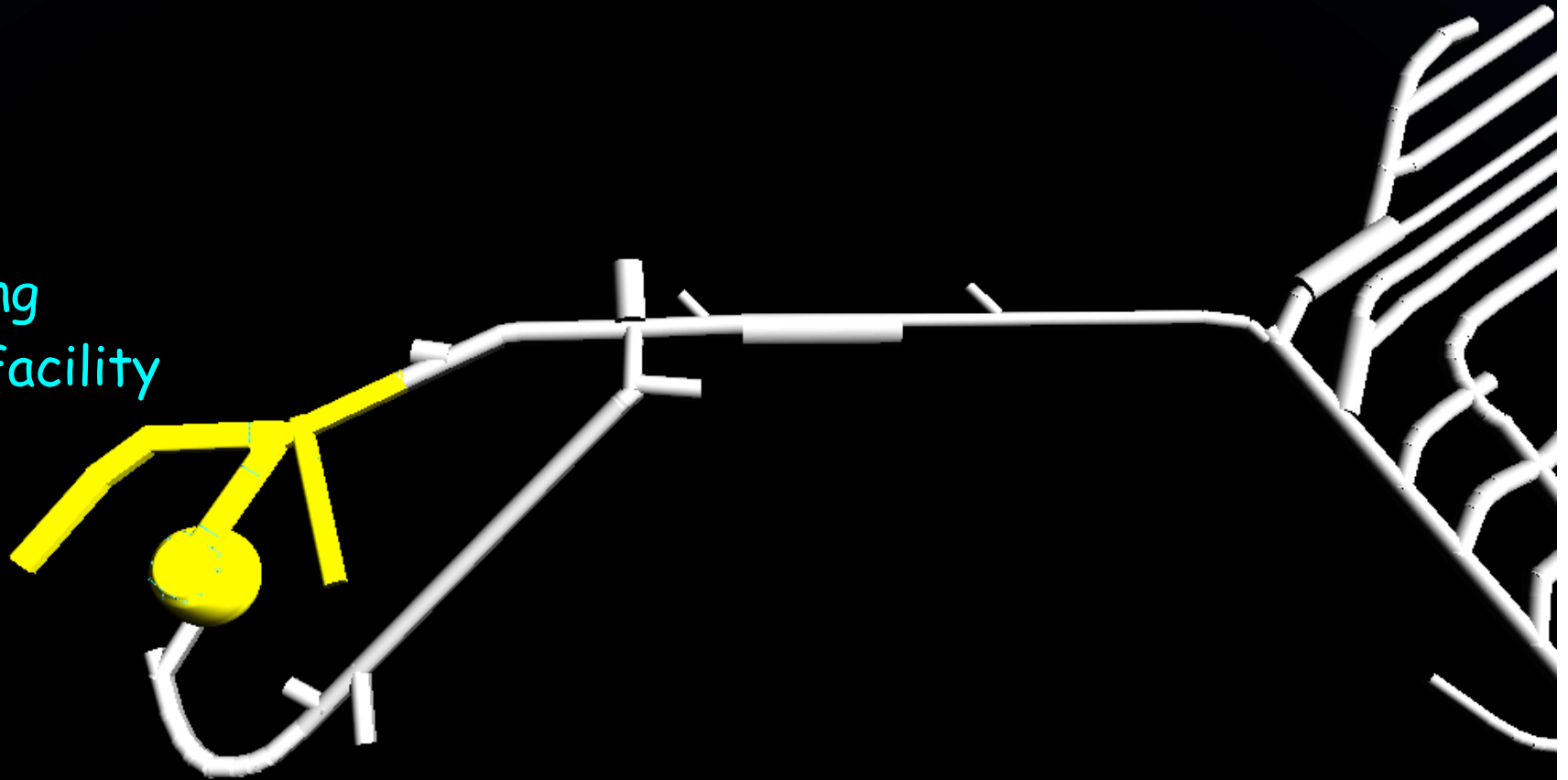
- Outgrowth of the highly successful SNO project
- Proposal in 2001
- Funding in 2002

- Surface facility
 - Groundbreaking 2004
 - Occupancy 2005
- Underground
 - Tunneling commenced Nov 2004
 - Completion (wait for it)



SNOLAB

Existing
SNO Facility



SNOLAB

Phase I

→ Outfitting is expected to begin early 2007

Existing
SNO Facility



Relocate
-Lab Entry
-Personnel Facilities

SNOLAB

Phase I

Phase II

Funding decision
expected shortly

Existing
SNO Facility

Relocate
-Lab Entry
-Personnel Facilities

